



**California Department of Corrections
and Rehabilitation**

Annual Evaluation of Contracted Sex Offender Treatment Programs

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Produced by

Jeffrey Beard, Ph.D., Secretary
Diana Toche, DDS, Undersecretary
Bryan Beyer, Director

Office of Research

G. Wayne Babby, Deputy Director
Denise M. Allen, Chief of Research
Ashley L. Gabbard, Staff Services Manager I
Kendra Jensen, Research Analyst II

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For questions regarding the contents of this report, please contact
Ashley Gabbard, Research and Evaluation Branch.

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Executive Summary

The Penal Code, Section 3007, requires the California Department of Corrections and Rehabilitation (CDCR) to have a research component for any sex offender treatment contract funded by the department¹. CDCR's Division of Adult Parole Operations (DAPO) contracts with external treatment providers for evidence based sex offender treatment services designed to decrease an offender's risk for recidivism. This report covers the reporting period of July 1, 2013 through June 30, 2014, and presents offender demographics and characteristics of Sex Offender Treatment Program participants. This report also establishes a cohort of offenders for future recidivism analyses as we track the effectiveness of these programs. We anticipate reporting on outcomes of these programs in next year's report.

During fiscal year 2013-14, DAPO contracted for a total of 6,429 treatment slots. Of those, there were a total of 4,860 program participants, which included 3,867 offenders who received services and 993 offenders who were referred to a treatment program but had not yet received services.

The majority of Sex Offender Treatment Program participants were male (99 percent), White (34.8 percent), followed by Hispanic/Latino (29.5 percent) and Black (28.7 percent), and were between the ages of 30 – 54 years old (65.3 percent). Most participants were first releases (75.7 percent) and served a determinative sentence (77.2 percent) for their most recent prison commitment. The majority of participants committed a crime against persons (77.8 percent) and slightly over half (55.8 percent) committed a crime that was serious and/or violent. Most participants (59.7 percent) had a low California Risk Assessment Score (CSRA) and a moderate to high Static-99 Score (36.9 percent).

Treatment providers submit a Participant Roster to DAPO monthly. The Participant Roster contains information regarding inmates and services received through the program. The fiscal year 2013-14 Participant Roster data shows the number of participants that received services or were referred to the program increased consistently throughout the reporting period and 2,649 of participants (54.5 percent) were still receiving services at the close of the fiscal year. Nearly 15 percent (716 participants) were discharged from parole or supervision had ended.

Although information in the Participant Roster improved during the reporting year, treatment providers must continue to work toward providing more comprehensive information regarding treatment plan completion and months in which services were received in order for meaningful analysis regarding participant outcomes and recidivism. The final section of this report offers recommendations on how to improve Participant Roster data.

¹ Penal Code Section 3007 requires CDCR to submit its research findings annually to the Legislature by January 10th of each year.

Introduction

California Penal Code Section 3007 requires the California Department of Corrections and Rehabilitation (CDCR) to include a research component for any sex offender treatment contract funded by the Department.² The Department's Office of Research or an independent contractor is permitted to evaluate the effectiveness of each contract in reducing recidivism among participants. The enabling Penal Code Section 3007 requires an annual report be submitted to the Legislature by January 10th. This report covers the period for fiscal year 2013-14.

Background

The CDCR's Division of Adult Parole Operations (DAPO) contracts with external treatment providers for community-based treatment programs. The Sex Offender Treatment Program serves both High Risk Sex Offenders (HRSO) and Non-High Risk Sex Offenders (Non-HRSO). In fiscal year 2011-12 CDCR received a legislative mandate requiring CDCR to prepare an annual report of contracted Sex Offender Treatment Programs for submission to the Legislature by January 10th of each year. The CDCR's Office of Research spent fiscal year 2011-12 working with DAPO and its Sex Offender Treatment Programs to design and implement data collection methods. The CDCR's Office of Research provided a fiscal year 2011-12 report to the Legislature in July 2013.

A majority of fiscal year 2012-13 was spent on data quality improvements, which limited the ability to measure the Sex Offender Treatment Program's impact on recidivism. In January 2014, CDCR's Office of Research contracted with the University of California, Irvine's Center for Evidence-Based Corrections to prepare the fiscal year 2012-13 report.

Improvements made to data collection and data quality during fiscal year 2013-14 will enable the Office of Research to examine program outcomes in future reports, including recidivism. In the fiscal year 2013-14 report, CDCR's Office of Research presents demographic and offender characteristics of participants receiving services. The fiscal year 2013-14 report also presents fiscal year comparisons when available and provides recommendations for future data collection by DAPO.

² California Penal Code 2012 Desktop Edition. Thomson Reuters/West, 2012.

Methodology

The Office of Research worked collaboratively with DAPO throughout 2014 to collect program participant data. Each contractor submitted a Participant Roster (Appendix A) to DAPO that contains the name and identification number of HRSO and Non-HRSO offenders referred to treatment. The Participant Roster also contains information regarding services, discharges and treatment completions.

Data were collected monthly from each of the treatment providers by DAPO. Treatment providers in one region (Parole Region I) only submitted data for part of the reporting period because contracted services were only provided for a portion of the fiscal year. Data obtained from the treatment providers were matched to CDCR's Strategic Offender Management System (SOMS) to obtain demographic information and offender characteristics.

During the reporting period 2,731 HRSOs and 2,129 Non-HRSOs were included in the Participant Rosters and were matched to SOMS, for a total of 4,860 participants. The Office of Research was able to match 100% of the offenders included in the Participant Rosters to a SOMS record, allowing a cohort to be created for the study of the Sex Offender Treatment Program's impact on recidivism in future reports.

Of the 4,860 participants, 40 received services at two locations or cycled in and out of treatment (entered treatment, exited treatment and subsequently re-entered treatment) and contain two records in the Participant Roster. These participants are included once in demographic and offender data (e.g., race, age and Static-99 Score). For demographic and offender data, only the record with the most recent referral date was included in the analysis to avoid any double counting. The second was included in the analysis of information related to services (e.g., start date, reason for discharge, etc.) to ensure all referrals and services were captured in the report.

Treatment Provider Contracts

Treatment Locations

During fiscal year 2013-14, DAPO oversaw two sets of contracts for outpatient sex offender treatment services. The first set of contracts covered a one year period beginning January 1, 2013. The nine contracts cover three parole regions (Parole Regions II, III and IV) and 29 locations. The second set of contracts cover a three year period that began May 1, 2014. The second set of contracts covers one parole region (Parole Region I) and 16 locations, as shown in Table 1.

Combined, the 12 treatment providers operated 45 locations during fiscal year 2013-14. Treatment providers are reimbursed as they serve participants according to the contract. They do not receive the entire contract amount in a single award.

Table 1: Contracted Treatment Providers by Parole Region

Parole Region	County	Number of Locations In Region	Sex Offender Treatment Contract Provider
Region I	Butte, Fresno, Kern, King, Merced, Shasta, Stanislaus, Tehama, Tulare	9	Counseling and Psychotherapy Center (CPC)
Region I	Sacramento, Yolo	3	Sharper Future (SF)
Region I	Placer, Yuba	2	New Beginnings Educational Program (NBEP)
Region I	El Dorado	1	Cameron Park Counseling Center (CPCC)
Region I	San Joaquin	1	Hope Psychotherapy (HP)
Region II	Alameda, Del Norte, Contra Costa, Humboldt, Lake, Mendocino, Solano, San Francisco, San Mateo, San Jose, Santa Clara, Marin	8	Sharper Future (SF)
Region II	Monterey, San Benito, San Luis Obispo, Santa Barbara, Santa Cruz, Ventura	4	Counseling and Psychotherapy Center (CPC)
Region III	Los Angeles	6	Sharper Future (SF)
Region III	Los Angeles	3	Open Door Counseling (ODC)
Region IV	Imperial, San Bernardino, Riverside	3	Maram Psychological Group (MPG)
Region IV	Orange, San Bernardino, Los Angeles	3	Sharper Future (SF)
Region IV	San Diego	2	Helping Hand Counseling (HHC)

Treatment Slots and Program Referrals

Contract amounts are based on the estimated number of HRSO and Non-HRSO participants who are monitored by DAPO. DAPO estimates the number of participants based on a snapshot count of Global Positioning Systems (GPS).

