

CALIFORNIA DEPARTMENT OF CORRECTIONS & REHABILITATION
DIVISION OF JUVENILE JUSTICE



FARRELL V. CATE

QUARTERLY COMPLIANCE REPORT

AS OF APRIL 1, 2013



FARRELL V. CATE
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For an electronic copy of this and/or prior issues of the *Farrell v. Cate Quarterly Compliance Report*, please visit the CDCR website at http://www.cdcr.ca.gov/Juvenile_Justice/Reform_Plans_and_Progress.html.

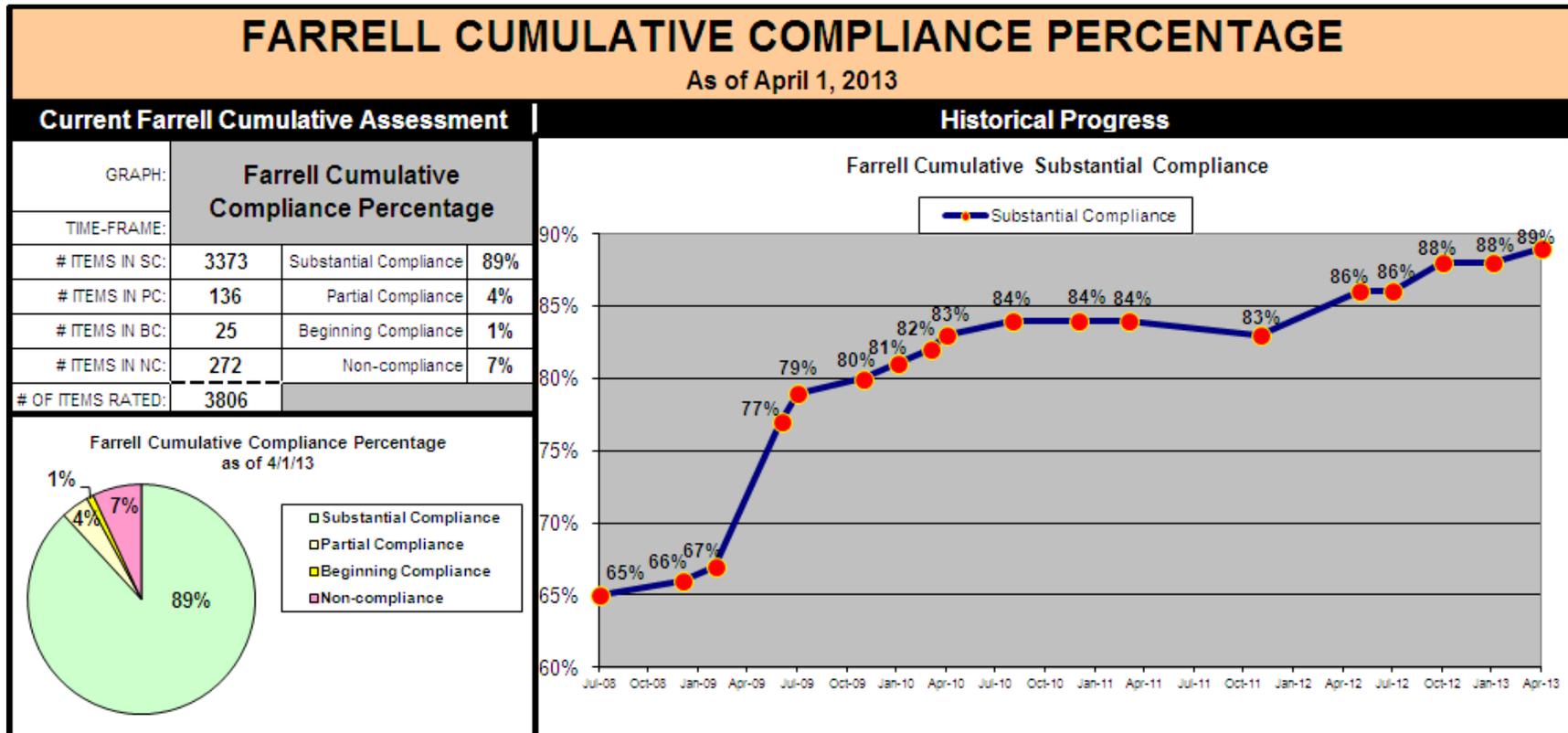
1. Cumulative Compliance



Farrell – Cumulative Compliance

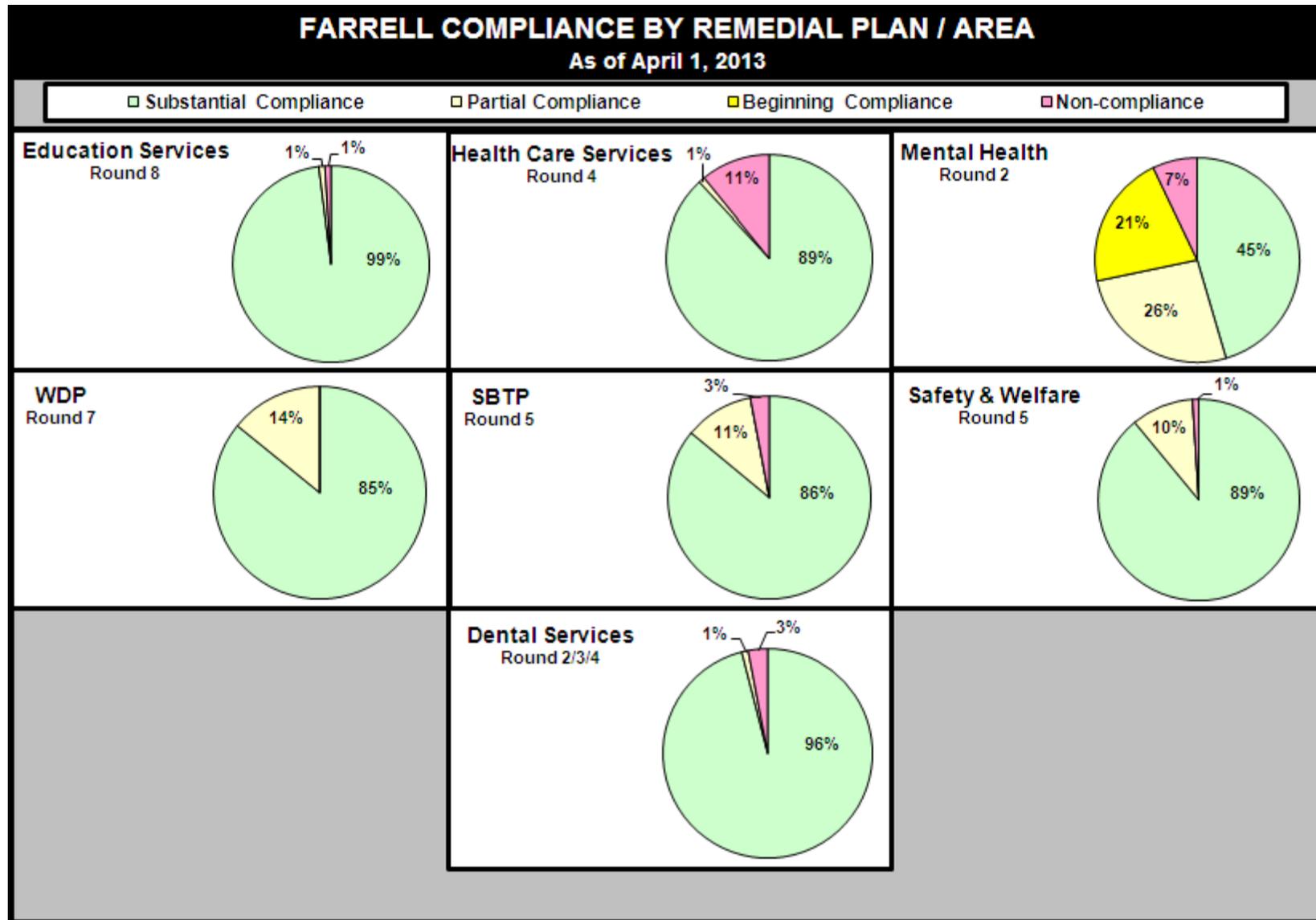
As of April 1, 2013

The pie chart (bottom, left) identifies the most current compliance level for all of the *Farrell*-related audit items, as assessed by the various *Farrell* Experts and the Office of the Special Master from their most recent audit reports. The pie chart captures the compliance ratings for all six *Farrell* Remedial Plans, plus Dental Services. The possible compliance ratings that may be assessed by the *Farrell* Experts and the Special Master include substantial compliance (SC), partial compliance (PC), beginning compliance (BC), or non-compliance (NC). The line graph (below, right) identifies the historical progress DJJ has made in achieving substantial compliance.



