



# BOARD OF PAROLE HEARINGS



ADMINISTRATIVE DIRECTIVE NO: 2013-03A

**SUBJECT: STANDARDS FOR REVIEW OF REQUESTS FOR WAIVERS, STIPULATIONS, POSTPONEMENTS, AND CONTINUANCES**

## INTRODUCTION

"The rights and interests of all persons properly appearing before a [B]oard [of Parole Hearings] life parole consideration hearing are best served when hearings are conducted as scheduled." (**California Code of Regulations, title 15, section 2253(a).**) However, the Board of Parole Hearings recognizes that "[o]ccasional circumstances may require the delay of a scheduled hearing." (*Ibid.*)

**California Code of Regulations, title 15, section 2253** provides four actions by which a parole consideration hearing can be delayed: 1) voluntary waivers; 2) stipulations of unsuitability; 3) postponements; and 4) continuances. Multiple types of actions may be relevant in a given situation. Often a decision regarding the type of action to select will be based on the timing of the request, the desired outcome of the request, and a determination of whether the circumstances warrant such an action. Importantly, all decisions to delay a scheduled parole consideration hearing are made at the discretion of the Board of Parole Hearings.

This Administrative Directive provides direction for hearing officers with the Board of Parole Hearings in reviewing requests for voluntary waivers, stipulations of unsuitability, postponements, and continuances.

## LEGAL AUTHORITY

### Voluntary Waivers

"A prisoner may request to voluntarily waive his or her life parole consideration hearing for any reason. Requests shall be made in writing to the board and shall state the reason for the request." (**California Code of Regulations, title 15, section 2253(b).**)

"In requesting a voluntary waiver, the prisoner shall be deemed to have waived his or her right to a life parole consideration hearing ... . A prisoner may waive his or her hearing for one, two, three, four or five years." (**California Code of Regulations, title 15, section 2253(b)(1).**) "Prisoners may waive no more than three consecutive life parole consideration hearings." (**California Code of Regulations, title 15, section 2253(b)(5).**)

"A request for a voluntary waiver of a life parole consideration hearing should be submitted to the board at the earliest possible date that the prisoner becomes aware of the circumstances leading to the request, but shall be no later than 45 calendar days prior to the date of the scheduled hearing. A request made no later than 45 days prior to the scheduled hearing shall be presumed to be valid." (**California Code of Regulations, title 15, section 2253(b)(2).**) "A request for a voluntary waiver ... submitted less than 45 calendar days prior to the scheduled hearing shall be presumed to be invalid and shall be denied by the board unless good cause is shown and the reason(s) given were not and could not reasonably have been known to the prisoner 45 calendar days prior to the scheduled hearing." (**California Code of Regulations, title 15, section 2253(b)(3).**)

#### Stipulations of-to Unsuitability

"At any time prior to a life parole consideration hearing a prisoner may offer to stipulate to unsuitability for parole. An offer shall be submitted in writing to the board and shall state the reasons that support unsuitability. In considering an offer to stipulate to unsuitability the board shall review any written statements received from the district attorney and the victim, victim's next of kin, members of the victim's immediate family and two victim's representatives. The board retains discretion to accept or reject the offer to stipulate. Prisoners may offer to stipulate to unsuitability for three, five, seven, 10 or 15 years from the date of the scheduled hearing." (**California Code of Regulations, title 15, section 2253(c)(1).**)

In order to better facilitate a process for soliciting and receiving statements from district attorneys and victims concerning stipulations, the board will consider stipulations only at a scheduled hearing. Board hearing officers shall not act on any offers for stipulate to unsuitability received prior to the inmate's scheduled hearing.