Table 2 shows the number of treatment slots available for HRSO and Non-HRSO participants, as well as the number of treatment slots available by region and provider, according to the contracts. The number of treatment slots represents the maximum

number of participants any location or region can serve at any point in time. Treatment slots do not refer to the number of participants served by a location.

The actual number served is based on referrals from DAPO, not on the maximum number of participants a location can accommodate. When one participant leaves a treatment slot (e.g. discharges from parole, or violates parole and returns to custody), that slot may be filled by another participant, making it possible for multiple participants to fill a treatment slot during the same contract period.

During fiscal year 2013-14, 2,162 treatment slots were contracted for HRSOs and 4,267 for Non-HRSOs (33.6 percent and 66.4 percent, respectively), for a total of 6,429 treatment slots as shown in Table 2. The number of treatment slots for HRSOs increased from 1,357 in fiscal year 2012-13 to 2,162 in fiscal year 2013-14. The number of slots for Non-HRSOs also increased from 3,247 in fiscal year 2012-13 to 4,267 in fiscal year 2013-14. Because treatment for Non-HRSOs was only made available in fiscal year 2012-13, it is expected that the number of treatment slots for Non-HRSOs would increase.

Of the 6,429 treatment slots, 75.6 percent (4,860 slots), as shown in Table 2, were utilized. Of the 4,860 offenders, 3,867 (79.5 percent) received services during the reporting period and another 993 (20.5 percent) were referred, but had not yet received services. Of the total offenders, 3,517 were referred during the reporting period and 1,163 were referred prior to fiscal year 2013-14 but received services during the reporting period. Overall, significantly more offenders (284 percent increase) were referred to treatment or received services in fiscal year 2013-14 than fiscal year 2012-13 (4,860 and 1,264, respectively). Appendix B provides the number of program participants by fiscal year according to the Participant Rosters.

As noted in Table 2, Region IV has the greatest number of treatment slots available, followed by Region I, Region II and Region III. Sharper Future, which operates locations in all four Parole Regions, contracts for the largest number of treatment slots, followed by Counseling and Psychotherapy Center.

Table 2: HRSO and Non-HRSO Treatment Slots by Parole Region

Parole Region	Number of Slots In Region				Sex Offender Treatment Contract Provider
	HRSO	Non-HRSO	Total	%	
Region I					
	450	575	1,025	56.2%	Counseling and Psychotherapy Center (CPC)
	205	270	475	26.0%	Sharper Future (SF)
	60	70	130	7.1%	New Beginnings Educational Program (NBEP)
	10	15	25	1.4%	Cameron Park Counseling Center (CPC)
	80	90	170	9.3%	Hope Psychotherapy (HP)
Total	805	1,020	1,825	100.0%	
Region II					
	341	707	1,048	76.8%	Sharper Future (SF)
	101	215	316	23.2%	Counseling and Psychotherapy Center (CPC)
Total	442	922	1,364	100.0%	
Region III					
	237	596	833	71.5%	Sharper Future (SF)
	94	238	332	28.5%	Open Door Counseling (ODC)
Total	331	834	1,165	100.0%	
Region IV					
	207	748	955	46.0%	Sharper Future (SF)
	227	425	652	31.4%	Maram Psychological Group (MPG)
	150	318	468	22.6%	Helping Hand Counseling (HHC)
Total	584	1,491	2,075	100.0%	
Grand Total	2,162	4,267	6,429	100.0%	

Participants Treated by the Sex Offender Treatment Program

Fiscal Year 2013-14 Program Participants

The following sections discuss demographics and characteristics of the 4,860 participants that were referred to or received services through the Sex Offender Treatment Program during fiscal year 2013-14. Table 3 provides characteristics of participants by region, and Appendix C provides a breakdown of characteristics by region and provider. Of the 40 participants that moved locations or cycled in and out of treatment, only the record with the latest referral date was included in the following analyses.

Region IV had the most participants (1,970), followed by Region II (1,469) and Region III (1,012). Because the contracts for Region I were signed at a later date, and referrals were only received for part of the reporting period, Region I had less participants (409)

than other Regions.³ As Region I continues to provide services according to the contract, this number will increase.

Appendix D compares the characteristics of participants served in fiscal year 2012-13 with those served in fiscal year 2013-14.

Sex Offender Risk Type

During the reporting period, 2,731 HRSOs (56.2 percent) and 2,129 Non-HRSOs (43.8 percent) were referred to or received services through the Sex Offender Treatment Program, for a total of 4,860 participants. Sex Offenders with a Static-99 Score of “High” or “Moderate High” are designated HRSO, while those with a Static-99 Score of “Low” or “Moderate Low” are designated Non-HRSO.⁴ The designation may be adjusted according to mitigating or extenuating circumstances by a DAPO Unit Supervisor.

Of the 40 participants who cycled in and out of treatment, the status of four participants changed between HRSO and Non-HRSO. Only their most recent status was included in this portion of the analysis.

With the exception of one provider in Region I, each of the locations served both HRSOs and Non-HRSOs (Appendix C). Region I served a larger percent of HRSO (79.2 percent) than Non-HRSO's (20.8 percent), while the other regions served HRSOs and Non-HRSOs equitably.

The number of Non-HRSOs participants increased by 40 percent between fiscal year 2012-13 and fiscal year 2013-14. Because the contracts were expanded to serve significantly more Non-HRSOs, the increase in Non-HRSO participants is expected.

Gender

The majority of participants (99 percent) were male and forty-seven participants (one percent) were female. Each Parole Region served at least one female, although females were not served at every location. Sixty-six percent of females were designated HRSO and thirty-four percent were designated Non-HRSO. Fifty-six percent of males were designated HRSO and forty-four percent were Non-HRSO.

Age at Release

The majority of participants were between 30 and 54 years of age (65.3 percent).⁵ While the distribution of age was fairly even across age groups, the smallest percent of participants is found in the 18 – 19, 20 – 24, 55 – 59 and 60+ age groups. The largest

³ New Beginning Educational Program, Inc., serving two locations in Region I, did not provide services during the reporting period.

⁴ The Static-99 is a risk assessment tool designed to predict sexual and violent recidivism in male adult sexual offenders. Total scores on Static-99 can be translated to the following relative risk categories: low, moderate-low, moderate-high and high. More information about the Static-99 can be found at: <http://www.static99.org/>.

⁵ Age at Release data is missing for one participant. The participant was paroled to California from another state and the information is not provided in SOMS.

number and percent of participants (734 participants and 15.1 percent, respectively) are found in the 45 – 49 age group. These numbers are consistent with previous reporting periods.

Race and Ethnicity

Of the 4,860 participants, Whites comprise the largest percent of participants (34.8 percent) followed by Hispanic/Latino (29.5 percent) and Black/African American (28.7 percent). Region III served a larger percent of Hispanic/Latino and Black/African American participants than White participants, while all other parole regions served a larger percent of White participants.

While the percent of Black/African American participants decreased by over 10 percent between fiscal year 2012-13 and fiscal year 2013-14 (39.4 percent and 28.7 percent, respectively), Hispanic/Latino participants increased by four percent and White participants increased by six percent between the reporting periods.