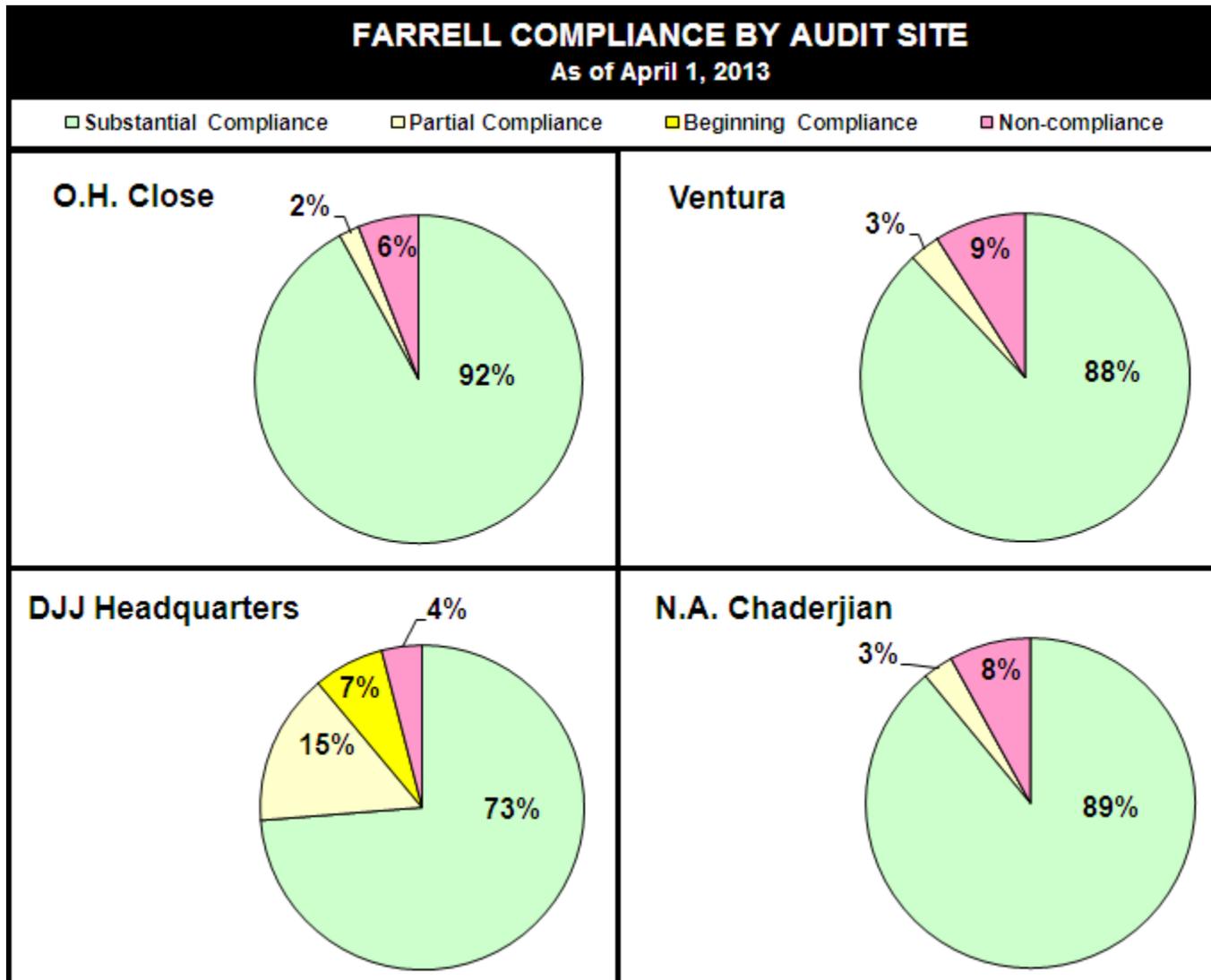
Farrell – Compliance by Remedial Plan and Dental Services