#### Postponements

"The hearing panel chair or board executive officer may postpone a life parole consideration hearing, upon its own motion or at the request of a prisoner, due to the

unavailability of a hearing panel; the absence or untimeliness of required ... notices, documents, reports or required prisoner accommodations; or exigent circumstances such as illness of attending parties, natural disasters or institutional emergencies.” **(California Code of Regulations, title 15, section 2253(d)(1).)**

“A prisoner may request that the board postpone a life parole consideration hearing to resolve matters relevant to his or her parole consideration ... . The board may grant a postponement only upon the affirmative showing of good cause on the part of the prisoner and only if the prisoner did not and could not have known about the need for the postponement earlier than when he or she made the postponement request.” **(California Code of Regulations, title 15, section 2253(d)(2).)**

### Continuances

“After the commencement of a life parole consideration hearing, the hearing panel chair may continue a hearing only upon a showing of good cause which was unknown, and could not reasonably have been known ... prior to the commencement of the hearing.” **(California Code of Regulations, title 15, section 2253(e).)** “In considering a continuance, the hearing panel chair shall weigh the reasons and the need for the continuance and any convenience to the board, department, or appearing parties and determine what will best serve the interest of justice.” **(California Code of Regulations, title 15, section 2253(e)(1).)**

### “Good Cause”

“Good cause” is a requirement for postponements, continuances, and at times, for waivers. **Black’s Law Dictionary (9<sup>th</sup> ed. 2009)** defines “good cause,” in relevant part, as “[a] legally sufficient reason.” “Good cause” is defined in the **California Code of Regulations, title 15, section 2000(b)(50)** as “[a] finding by the board based upon a preponderance of the evidence that there is a factual basis and good reason for the decision made.”

## **DIRECTIVE**

### VOLUNTARY WAIVERS

#### Effect of Voluntary Waiver

With a voluntary waiver, the inmate is giving up his or her right to a hearing for the length of the voluntary waiver (one, two, three, four, or five years). A voluntary waiver

does not constitute a decision regarding the inmate's suitability for parole. An inmate may not waive his or her right to a parole consideration hearing more than three consecutive times. An inmate who has received a voluntary waiver is not eligible to submit a petition to advance his or her next hearing.

#### Review of Request for Voluntary Waiver

All requests for voluntary waivers must be reviewed for approval by the Board of Parole Hearings. Notably, voluntary waivers are the only type of action for hearing delay that has a different standard for review based upon the timing of the request. This shift in review occurs at 45 calendar days prior to the scheduled parole consideration hearing.

#### *No Later Than 45 Calendar Days Prior to the Hearing*

Generally speaking, a request for a voluntary waiver submitted no later than 45 calendar days prior to the date of the scheduled hearing signed by both the inmate and counsel shall be presumed valid. Absent extraordinary circumstances, a hearing officer shall approve the request. The hearing officer shall approve the request for voluntary waiver for the period of time requested (one, two three, four, or five years).

#### *Less Than 45 Calendar Days Prior to the Hearing*

A request for a voluntary waiver submitted less than 45 calendar days prior to the scheduled hearing shall be presumed invalid, and shall only be approved if the following two elements are met to the satisfaction of the hearing officer:

- 1) Good cause is shown, AND
- 2) The reason(s) given were not and could not reasonably have been known to the inmate 45 calendar days prior to the scheduled hearing.

*Element One* - Whether there is "good cause" is a determination made solely at the discretion of the hearing officer, who may require the inmate provide testimonial or documentary evidence explaining the reason for the request. A finding of "good cause" means the hearing officer has found the reason for the request to be legally sufficient or, in other words, there is a factual basis and good reason for the request. One factor for consideration is whether proceeding with the hearing would be a miscarriage of justice.

*Element Two* - Whether "[t]he reason[s] given were not and could not reasonably have been known to the prisoner 45 calendar days prior to the scheduled hearing," is

also a determination made solely at the discretion of the hearing officer, who may require the inmate provide testimonial or documentary evidence explaining the circumstances of the request. Depending upon the circumstances of the request, factors for the hearing officer to consider include whether there was due diligence on behalf of the inmate, and whether the inmate was the cause of any delays.

If both elements are met, a hearing officer shall approve a request for voluntary waiver submitted less than 45 calendar days prior to the date of the scheduled hearing for the period of time requested (one, two three, four, or five years).

When reviewing a request for a voluntary waiver at the hearing, the hearing officer shall determine whether the request is made knowingly and voluntarily.