Release Type

As expected post-realignment, over three-quarters of the referrals are first releases (75.7 percent) and nearly one-quarter are re-releases (23.3 percent).⁶ A first release refers to the first release on the current term for felons with new admissions, and parole violators returning with a new term. A re-release refers to any subsequent release on the same (current) term, after a return to prison for a parole violation. First releases outnumber re-releases across all parole regions and treatment providers.

First releases also outnumbered re-releases in fiscal year 2012-13 (66.6 percent and 33.4 percent of participants, respectively). First releases increased by almost 10 percent between the two reporting periods, while re-releases declined by slightly over 10 percent.

Commitment Offense Category

The majority of participants were committed for crimes against persons (77.8 percent), followed by property crimes (8.1 percent), drug crimes (8.1 percent), and other crimes (4.9 percent).⁷ The commitment offense category does not vary widely across parole regions or treatment providers. Totals for each category were similar between fiscal year 2012-13 and fiscal year 2013-14, although crimes against persons increased slightly (5.1 percent) while property crimes and drug crimes decreased slightly (92.3 and 2.6 percent, respectively).

⁶ Release type information is missing for 50 participants. The participants were paroled in California and released from another state. Therefore, only partial information regarding offenses and releases is provided in SOMS

⁷ The Commitment Offense Category is missing for 50 participants. The participants were paroled to California from another state and the information is not provided in SOMS.

Serious and/or Violent

Slightly over half (55.8 percent) of participants committed a serious or violent crime, which is a 14.1 percent increase from fiscal year 2012-13. With the exception of Parole Region I, there were more Serious and/or Violent crimes than Non-Serious and/or Violent Crimes.

Sex Registration Flag

At the time of this report, only 37 participants were no longer required to register as a sex offender or were interstate parolees without a sex registration flag, while 4,821 participants (99.9 percent) are still required to register.

Sentence Type

Over three-quarters of the participants served a determinate sentence for their most recent prison commitment (77.2 percent).⁸ A determinate sentence specifies a sentence length for convicted felons remanded to State prison. Second strikers comprised 21.5 percent of participants, while third strikers and those serving a life sentence comprised less than one percent of participants. Second Striker indicates a defendant who has one prior serious or violent felony conviction and is convicted of a new felony, while a Third Striker has two or more prior serious or violent felony convictions and is convicted of a new serious or violent felony.⁹

California Static Risk Assessment Score

The California Static Risk Assessment (CSRA) is a tool used to calculate an offender's risk of being convicted of a new offense after release from prison.¹⁰ Based on age, gender and criminal history, offenders are designated as having either a low, medium or high risk of being convicted of a new offense after release. Over half of the participants have a low CSRA Score (59.7 percent), followed by moderate (25 percent) and high (14.3 percent).¹¹

Static-99 Score

The Static-99 is a risk assessment tool designed to predict sexual and violent recidivism in male adult offenders.¹² Numeric scores are translated to the following risk categories: low, moderate-low, moderate-high and high. A Static-99 Score of high or moderate high is the primary criteria used to designate a sex offender as high risk. Over half of the participants had a score of high or moderate high (54.7 percent), while 44.4 percent had

⁸ The Sentence Type is missing for 50 participants. The participants were paroled to California from another state and the information is not provided in SOMS.

⁹ For more information on Determinate Sentencing Law and Indeterminate Sentencing Law, see Penal Code Section 1170 and Penal Code 1168.

¹⁰ For more information about the CSRA, visit the University of California, Irvine, Center for Evidence-Based Corrections website at: <http://ucicorrections.seweb.uci.edu/files/2009/11/CSRA-Working-Paper.pdf>

¹¹ CSRA Score information was missing for 49 participants. The participants were paroled in California and released from another state. Therefore, only partial information regarding offenses and releases is provided in SOMS.

¹² For more information on the Static-99, visit the Static-99 Clearinghouse website at: <http://www.static99.org>

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a score of low or moderate-low. Forty participants (less than one percent) did not have a Static 99 Score. Static 99 scoring is only used for male offenders.

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Table 3: Demographic and Offender Characteristics by Parole Region

Characteristics	Region I		Region II		Region III		Region IV		Total	
	N	%	N	%	N	%	N	%	N	%
Total	409	100.0	1,469	100.0	1,012	100.0	1,970	100.0	4,860	100.0
Sex Offender Risk Type										
High Risk Sex Offender	324	79.2	829	56.4	551	54.4	1,027	52.2	2,731	56.2
Non-High Risk Sex Offender	85	20.8	640	43.6	461	45.6	943	47.8	2,129	43.8
Gender										
Male	408	99.8	1,454	99.0	1,003	99.1	1,948	98.9	4,813	99.0
Female	1	0.2	15	1.0	9	0.9	22	1.1	47	1.0
Age of Release										
18-19	0	0.0	2	0.1	1	0.1	1	0.1	4	0.1
20-24	29	7.1	78	5.3	66	6.5	131	6.6	304	6.3
25-29	56	13.7	164	11.2	107	10.6	213	10.8	540	11.1
30-34	54	13.2	212	14.4	119	11.8	245	12.4	630	13.0
35-39	52	12.7	155	10.6	99	9.8	200	10.2	506	10.4
40-44	47	11.5	202	13.8	134	13.2	286	14.5	669	13.8
45-49	61	14.9	215	14.6	169	16.7	289	14.7	734	15.1
50-54	48	11.7	182	12.4	142	14.0	259	13.1	631	13.0
55-59	37	9.0	134	9.1	90	8.9	174	8.8	435	8.9
60 and over	25	6.1	125	8.5	85	8.4	171	8.7	406	8.4
Missing	0	0.0	0	0.0	0	0.0	1	0.1	1	0.0
Race/ Ethnicity										
White	177	43.3	518	35.3	231	22.8	763	38.7	1,689	34.8
Hispanic/Latino	84	20.5	366	24.9	381	37.6	602	30.5	1,433	29.5
Black/African American	119	29.1	433	29.5	355	35.1	487	24.7	1,394	28.7
Native American/Alaskan Native	10	2.4	31	2.1	6	0.6	29	1.5	76	1.6
Asian	3	0.7	39	2.7	4	0.4	6	0.3	52	1.1
Native Hawaiian/Pacific Islander	0	0.0	4	0.3	1	0.1	3	0.2	8	0.2
Other	16	3.9	78	5.3	34	3.4	80	4.1	208	4.3

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Table 3: Demographic and Offender Characteristics by Parole Region (continued)

Characteristics	Region I		Region II		Region III		Region IV		Total	
	N	%	N	%	N	%	N	%	N	%
Release Type										
First Release	349	85.3	1,050	71.5	847	83.7	1,434	72.8	3,680	75.7
Re-Release	53	13.0	409	27.8	158	15.6	510	25.9	1,130	23.3
Missing	7	1.7	10	0.7	7	0.7	26	1.3	50	1.0
Commitment Offense Category										
Crimes Against Persons	308	75.3	1,155	78.6	751	74.2	1,565	79.5	3,779	77.8
Property Crimes	40	9.8	125	8.5	95	9.4	136	6.9	396	8.1
Drug Crimes	29	7.1	100	6.8	111	11.0	155	7.9	395	8.1
Other Crimes	25	6.1	79	5.4	48	4.7	88	4.5	240	4.9
Missing	7	1.7	10	0.7	7	0.7	26	1.3	50	1.0
Serious and/or Violent										
Yes	201	49.1	868	59.1	547	54.1	1,097	55.7	2,713	55.8
No	208	50.9	601	40.9	465	45.9	873	44.3	2,147	44.2
Sex Registration Flag										
Yes	404	98.8	1,455	99.0	1,008	99.6	1,954	99.1	4,821	99.2
No	5	1.2	14	1.0	4	0.4	14	0.8	37	0.8
Missing		0.0	0	0.0	0	0.0	2	0.2	2	0.1
Sentence Type										
Second Striker	107	26.2	252	17.2	254	25.1	431	21.9	1,044	21.5
Third Striker	0	0.0	0	0.0	1	0.1	0	0.0	1	0.0
Determinate Sentence	295	72.1	1,206	82.1	748	73.9	1,504	76.4	3,753	77.2
Life	0	0.0	1	0.1	2	0.2	9	0.5	12	0.2
Missing	7	1.7	10	0.7	7	0.7	26	1.3	50	1.0
CSRA Risk Score										
Low	215	52.6	870	59.2	626	61.9	1,193	60.5	2,904	59.7
Moderate	112	27.4	344	23.4	277	27.4	482	24.5	1,215	25.0
High	78	19.1	243	16.5	100	9.9	271	13.8	692	14.3
Missing	4	1.0	12	0.8	9	0.9	24	1.2	49	1.0
Static-99 Score										
Low	53	13.0	340	23.4	253	25.2	514	26.4	1,160	24.1
Moderate-Low	40	9.8	304	20.9	210	20.9	423	21.7	977	20.3
Moderate-High	210	51.5	509	35.0	361	36.0	698	35.8	1,778	36.9
High	103	25.2	287	19.7	175	17.4	293	15.0	858	17.8
Missing	2	0.5	14	1.0	4	0.4	20	1.1	40	0.9