As of April 1, 2013



Farrell – Compliance by Audit Site

As of April 1, 2013



Farrell Compliance – Facility Breakdown

As of April 1, 2013

O.H. Close Youth Correctional Facility										N.A. Chaderjian Youth Correctional Facility									
Plan:	Ed.	WDP	HCS	SBTP	S&W	MH	DDS			Plan:	Ed.	WDP	HCS	SBTP	S&W	MH	DDS		
Audit Round:	8	7	4	5	5	1&2	2			Audit Round:	8	7	4	5	5	2	3		
Audit Date(s):	Feb-13	Feb-12	Jan-12	Aug-12	Jul-12	Oct-08 Jun-10	Jun-10			Audit Date(s):	Feb-13	Dec-11	Jan-12	Aug-12	Jul-12	Mar-10 Mar-10	Aug-11		
Data Source	Annual Report	Annual Report	Facility Report	Facility Report	Facility Reports	Comp. & Facility Reports	Facility Report			Data Source	Annual Report	Annual Report	Facility Report	Facility Report	Facility Reports	Facility Reports	Facility Report		
# Items Rated / Total # of Items								Totals	%	# Items Rated / Total # of Items								Totals	%
SC	115 / 115	66 / 78	715 / 915	23 / 34	63 / 80	5 / 13	97 / 126	1084 / 1361	91.9%	SC	114 / 115	72 / 90	729 / 952	28 / 34	61 / 78	10 / 19	93 / 118	1107 / 1406	89.3%
PC	0 / 115	9 / 78	1 / 915	4 / 34	6 / 80	1 / 13	1 / 126	22 / 1361	1.9%	PC	1 / 115	14 / 90	2 / 952	5 / 34	9 / 78	3 / 19	3 / 118	37 / 1406	3.0%
BC	N/A	N/A	N/A	0 / 34	0 / 80	1 / 13	N/A	1 / 1361	0.1%	BC	N/A	N/A	N/A	0 / 34	0 / 78	2 / 19	N/A	2 / 1406	0.2%
NC	0 / 115	0 / 78	68 / 915	0 / 34	0 / 80	1 / 13	3 / 126	72 / 1361	6.1%	NC	0 / 115	0 / 90	90 / 952	0 / 34	0 / 78	0 / 19	3 / 118	93 / 1406	7.5%
NA/IR	0 / 115	3 / 78	131 / 915	7 / 34	11 / 80	5 / 13	25 / 126	182 / 1361	(1179)	NA/IR	0 / 115	4 / 90	131 / 952	1 / 34	8 / 78	4 / 19	19 / 118	167 / 1406	(1239)

Ventura Youth Correctional Facility										DJJ Headquarters									
Plan:	Ed.	WDP	HCS	SBTP	S&W	MH	DDS			Plan:	Ed.	WDP	HCS	SBTP	S&W	MH	DDS		
Audit Round:	7	7	4	NA	5	2	4			Audit Round:	NA	7	4	5	5	2	NA		
Audit Date(s):	Feb-13	Mar-12	Aug-12	NA	Jun-12	Jan-10 Nov-09	Jul-12			Audit Date(s):	NA	Apr-12	Dec-12	Feb-12	Aug-12	Apr-10 Mar-10	NA		
Data Source	Annual Report	Annual Report	Facility Report	N/A	Facility Reports	Facility Reports	Facility Report			Data Source	NA	Annual Report	Facility Report	Facility Report	Facility Reports	Facility Reports	NA		
# Items Rated / Total # of Items								Totals	%	# Items Rated / Total # of Items								Totals	%
SC	112 / 115	68 / 90	599 / 908	N/A	58 / 78	10 / 20	119 / 135	966 / 1346	88.4%	SC	N/A	26 / 31	15 / 20	30 / 34	124 / 148	21 / 96	N/A	216 / 329	73.2%
PC	1 / 115	11 / 90	5 / 908	N/A	12 / 78	3 / 20	0 / 135	32 / 1346	2.9%	PC	N/A	5 / 31	5 / 20	1 / 34	9 / 148	25 / 96	N/A	45 / 329	15.2%
BC	N/A	N/A	N/A	N/A	0 / 78	1 / 20	N/A	1 / 1346	0.1%	BC	N/A	N/A	N/A	0 / 34	0 / 148	21 / 96	N/A	21 / 329	7.1%
NC	2 / 115	1 / 90	88 / 908	N/A	0 / 78	1 / 20	2 / 135	94 / 1346	8.6%	NC	N/A	0 / 31	0 / 20	3 / 34	2 / 148	8 / 96	N/A	13 / 329	4.4%
NA/IR	0 / 115	10 / 90	216 / 908	N/A	8 / 78	5 / 20	14 / 135	253 / 1346	(1093)	NA/IR	N/A	0 / 31	0 / 20	0 / 34	13 / 148	21 / 96	N/A	34 / 329	(295)