STIPULATIONS OF UNSUITABILITY

Effect of Stipulation of Unsuitability

By stipulating to unsuitability, the inmate is admitting he or she is currently unsuitable for parole for a specified length of time (three, five, seven, 10, or 15 years). All offers to stipulate ~~unsuitability~~ stipulate to unsuitability must be reviewed for approval by the Board of Parole Hearings, including the length of time. An inmate who has stipulated to unsuitability is eligible to submit a petition to advance his or her next hearing in accordance with Penal Code section 3041.5(d).

Review of Offer ~~for to Stipulation~~ Stipulate of to Unsuitability

There is no good cause standard for a hearing ~~panel~~ officer to review an offer to stipulate to unsuitability. All offers to stipulate must occur at the time of the scheduled hearing, and cannot be offered after the substantive part of a hearing has begun, and there is no requirement regarding the timing of an offer to stipulate unsuitability other than it must occur prior to the start of the hearing. All offers to stipulate unsuitability shall be accepted by the hearing officer without need for explanation. The hearing panel retains discretion to accept or reject the offer to stipulate. (California Code of Regulations, title 15, section 2253(c)(1).) However Upon accepting a stipulation to unsuitability, the hearing ~~panel~~ officer shall also set a denial length (three, five, seven, 10, or 15 years) after conducting the analysis required by Penal Code section 3041.5(b)(3) and after considering the views and interests of the district attorney, victim(s), victim's next of kin, members of the victim's family, and victim's representatives. If the inmate does not agree with the denial length set by the hearing

panel officer, the inmate may withdraw the offer to ~~stipulate unsuitability~~ stipulate to unsuitability.

When reviewing an offer for stipulation of unsuitability at a hearing, the hearing panel officer shall determine whether the request is made knowingly and voluntarily.

Following approval of an offer to stipulate to unsuitability, if the inmate does not already have a base term and adjusted base term, the hearing panel shall calculate the base term and adjusted base term at that time.

## POSTPONEMENTS

### *Effect of Postponement*

A postponement is the simple act of postponing a scheduled hearing. A postponement does not constitute a decision regarding the inmate's suitability for parole. Postponed hearings shall be rescheduled on the next available hearing calendar, unless otherwise specified by the hearing officer. Postponements may be requested by the inmate or by any other participant in the scheduled hearing, or may be initiated by the Board of Parole Hearings. An inmate who has received a postponement is not eligible to submit a petition to advance his or her next hearing.

### *Review of Request for Postponement*

Specified reasons for postponement are enumerated in regulation, and do not require analysis by the Board of Parole Hearings for approval. All other reasons for postponement require a finding of good cause and analysis by the Board of Parole Hearings for approval.

### *Enumerated Reasons for Postponement*

California Code of Regulations, title 15, section 2253(d)(1) sets forth specific reasons for postponement that do not require analysis by the Board of Parole Hearings for approval. These include "unavailability of a hearing panel; the absence or untimeliness of required ... notices, documents, reports or required prisoner accommodations; or exigent circumstances such as illness of attending parties, natural disasters or institutional emergencies." (*Ibid.*)

### *Non-Enumerated Reasons for Postponement*

All reasons for postponement that are not enumerated in California Code of Regulations, title 15, section 2253(d)(1) require a good cause finding by the Board of Parole Hearings for approval. Pursuant to California Code of Regulations, title 15, section 2253(d)(2), a request for a postponement for a reason not enumerated in regulations shall only be approved if the following three elements are met to the satisfaction of the hearing officer:

- 1) The inmate desires to resolve matters relevant to his or her parole consideration hearing, AND
- 2) Good cause is shown, AND
- 3) The inmate did not and could not have known about the need for the postponement any earlier than when the request was made.

*Element One* – The inmate must explain what he or she intends to resolve, and the matter to be resolved must be relevant to the inmate’s parole consideration hearing. Whether the matter is relevant to the inmate’s parole consideration hearing is a determination made solely at the discretion of the hearing officer, who may require the inmate provide testimonial or documentary evidence explaining the reason for the request.

*Element Two* - Whether there is “good cause” is a determination made solely at the discretion of the hearing officer, who may require the inmate provide testimonial or documentary evidence explaining the reason for the request. A finding of “good cause” means the hearing officer has found the reason for the request to be legally sufficient or, in other words, there is a factual basis and good reason for the request. One factor for consideration is whether proceeding with the hearing would be a miscarriage of justice.