Participant Roster Data

Fiscal Year 2013-14 Participant Roster Report

The Participant Roster (Appendix A) was introduced as the primary data collection system in fiscal year 2012-13. Previously, treatment providers submitted rosters that contained the name and CDC Number of offenders who received services during the reporting period. DAPO has worked extensively with treatment providers to improve the quality and completeness of data required to complete the report, with noticeable results in fiscal year 2013-14. This section details information found in the Participant Rosters for fiscal year 2013-14, and provides recommendations for future data collection.

Number of Participants

As mentioned previously, a total of 4,860 participants (2,731 HRSOs and 2,129 Non-HRSOs) were referred to the program or received services in fiscal year 2013-14. Of the 4,860 participants, 3,517 were referred during fiscal year 2013-14 and 1,343 were referred prior to the fiscal year, but received services during the reporting period. Of the 4,860 referrals, 3,867 participants (79.5 percent) received services during the fiscal year, according to the Participant Roster.

Forty participants had a duplicate record in the Participant Roster. Reasons for the duplications include: those who leave treatment for various reasons and are later referred/return to treatment, and those who are referred to or receive services at one location and are subsequently transferred or re-enter the program at another location.

Referrals

One hundred percent of the participants included in the Participant Rosters had a referral date. The number of participants with a referral date improved from fiscal year 2012-13, when 28 participants (2.2 percent of the total) included in the Participant Rosters were missing referral dates.

Treatment Start and End Date

A program start date was provided for 3,938 (80.4 percent) of participants. This number improved from fiscal year 2012-13 when a start date was provided for only 63.1 percent of participants. The treatment start date is expected to be missing for participants who have been referred to treatment, but are still awaiting treatment services. The average time between the referral date and start date, for those participants with a start date, was 49 days.

Treatment Plans

The Participant Roster indicates that 2,102 participants or 43 percent of the total had a Treatment Plan completed. This number improved significantly from fiscal year 2012-13 when only 15.1 percent of the total participants had a Treatment Plan completed.

Because the Treatment Plan is required to be completed prior to the provision of treatment services, according to the contracts, it is likely that Treatment Plans are being completed, but the dates are not entered into the Participant Roster.

Treatment Services by Month

Table 4 shows the number of participants receiving services by month, according to the Participant Roster. The number of participants increased throughout the fiscal year. As services continue to be provided by Parole Region I, the number should continue to increase. The largest increases in services occurred in August 2013 (20 percent more participants than July) and April 2014 (18 percent more participants than March). The number of participants receiving services, by month and Parole Region is shown in Appendix E.

Table 4: Treatment Services by Month

Month	Region I	Region II	Region III	Region IV	Total
2013-07	0	321	197	385	903
2013-08	0	376	240	434	1,050
2013-09	0	424	276	560	1,260
2013-10	0	449	341	570	1,360
2013-11	0	459	368	740	1,567
2013-12	0	471	370	772	1,613
2014-01	0	527	443	848	1,818
2014-02	0	546	498	903	1,947
2014-03	0	626	501	996	2,123
2014-04	0	520	554	1,140	2,214
2014-05	131	708	553	1,222	2,614
2014-06	217	700	614	1,292	2,823

Discharge or Treatment Interruption

The Participant Roster allows treatment providers to indicate whether a participant is still receiving services, or has been discharged from the program and the reason for discharge. Fifty-four percent of participants were still receiving services at the close of the reporting period.

Of those discharged from the program, 14.6 percent were discharged from parole or supervision had ended, 11.5 percent were waitlisted and 9.6 percent were returned to custody (either jail or prison). Very few participants (2.9 percent) were considered no shows or had unexcused absences from the program. Completion of this category in the Participant Roster also improved significantly during fiscal year 2013-14. In fiscal year 2012-13, a reason for discharge was only provided for 36 percent of participants. In fiscal year 2013-14, this field was completed for all but five participants.

Recommendations

Data collection procedures improved between fiscal year 2011-12 and the current reporting period. Use of the Participant Roster in fiscal year 2012-13 contributed to the availability of program information. Changes to the Participant Roster between fiscal year 2012-13 and fiscal year 2013-14 contributed to the quality of the information provided.

Based on the fiscal year 2013-14 data provided in the Participant Rosters, the Office of Research recommends the following:

- 1) *Require treatment providers to complete treatment plan dates, and review Participant Roster submissions for completeness and accuracy.*

Under half (43 percent) of the total participants included in the Participant Roster had a treatment plan completion date. The treatment plan date field was left blank more than any other field on the Participant Roster. Contracts between DAPO and treatment providers require the treatment plan to be completed within 90 days of referral. Because treatment providers are required to fulfill contractual obligations, prior to being reimbursed for services, it is likely the treatment plan field is being overlooked by providers. Over 1,800 participants with a blank treatment field received services during the reporting period. Incomplete or blank dates make it difficult to determine whether or not a participant began treatment, and does not allow for a complete analysis of the length of time between referral date, receipt of services and discharge date.

- 2) *Require treatment providers to accurately record months during which services were received and review Participant Roster submissions for accuracy.*

Treatment providers should ensure the months participants receive services are completed and accurate in the Participant Roster. If the treatment plan date is missing or is later than the month in which a participant began treatment services, it is difficult to verify the accuracy of information provided. Accurate information in this section will allow the Office of Research to study the average length of treatment services, the average length of time between referral and start date, and other important aspects of treatment services.