Farrell Compliance – Cumulative Breakdown

As of April 1, 2013

Farrell Cumulative Compliance %									
Farrell Cumulative	O.H. Close	N.A. Chaderjian	Ventura	DJJ Headquarters	SYCRCC - Closed	Preston - Closed			
	# Items Rated / Total # of Items						Totals	%	
	SC	1084 / 1361	1107 / 1406	966 / 1346	216 / 329	NA	NA	3373 / 4442	88.6%
	PC	22 / 1361	37 / 1406	32 / 1346	45 / 329	NA	NA	136 / 4442	3.6%
	BC	1 / 1361	2 / 1406	1 / 1346	21 / 329	NA	NA	25 / 4442	0.7%
	NC	72 / 1361	93 / 1406	94 / 1346	13 / 329	NA	NA	272 / 4442	7.1%
	NA/NR	182 / 1361	167 / 1406	253 / 1346	34 / 329	NA	NA	636 / 4442	(3806)

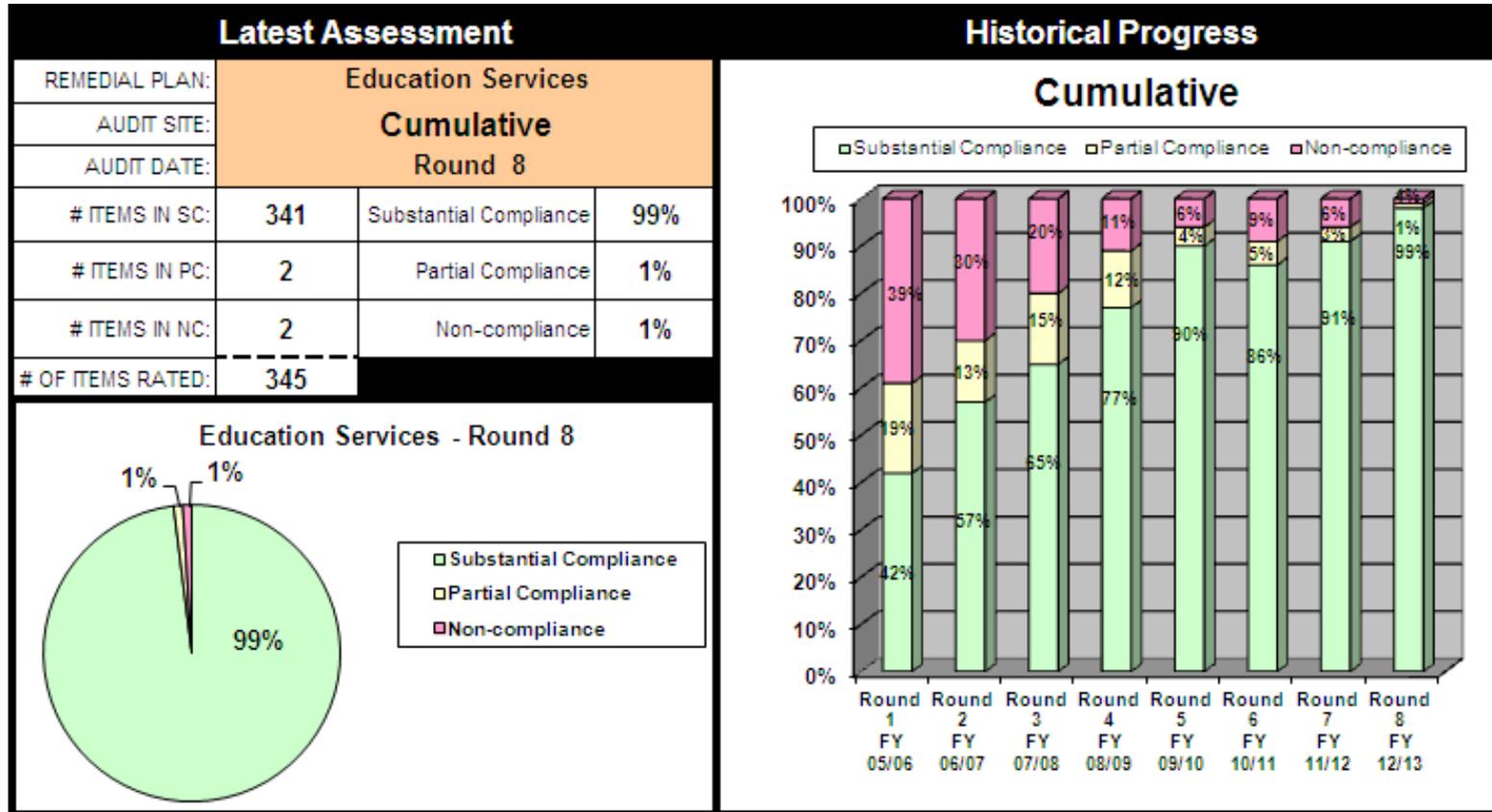
The seal of the Department of Corrections and Rehabilitation, State of California, is a circular emblem. It features a central map of California in yellow, overlaid with a golden scale of justice and a torch with a flame. The background of the seal includes a city skyline, mountains, and a body of water. The text "DEPARTMENT OF CORRECTIONS AND REHABILITATION" is written in a curve at the top, and "STATE OF CALIFORNIA" is written at the bottom, separated by two stars. The word "NOBILITATION" is partially visible on the right side of the seal.