*Element Three* - Whether “the prisoner did not and could not have known about the need for the postponement earlier than when he or she made the postponement request,” is also a determination made solely at the discretion of the hearing officer, who may require the inmate provide testimonial or documentary evidence explaining the circumstances of the request. Depending upon the circumstances of the request, factors for the hearing officer to consider include whether there was due diligence on behalf of the inmate, and whether the inmate was the cause of any delays. Unlike a request for a voluntary waiver, there is no timeframe associated with assessing the reasonableness of when the inmate

should have become aware of the need for the postponement. The hearing officer shall merely evaluate whether the inmate's postponement request has been made at the earliest possible date, as reasonable.

If all three elements are met, the hearing officer shall approve a request for postponement for reasons not enumerated in regulation. Postponed hearings shall be rescheduled on the next available hearing calendar, unless otherwise specified by the hearing officer.

When reviewing a request for postponement at a hearing, the hearing officer shall determine whether the request is made knowingly and voluntarily.

## CONTINUANCE

### *Effect of Continuance*

A continuance occurs whenever a hearing that has been initiated and is substantially underway must be delayed for any reason. A request for a continuance can be initiated by the hearing officer or any other stakeholder at the hearing. A continued hearing does not constitute a decision regarding the inmate's suitability for parole. Continued hearings shall be rescheduled on the next available hearing calendar. An inmate who has received a continued hearing is not eligible to submit a petition to advance his or her next hearing.

### *Review of Request for Continuance*

A decision whether to continue a hearing is made at the discretion of the hearing officer, and requires a finding of good cause and analysis by the Board of Parole Hearings for approval. A continuance shall only be approved after a finding of two elements, and then an additional weighing of varying interests in consideration of the interest of justice.

#### *Part One – Two Elements*

As a preliminary matter, the following two elements must be met to the satisfaction of the hearing officer before granting a continuance:

- 1) Good cause is shown, AND
- 2) The reason for the continuance was unknown or could not reasonably have been known prior to the commencement of the hearing.

*Element One* - Whether there is "good cause" is a determination made solely at the discretion of the hearing officer, who may require testimonial or documentary evidence explaining the reason for the request. A finding of "good cause" means the hearing officer has found the reason for the request to be legally sufficient or, in other words, there is a factual basis and good reason for the request.

*Element Two* - Whether the reason for the continuance was unknown or could not reasonably have been known prior to the commencement of the hearing is also a determination made solely at the discretion of the hearing officer, who may require testimonial or documentary evidence explaining the circumstances of the request. Depending upon the circumstances of the request, factors for the hearing officer to consider include whether there was due diligence on behalf of the party making the request for continuance.

*Part Two – Interest of Justice*

As a secondary matter, if both of the above elements are met, the hearing officer shall then weigh all of the varying interests of the Board of Parole Hearings, the inmate, and the other stakeholders at the hearing, in determining whether to continue the hearing. Specifically, California Code of Regulations, title 15, section 2253(e)(1) requires the hearing officer to "weigh the reasons and the need for the continuance and any convenience to the board, department, or appearing parties and determine what will best serve the interest of justice". The ultimate issue for determination is whether a continuation would "best serve the interest of justice".

*This Administrative Directive shall take effect immediately. If you have any questions concerning the contents of this Administrative Directive please contact the legal office at (916) 324-7604.*

**APPROVED BY:** \_\_\_\_\_

**JENNIFER P. SHAFFER**  
**Executive Officer, BPH**

**DATE:** \_\_\_\_\_



# BOARD OF PAROLE HEARINGS



ADMINISTRATIVE DIRECTIVE NO: 2013-03B

**SUBJECT: REQUIREMENTS WHEN DISCUSSING WAIVERS, STIPULATIONS, AND POSTPONEMENTS WITH INMATES AT HEARINGS**

## INTRODUCTION

~~Many~~ ~~of~~ ~~the~~ ~~most~~ ~~pre-hearing~~ requests for waivers, ~~stipulations~~ ~~of~~ ~~unsuitability~~, and postponements are submitted in writing and resolved prior to the scheduled hearing. For those that occur at the hearing, this Administrative Directive clarifies that all discussions between an inmate and the hearing panel regarding a request for waiver, stipulation of unsuitability, or postponement must be made on the record.