- 3) *Review all dates provided in the Participant Roster and correct errors as they relate to dates.*

In some cases, referral dates came after start dates or discharge dates came before services ended. These types of errors can largely be attributed to data entry errors. Identifying data entry errors early in the review process contributes to the timeliness and accuracy of data provided for analysis. Ensuring all fields are complete and follow a

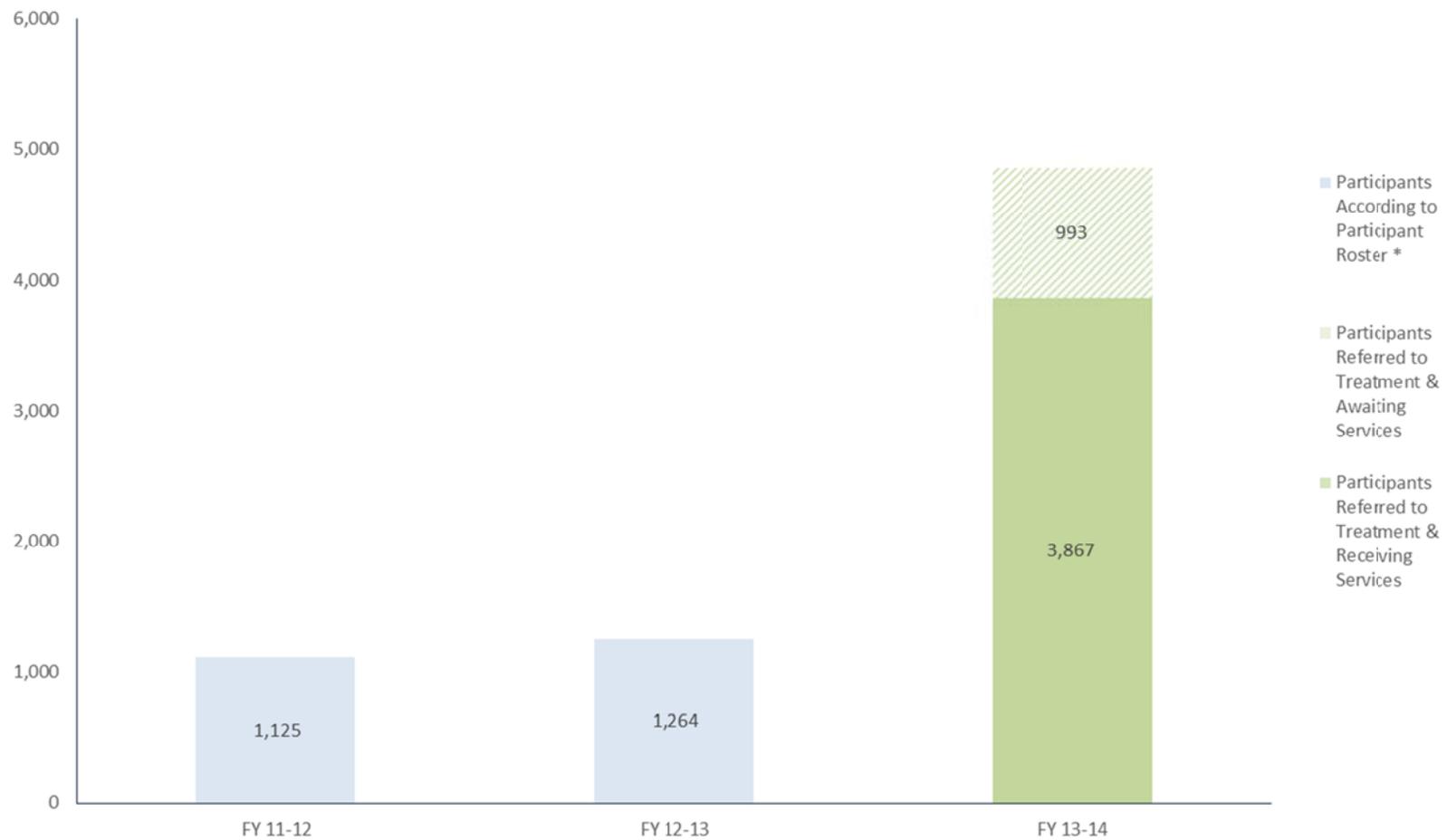
logical sequence (i.e., referral, treatment plan, start date, services, and discharge date) will allow for deeper analysis of the Sex Offender Treatment Program.

Conclusion

The Sex Offender Treatment Program continues to grow and served more HRSO and Non-HRSO participants in fiscal year 2013-14 than in previous fiscal years. Overall, the number of referrals and participants steadily increased during the reporting period in each Parole Region. With the recent completion of contracts in Parole Region I, the number of referrals and services provided will continue to increase.

Data provided in the Participant Roster by treatment providers also improved in terms of completed fields and the quality of data provided. Improvements to data allowed for fiscal year and regional comparisons that were not available in previous reports. As data quality continues to improve, CDCR's Office of Research will be able to begin analyses of recidivism and measure outcomes of Sex Offender Treatment Program participants. In addition the fiscal year 2013-14 participants will be used to create a cohort to study the impacts on recidivism in future years. The Office of Research will continue to collaborate with DAPO to further enhance the quality of the data in order to study the effectiveness of Sex Offender Treatment Program contracts and to meet legislative requirements.

Appendix B – Sex Offender Treatment Program Participants by Fiscal Year



*FY 2011-12 and FY 2012-13 data quality limitations do not allow for a distinction to be made between those offenders who received services and those who were referred to treatment, but had not yet received services.

Appendix C – Demographic and Offender Characteristics by Treatment Provider

Characteristics	Region I				Region II				Region III				Region IV				Total								
	CPC	CPCC	HP	SF	CPC	SF	ODC	SF	HHC	MPG	SF	N	%	N	%	N	%								
Total	204	100.0	22	100.0	30	100.0	153	100.0	346	100.0	1,123	100.0	485	100.0	527	100.0	564	100.0	521	100.0	885	100.0	4,860	100.0	
Sex Offender Risk Type																									
High Risk Sex Offender	147	72.1	8	36.4	30	100.0	139	90.8	160	46.2	669	59.6	236	48.7	315	59.8	294	52.1	299	57.4	434	49.0	2,731	56.2	
Non-High Risk Sex Offender	57	27.9	14	63.6	0	0.0	14	9.2	186	53.8	454	40.4	249	51.3	212	40.2	270	47.9	222	42.6	451	51.0	2,129	43.8	
Gender																									
Male	203	99.5	22	100.0	30	100.0	153	100.0	342	98.8	1,112	99.0	480	99.0	523	99.2	560	99.3	514	98.7	874	98.8	4,813	99.0	
Female	1	0.5	0	0.0	0	0.0	0	0.0	4	1.2	11	1.0	5	1.0	4	0.8	4	0.7	7	1.3	11	1.2	47	1.0	
Age of Release																									
18-19	0	0.0	0	0.0	0	0.0	0	0.0	1	0.3	1	0.1	0	0.0	1	0.2	0	0.0	0	0.0	1	0.1	4	0.1	
20-24	9	4.4	2	9.1	3	10.0	15	9.8	16	4.6	62	5.5	34	7.0	32	6.1	33	5.9	40	7.7	58	6.6	304	6.3	
25-29	26	12.7	2	9.1	9	30.0	19	12.4	44	12.7	120	10.7	47	9.7	60	11.4	48	8.5	56	10.7	109	12.3	540	11.1	
30-34	25	12.3	4	18.2	4	13.3	21	13.7	50	14.5	162	14.4	61	12.6	58	11.0	72	12.8	54	10.4	119	13.4	630	13.0	
35-39	22	10.8	5	22.7	3	10.0	22	14.4	36	10.4	119	10.6	51	10.5	48	9.1	61	10.8	43	8.3	96	10.8	506	10.4	
40-44	31	15.2	2	9.1	3	10.0	11	7.2	41	11.8	161	14.3	67	13.8	67	12.7	85	15.1	86	16.5	115	13.0	669	13.8	
45-49	32	15.7	0	0.0	4	13.3	25	16.3	48	13.9	167	14.9	78	16.1	91	17.3	86	15.2	69	13.2	134	15.1	734	15.1	
50-54	27	13.2	5	22.7	1	3.3	15	9.8	43	12.4	139	12.4	69	14.2	73	13.9	77	13.7	73	14.0	109	12.3	631	13.0	
55-59	18	8.8	1	4.5	3	10.0	15	9.8	35	10.1	99	8.8	34	7.0	56	10.6	47	8.3	54	10.4	73	8.2	435	9.0	
60 and over	14	6.9	1	4.5	0	0.0	10	6.5	32	9.2	93	8.3	44	9.1	41	7.8	54	9.6	46	8.8	71	8.0	406	8.4	
Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.2	0	0.0	0	0.0	1	0.0	
Race/ Ethnicity																									
White	103	50.5	20	90.9	14	46.7	40	26.1	142	41.0	376	33.5	157	32.4	74	14.0	218	38.7	207	39.7	338	38.2	1,689	34.8	
Hispanic/Latino	63	30.9	1	4.5	7	23.3	13	8.5	143	41.3	223	19.9	204	42.1	177	33.6	149	26.4	155	29.8	298	33.7	1,433	29.5	
Black/African American	27	13.2	1	4.5	6	20.0	85	55.6	43	12.4	390	34.7	97	20.0	258	49.0	152	27.0	127	24.4	208	23.5	1,394	28.7	
Native American/Alaskan Native	3	1.5	0	0.0	2	6.7	5	3.3	7	2.0	24	2.1	4	0.8	2	0.4	13	2.3	4	0.8	12	1.4	76	1.6	
Asian	3	1.5	0	0.0	0	0.0	0	0.0	1	0.3	38	3.4	3	0.6	1	0.2	1	0.2	4	0.8	1	0.1	52	1.1	
Native Hawaiian/Pacific Islander	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	4	0.4	0	0.0	1	0.2	1	0.2	0	0.0	2	0.2	8	0.2	
Other	5	2.5	0	0.0	1	3.3	10	6.5	10	2.9	68	6.1	20	4.1	14	2.7	30	5.3	24	4.6	26	2.9	208	4.3	