2. Education Services

Education Services – Cumulative Compliance Results

As of April 1, 2013

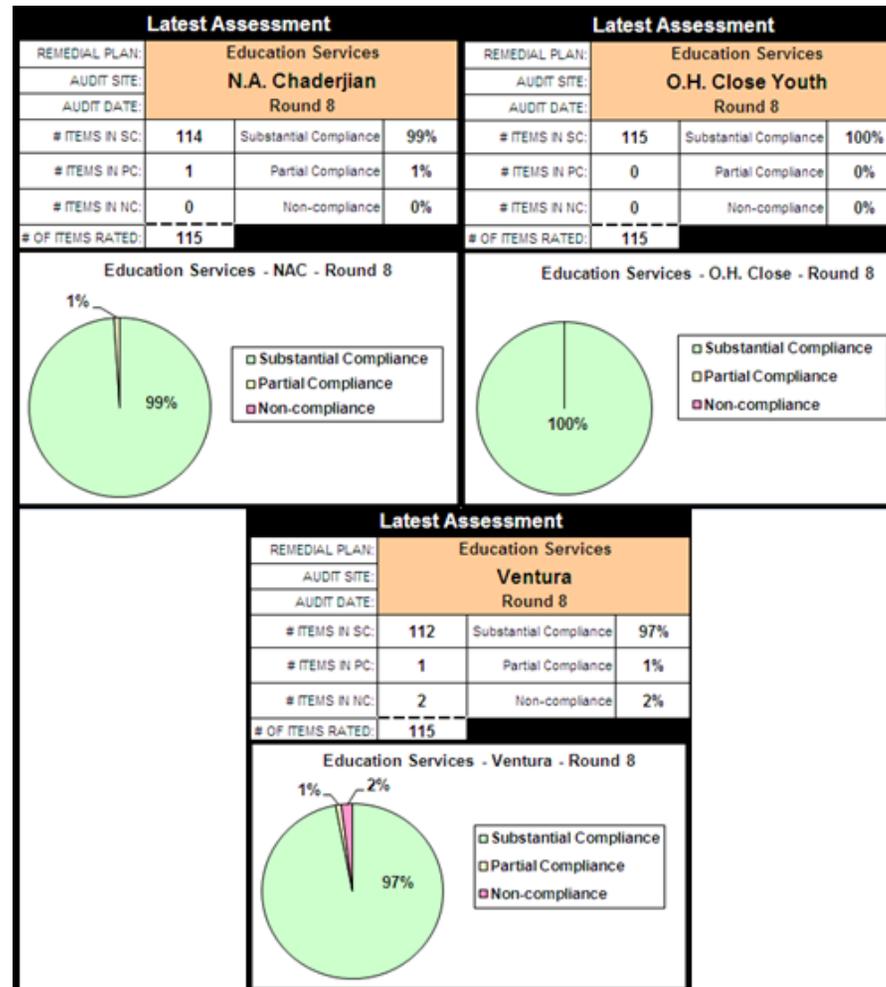
The pie chart (bottom, left) identifies the most recent cumulative compliance data provided by the Education Experts for the three remaining facilities that are required to be audited under the Education Services Remedial Plan. The pie chart compliance percentages are derived from the Experts’ seventh round of audits. The bar graph (below, right) demonstrates the historical cumulative compliance progress made to date through the first eight rounds of audits. Currently, the cumulative *average increase* in substantial compliance from one round to the next is 8.2%



Source Documents for Compliance Data – For Rounds 1-7: Compliance data obtained from the Education Experts’ Annual Reports for Fiscal Years 2005-‘06 through 2011-12. For Round 8: Compliance data obtained from OACC/Expert audit reports and follow-up audit reports.

Education Services – Current Compliance by Audit Site

As of April 1, 2013



Note: Due to the process of rounding off compliance percentages to the nearest whole number, the sum of the compliance percentages may total either, 99%, 100% or 101%.