## LEGAL AUTHORITY

Requests for waivers, stipulations of unsuitability, and postponements of life inmate parole suitability hearings are governed in large part by **California Code of Regulations, title 15, section 2253**, which states, among other things: "The rights and interests of all persons properly appearing before a board life parole consideration hearing are best served when hearings are conducted as scheduled. Occasional circumstances may require the delay of a scheduled hearing. It is the intention of the board to recognize the need and desirability to occasionally delay a scheduled hearing and to authorize said delays through a process of voluntary waiver or stipulation of unsuitability or to postpone or continue a scheduled life parole consideration hearing."

In general, ~~such~~ ~~requests~~ ~~for~~ ~~waivers~~ ~~and~~ ~~postponements~~ should be submitted to the board at the earliest possible date that the inmate is aware of the circumstances leading to the request; however, any requests for waivers, ~~stipulations~~ ~~of~~ ~~unsuitability~~, or postponements made the week of the scheduled hearing are to be resolved by the hearing panel. (**California Code of Regulations, title 15, sections 2253(b)(4), (c)(2) and (d)(4).**) In addition, **California Code of Regulations, title 15, section 2254** states, "A record (a verbatim transcript, tape recording or written summary) shall be made of all hearings."

On May 5, 2006, the court in *In re Rutherford* (Super.Ct. Marin County, 2006, No. SC135399A) ordered: "Any discussion between [the Board of Parole Hearings] and a

prisoner about waiving or postponing a hearing or stipulating to parole unsuitability must be had on the record.” The **Rutherford** court issued a modified order on November 16, 2006, that a pre-hearing request need not be made on the record, stating an inmate’s “request to waive a scheduled parole-suitability hearing [or], postpone such a hearing, ~~or stipulate to unsuitability~~ need not be made on the record if the request is made in writing and not during the same calendar week (running Sunday through Saturday) of the scheduled hearing.” In the November 16, 2006 order, the **Rutherford** court clarified that at-hearing discussions regarding waivers, stipulations of unsuitability, or postponements must still be made on the record, stating, “This [ ] does not eliminate the Court’s requirement that discussions between an [inmate] and members of the scheduled hearing panel about waiving the scheduled hearing, postponing the hearing, or stipulating to unsuitability be made on the record.”

**DIRECTIVE**

If a request for waiver, stipulation of unsuitability, or postponement is made the week of a scheduled hearing, the hearing panel shall ensure, to the extent possible, that the inmate is present for any discussion regarding the request. The hearing panel shall also ensure that discussions between the inmate and the hearing panel regarding the request are made on the record and that a decision regarding the request is not reached until after an Americans with Disabilities Act (ADA) review is conducted.

Furthermore, if the request is for a waiver or stipulation of unsuitability, the hearing panel shall determine whether the request is made voluntarily. A best practice is for the hearing panel to conduct an interactive discussion on the record with the inmate (or the inmate’s attorney if the inmate is not present) to confirm whether the request is voluntary. Attached to this Administrative Directive are sample questions the hearing panel may elect to use when discussing a request for a waiver, stipulation of unsuitability, or postponement.

(Note: see Administrative Directive No. 2012-02 for direction regarding the victim’s right to be heard prior to the hearing panel’s decision regarding a request for stipulation of unsuitability.)

*This Administrative Directive shall take effect immediately. If you have any questions concerning the contents of this Administrative Directive please contact the legal office at (916) 324-7604.*

APPROVED BY: _____	DATE: _____
<b>JENNIFER P. SHAFFER</b> Executive Officer, BPH	



# BOARD OF PAROLE HEARINGS



## SAMPLE QUESTIONS FOR DISCUSSION ON THE RECORD OF A REQUEST FOR WAIVER, STIPULATION OF UNSUITABILITY, OR POSTPONEMENT

The following are sample questions the hearing panel may elect to use on the record when discussing a request for a waiver, stipulation of unsuitability, or postponement.