Appendix C – Demographic and Offender Characteristics by Treatment Provider (continued)

Characteristics	Region I				Region II				Region III				Region IV				Total							
	CPC		CPCC		HP		SF		CPC		SF		ODC		SF		HHC		MPG		SF		Total	
	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%	N	%
Release Type																								
First Release	168	82.4	18	81.8	26	86.7	137	89.5	242	69.9	808	72.0	394	81.2	453	86.0	418	74.1	375	72.0	641	72.4	3,680	75.7
Re-Release	32	15.7	1	4.5	4	13.3	16	10.5	97	28.0	312	27.8	86	17.7	72	13.7	137	24.3	143	27.4	230	26.0	1,130	23.3
Missing	4	2.0	3	13.6	0	0.0	0	0.0	7	2.0	3	0.3	5	1.0	2	0.4	9	1.6	3	0.6	14	1.6	50	1.0
Commitment Offense Category																								
Crimes Against Persons	155	76.0	16	72.7	19	63.3	118	77.1	277	80.1	878	78.2	383	79.0	368	69.8	434	77.0	408	78.3	723	81.7	3,779	77.8
Property Crimes	18	8.8	0	0.0	7	23.3	15	9.8	25	7.2	100	8.9	45	9.3	50	9.5	46	8.2	35	6.7	55	6.2	396	8.1
Drug Crimes	16	7.8	2	9.1	0	0.0	11	7.2	21	6.1	79	7.0	33	6.8	78	14.8	48	8.5	55	10.6	52	5.9	395	8.1
Other Crimes	11	5.4	1	4.5	4	13.3	9	5.9	16	4.6	63	5.6	19	3.9	29	5.5	27	4.8	20	3.8	41	4.6	240	4.9
Missing	4	2.0	3	13.6	0	0.0	0	0.0	7	2.0	3	0.3	5	1.0	2	0.4	9	1.6	3	0.6	14	1.6	50	1.0
Serious and/or Violent																								
Yes	117	57.4	12	54.5	17	56.7	55	35.9	217	62.7	651	58.0	292	60.2	255	48.4	314	55.7	271	52.0	512	57.9	2,713	55.8
No	87	42.6	10	45.5	13	43.3	98	64.1	129	37.3	472	42.0	193	39.8	272	51.6	250	44.3	250	48.0	373	42.1	2,147	44.2
Sex Registration Flag																								
Yes	202	99.0	20	90.9	30	100.0	152	99.3	341	98.6	1,114	99.2	481	99.2	527	100.0	558	98.9	517	99.2	879	99.3	4,821	99.2
No	2	1.0	2	9.1	0	0.0	1	0.7	5	1.4	9	0.8	4	0.8	0	0.0	6	1.1	4	0.8	4	0.5	37	0.8
Missing	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	2	0.2	2	0.0
Sentence Type																								
Second Striker	46	22.5	3	13.6	5	16.7	53	34.6	60	17.3	192	17.1	126	26.0	128	24.3	143	25.4	96	18.4	192	21.7	1,044	21.5
Third Striker	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.2	0	0.0	0	0.0	0	0.0	0	0.0	1	0.0
Determinate Sentence	154	75.5	16	72.7	25	83.3	100	65.4	279	80.6	927	82.5	351	72.4	397	75.3	409	72.5	419	80.4	676	76.4	3,753	77.2
Life	0	0.0	0	0.0	0	0.0	0	0.0	0	0.0	1	0.1	2	0.4	0	0.0	3	0.5	3	0.6	3	0.3	12	0.2
Missing	4	2.0	3	13.6	0	0.0	0	0.0	7	2.0	3	0.3	5	1.0	2	0.4	9	1.6	3	0.6	14	1.6	50	1.0
CSRA Risk Score																								
Low	124	60.8	13	59.1	8	26.7	70	45.8	217	62.7	653	58.1	306	63.1	320	60.7	357	63.3	303	58.2	533	60.2	2,904	59.8
Moderate	49	24.0	2	9.1	10	33.3	51	33.3	76	22.0	268	23.9	128	26.4	149	28.3	124	22.0	134	25.7	224	25.3	1,215	25.0
High	29	14.2	5	22.7	12	40.0	32	20.9	45	13.0	198	17.6	46	9.5	54	10.2	77	13.7	80	15.4	114	12.9	692	14.2
Missing	2	1.0	2	9.1	0	0.0	0	0.0	8	2.3	4	0.4	5	1.0	4	0.8	6	1.1	4	0.8	14	1.6	49	1.0
Static-99 Score																								
Low	31	15.3	7	31.8	2	6.7	13	8.5	101	29.5	239	21.5	142	29.6	111	21.2	150	26.8	108	21.0	256	29.3	1,160	24.1
Moderate-Low	29	14.3	7	31.8	2	6.7	2	1.3	85	24.9	219	19.7	107	22.3	103	19.7	122	21.8	118	23.0	183	20.9	977	20.3
Moderate-High	96	47.3	5	22.7	18	60.0	91	59.5	101	29.5	408	36.7	157	32.7	204	39.0	196	35.0	186	36.2	316	36.2	1,778	36.9
High	46	22.7	3	13.6	7	23.3	47	30.7	51	14.9	236	21.2	70	14.6	105	20.1	87	15.5	97	18.9	109	12.5	858	17.8
Missing	1	0.5	0	0.0	1	3.3	0	0.0	4	1.2	10	0.9	4	0.8	0	0.0	5	0.9	5	1.0	10	1.1	40	0.8

Appendix D – Demographic and Offender Characteristics by Fiscal Year

	FY 2012-2013	FY 2013-2014		FY 2012-2013	FY 2013-2014	
Characteristics	N	N	Difference N			Difference %
Total	1,264	4,860	3,596	1,264	4,860	
Sex Offender Risk Type *						
High Risk Sex Offender	1,195	2,731	1,536	94.5%	56.2%	-38.3%
Non-High Risk Sex Offender	36	2,129	2,093	2.8%	43.8%	41.0%
Gender						
Male	1,248	4,813	3,565	98.7%	99.0%	0.3%
Female	16	47	31	1.3%	1.0%	-0.3%
Age of Release						
18-19	1	4	3	0.1%	0.1%	0.0%
20-24	92	304	212	7.3%	6.3%	-1.0%
25-29	132	540	408	10.4%	11.1%	0.7%
30-34	188	630	442	14.9%	13.0%	-1.9%
35-39	122	506	384	9.7%	10.4%	0.8%
40-44	166	669	503	13.1%	13.8%	0.6%
45-49	186	734	548	14.7%	15.1%	0.4%
50-54	180	631	451	14.2%	13.0%	-1.3%
55-59	111	435	324	8.8%	9.0%	0.2%
60 and over	84	406	322	6.6%	8.4%	1.7%
Missing	2	1	-1	0.2%	0.0%	-0.1%
Race/ Ethnicity						
White	363	1,689	1,326	28.7%	34.8%	6.0%
Hispanic/Latino	322	1,433	1,111	25.5%	29.5%	4.0%
Black/African American	498	1,394	896	39.4%	28.7%	-10.7%
Native American/Alaskan Native	26	76	50	2.1%	1.6%	-0.5%
Asian	11	52	41	0.9%	1.1%	0.2%
Native Hawaiian/Pacific Islander	1	8	7	0.1%	0.2%	0.1%
Other	43	208	165	3.4%	4.3%	0.9%