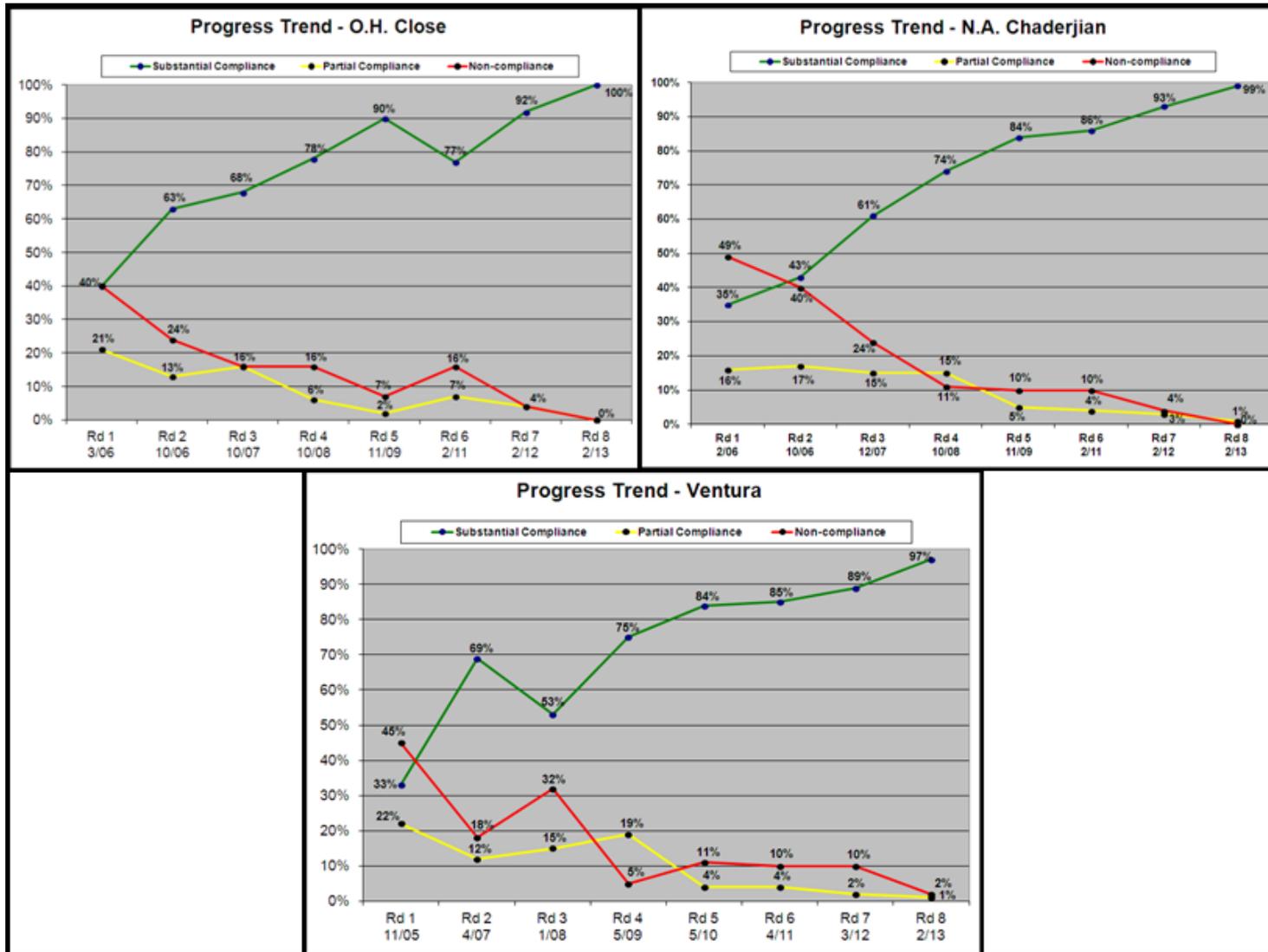
Education Services – Historical Compliance by Audit Site