### To Counsel:

- Counsel, it is my understanding that your client requests a (waiver, stipulation of unsuitability, or postponement). Is that correct?
- What is the reason for the request?
- *When the inmate is not present and the request is for a waiver or stipulation of unsuitability:* Do you attest that your client is making this request voluntarily?

### To Inmate:

- Mr./Ms. *Inmate's Last Name*, your attorney has requested a (waiver, stipulation of unsuitability, or postponement) on your behalf. Is that what you wish to do today?
- What is your reason for making the request?
- Have you taken any medications or other substances that would impede your judgment today?
- Do you understand that you have a right to a hearing today?
- Do you understand that by (waiving, stipulating to unsuitability, or postponing) you are giving up that right?
- Has anyone threatened you, or threatened anyone close to you, in order to get you to (waive, stipulate to unsuitability, or postpone)?
- Has anyone made any promises or representations, offered a reward or other advantage of any kind to you or someone you know, other than what we've discussed here today, in return for (waiving, stipulating to unsuitability, or postponing)?
- Are you (waiving, stipulating to unsuitability, or postponing) freely and voluntarily?



# BOARD OF PAROLE HEARINGS



ADMINISTRATIVE DIRECTIVE NO: 2013-03C

**SUBJECT: PRE-HEARING REVIEW OF REQUESTS FOR WAIVERS, STIPULATIONS, AND POSTPONEMENTS**

## INTRODUCTION

Life inmates have the right to request a voluntary waiver, ~~stipulation of unsuitability~~, or postponement prior to a parole consideration hearing. This Administrative Directive provides direction for hearing officers with the Board of Parole Hearings in reviewing pre-hearing requests for a voluntary waiver, ~~stipulation of unsuitability~~, or postponement in instances when the inmate is represented by an attorney or when the inmate has identified disabilities.

## LEGAL AUTHORITY

"A prisoner may request to voluntarily waive his or her life parole consideration hearing for any reason. Requests shall be made in writing to the board and shall state the reason for the request." (**California Code of Regulations, title 15, section 2253(b).**)

"A request for a voluntary waiver of a life parole consideration hearing should be submitted to the board at the earliest possible date that the prisoner becomes aware of the circumstances leading to the request, but shall be no later than 45 calendar days prior to the date of the scheduled hearing. A request made no later than 45 days prior to the scheduled hearing shall be presumed to be valid." (**California Code of Regulations, title 15, section 2253(b)(2).**) "A request for a voluntary waiver ... submitted less than 45 calendar days prior to the scheduled hearing shall be presumed to be invalid and shall be denied by the board unless good cause is shown and the reason(s) given were not and could not reasonably have been known to the prisoner 45 calendar days prior to the scheduled hearing." (**California Code of Regulations, title 15, section 2253(b)(3).**)

~~"At any time prior to a life parole consideration hearing a prisoner may offer to stipulate to unsuitability for parole." (**California Code of Regulations, title 15, section 2253(c)(1).**)~~

“A prisoner may request that the board postpone a life parole consideration hearing to resolve matters relevant to his or her parole consideration ... . The board may grant a postponement only upon the affirmative showing of good cause on the part of the prisoner and only if the prisoner did not and could not have known about the need for the postponement earlier than when he or she made the postponement request.” (California Code of Regulations, title 15, section 2253(d)(2).)

**Rule 2-100 of the California Rules of Professional Conduct** prohibits, with certain exceptions, a lawyer from communicating “directly or indirectly about the subject of the representation with a party the [lawyer] knows to be represented by another lawyer in the matter, unless the [lawyer] has the consent of the other lawyer.”

**Rule 3-500 of the California Rules of Professional Conduct** requires that a lawyer “shall keep a client reasonably informed about significant developments relating to the ... representation ... .” **Paragraph 2 of the preamble to the American Bar Association Model Rules of Professional Conduct** provides, “As advisor, a lawyer provides a client with an informed understanding of the client’s legal rights and obligations and explains their practical implications.”