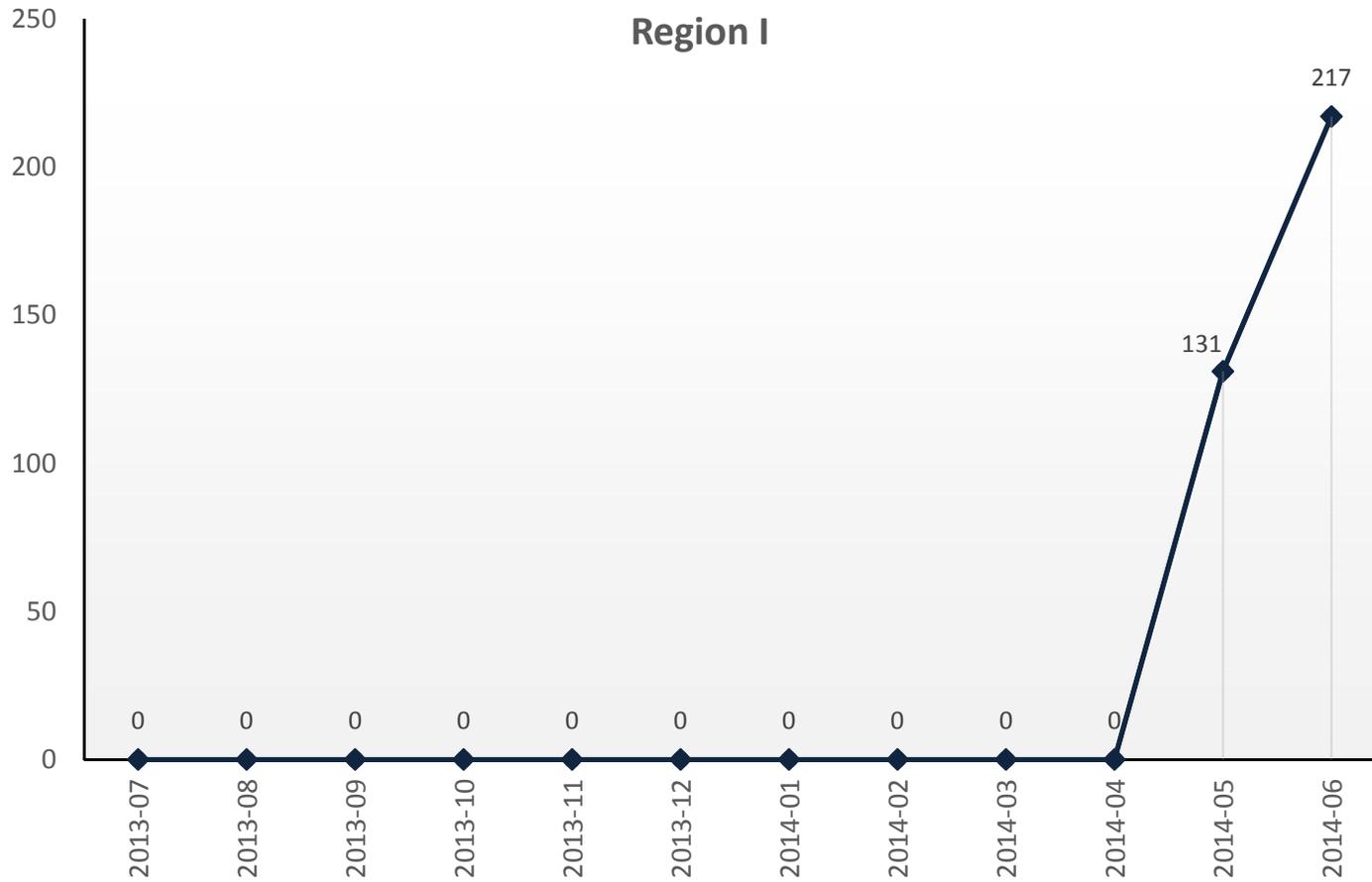
*The FY 2013-14 contracts allow for an increased number of Non-HRSOs to be served through the Sex Offender Treatment Program. While the number of HRSOs served in FY 2013-14 increased, HRSOs as a percent of the total declined, as is expected with the increase in Non-HRSO treatment slots.

Appendix D – Demographic and Offender Characteristics by Fiscal Year (continued)

Characteristics	FY 2012-2013	FY 2013-2014	Difference N	FY 2012-2013	FY 2013-2014	Difference %
	N	N				
Release Type						
First Release	842	3,680	2,838	66.6%	75.7%	9.1%
Re-Release	422	1,130	708	33.4%	23.3%	-10.1%
Missing	0	50	50	0.0%	1.0%	1.0%
Commitment Offense Category						
Crimes Against Persons	919	3,779	2,860	72.7%	77.8%	5.1%
Property Crimes	132	396	264	10.4%	8.1%	-2.3%
Drug Crimes	135	395	260	10.7%	8.1%	-2.6%
Other Crimes	78	240	162	6.2%	4.9%	-1.2%
Missing	0	50	50	0.0%	1.0%	1.0%
Serious and/or Violent						
Yes	527	2,713	2,186	41.7%	55.8%	14.1%
No	737	2,147	1,410	58.3%	44.2%	-14.1%
Sex Registration Flag						
Yes	1,258	4,821	3,563	99.5%	99.2%	-0.3%
No	0	37	37	0.0%	0.8%	0.8%
Missing	6	2	-4	0.5%	0.0%	-0.4%
Sentence Type						
Second Striker	316	1,044	728	25.0%	21.5%	-3.5%
Third Striker	0	1	1	0.0%	0.0%	0.0%
Determinate Sentence	943	3,753	2,810	74.6%	77.2%	2.6%
Life	5	12	7	0.4%	0.2%	-0.1%
Missing	0	50	50	0.0%	1.0%	1.0%
CSRA Risk Score						
Low	661	2,904	2,243	52.3%	59.8%	7.5%
Moderate	374	1,215	841	29.6%	25.0%	-4.6%
High	224	692	468	17.7%	14.2%	-3.5%
Missing	5	49	44	0.4%	1.0%	0.6%
Static-99 Score						
Low	86	1,160	1,074	6.8%	23.9%	17.1%
Moderate-Low	87	977	890	6.9%	20.1%	13.2%
Moderate-High	716	1,778	1,062	56.6%	36.6%	-20.1%
High	358	858	500	28.3%	17.7%	-10.7%
Missing	17	40	23	1.3%	0.8%	-0.5%