The **Armstrong Remedial Plan II**, (revised on August 16, 2010, as negotiated by the parties in *Armstrong v. Brown (Armstrong II)*, (N.D. Cal, C94-2307 CW)), sets forth circumstances under which an inmate is mandated to have an attorney for his or her parole consideration hearing and circumstances under which it is presumed an inmate should have an attorney. Page five of the *Armstrong Remedial Plan II* reads:

Mandatory Attorney Cases – the following inmates[ ] shall be assigned attorneys and shall not be allowed to waive their pre-hearing and hearing rights, including waivers of appearance, stipulations to unsuitability, waivers of hearings, and waivers of representation:

- All inmates presently receiving treatment at the Department of Mental Health (DMH), Enhanced Outpatient Program (EOP), or Mental Health Crisis Bed (MHCB) level of care in the MHSDS [Mental Health Services Delivery System]. [¶]
- All inmates[ ] who have been identified by CDCR as being included in the DDP [Developmental Disability Program].
- All inmates with a learning disability including inmates with a TABE [Test of Adult Basic Education] score of 4.0 or below.

Presumptive Attorney Cases – staff will presume that the following inmates[ ] need an attorney, unless there is documentation, or other reliable information that indicates that an attorney is not needed:

- All inmates participating in the Correctional Clinical Case Management System (CCCMS) level of care in the MHSDS. [¶] [¶]

## **DIRECTIVE**

Life inmates have the right to request a voluntary waiver, ~~stipulation of unsuitability~~, or postponement prior to a parole consideration hearing. This is typically done by submission of a BPH Form 1001(a). The BPH Form 1001(a) includes signature lines for the inmate and an attorney. A best practice is for attorneys to meet and discuss all requests for a voluntary waiver, ~~stipulation of unsuitability~~, or postponement, with their clients prior to submitting a request. In reviewing such a request, the hearing officer shall determine whether the inmate is represented by an attorney and whether the inmate has identified disabilities requiring that the inmate be assigned an attorney as outlined below.

### Inmates Represented by Counsel

If the inmate is represented by an attorney at the time he or she makes a request for a voluntary waiver, ~~stipulation of unsuitability~~, or postponement, the hearing officer shall not engage in ex parte communications with the inmate. As such, the hearing officer shall not act on the request unless the attorney concurs with the request by co-signing the BPH Form 1001(a). Likewise, an attorney may not submit a request for a voluntary waiver, ~~stipulation of unsuitability~~, or postponement without the inmate's signature.

### Inmates with Disabilities Identified as "Mandatory Attorney Cases"

The hearing officer shall review the available information in the Disability and Effective Communication System (DECs) to determine if the inmate meets one of the criteria for a "Mandatory Attorney Case," which includes inmates at the DMH, EOP, or MHCB level of mental health care, inmates in the DDP, and inmates with a TABE score of 4.0 or below. If the inmate meets one of the criteria for a "Mandatory Attorney Case," the hearing officer shall not act on a request for a voluntary waiver, ~~stipulation of unsuitability~~, or postponement until the inmate has been assigned an attorney, and the attorney concurs with the request by co-signing the BPH Form 1001(a).

Timing of Requests for Waivers

Generally speaking, a request for a voluntary waiver submitted no later than 45 calendar days prior to the date of the scheduled hearing and signed by both the inmate and counsel shall be presumed valid and a request submitted less than 45 calendar days prior to the scheduled hearing shall be presumed invalid. However, in the event that an inmate submits a request for voluntary waiver no later than 45 calendar days prior to the hearing and the inmate is represented by counsel or meets one of the criteria for a Mandatory Attorney Case but counsel has not co-signed the BPH Form 1001(a), then a hearing officer shall nevertheless presume the request valid if upon forwarding the BPH Form 1001(a) to the attorney it is promptly co-signed and returned to the BPH. On the other hand, in the event an attorney submits a request for voluntary waiver without the inmate's signature on the BPH Form 1001(a) it shall be deemed invalid and returned to the attorney regardless of when it was submitted.

*This Administrative Directive shall take effect immediately. If you have any questions concerning the contents of this Administrative Directive please contact the legal office at (916) 324-7604.*

<b>APPROVED BY:</b> _____ <b>JENNIFER P. SHAFFER</b> <b>Executive Officer, BPH</b>	<b>DATE:</b> _____
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