Appendix E - Treatment Services by Month and Parole Region

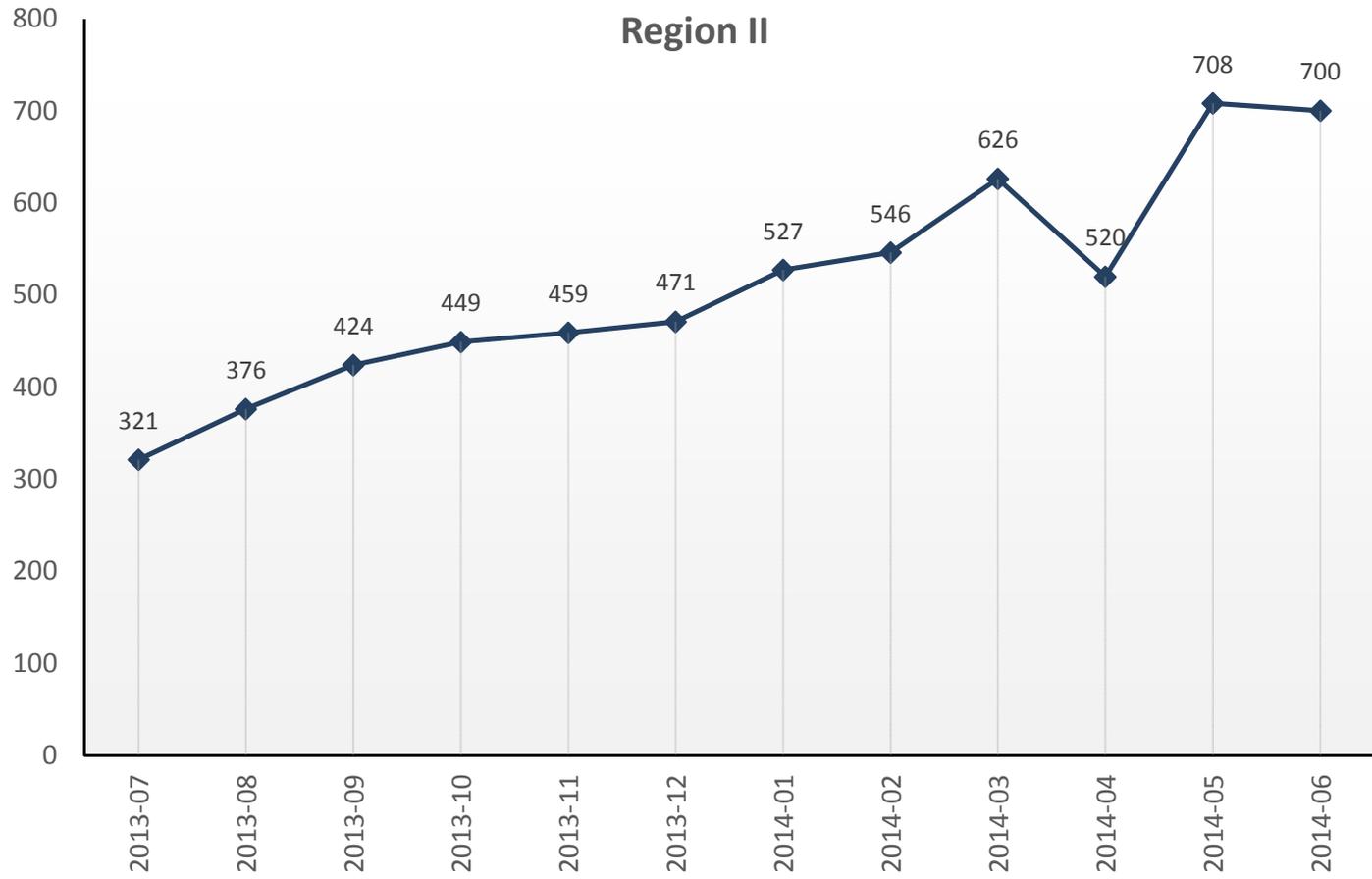
Parole Region I*



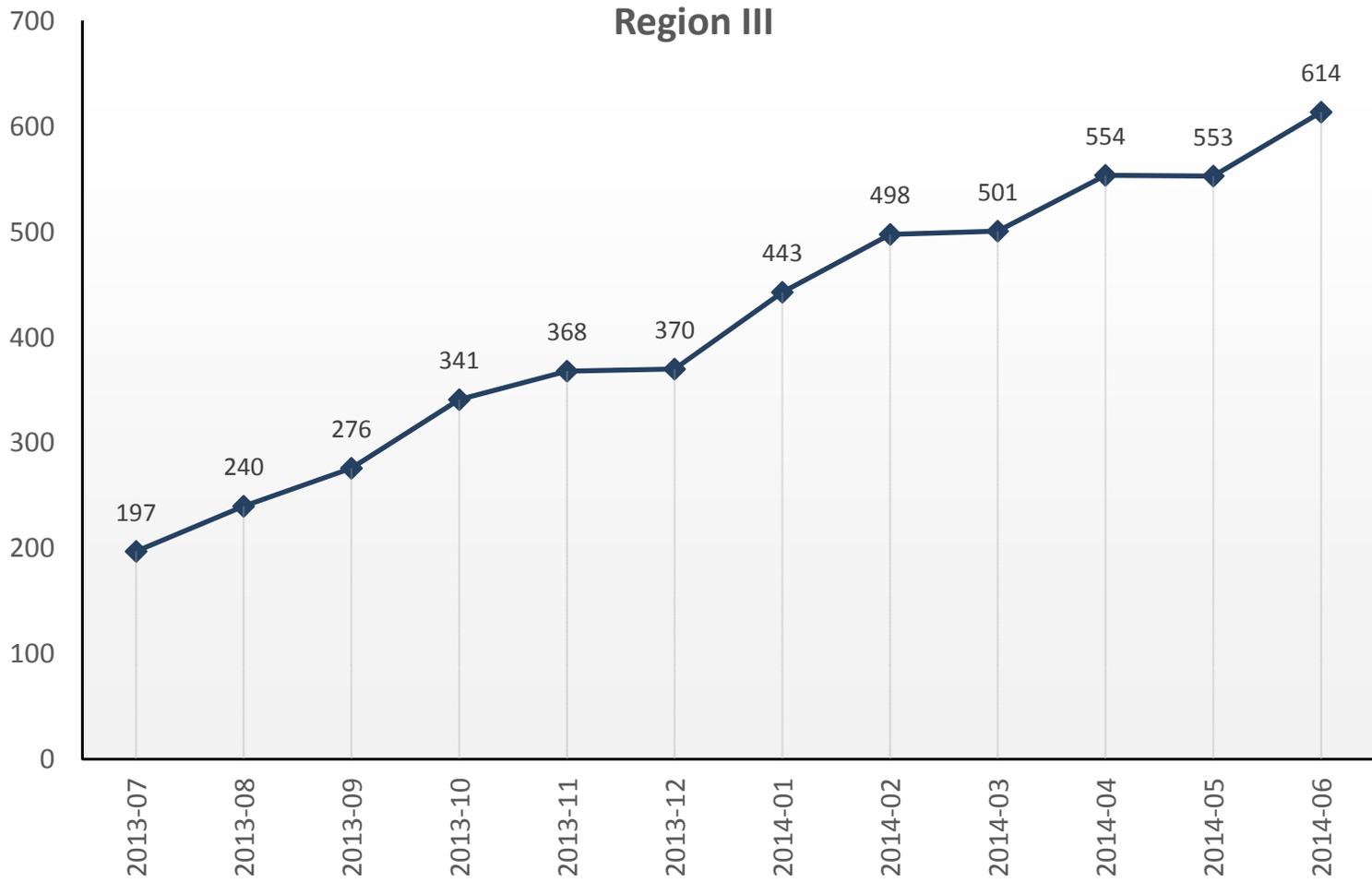
*Contracts for Parole Region I cover the period from May 1, 2014 to April 30, 2017. Services were not provided prior to May 2014.

Contracted Sex Offender Treatment Programs

Parole Region II



Parole Region III



Contracted Sex Offender Treatment Programs

Parole Region IV