As of April 1, 2013



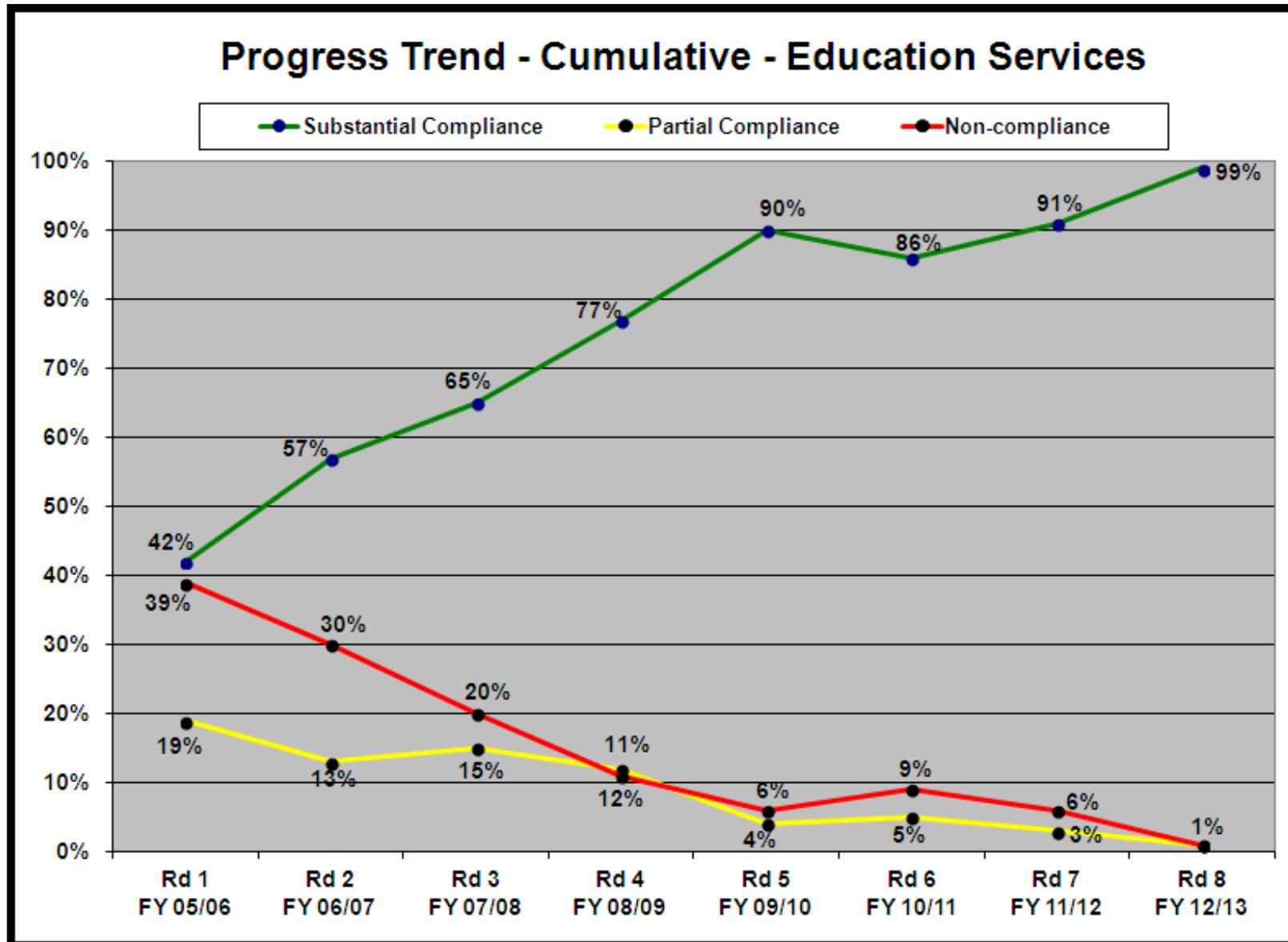
Education Services – Compliance Trends

As of April 1, 2013



Education Services – Cumulative Compliance Trend

As of April 1, 2013



Education Services Compliance – Facility Breakdown

As of April 1, 2013

	ROUND 1 (05/06 Annual Rpt)				ROUND 2 (06/07 Annual Report)				ROUND 3 (07/08 Annual Report)				ROUND 4 (08/09 Annual Report)				ROUND 5 (09/10 Annual Report)				ROUND 6 (10/11 Annual Report)				ROUND 7 (11/12 Annual Report)				ROUND 8 (12/13 Annual Report)				
	March 15-17, 2006				October 16-17, 2006				October 24-26, 2007				October 23-24, 2008				November 18-19, 2009				February 3-4, 2011				February 8-10, 2012				Oct. 29-Nov. 2, 2012 (Follow up-Feb. 11, 2013)				
O.H. Close	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change					
	SC	40/115	39.6%		23.0%	SC	67/115	62.6%		SC	71/115	67.6%		SC	85/115	78.0%		SC	99/115	90.8%		SC	83/115	76.9%		SC	105/115	92.1%		SC	115/115	100.0%	
	PC	21/115	20.8%		7.7%	PC	14/115	13.1%		PC	17/115	16.2%		PC	7/115	6.4%		PC	2/115	1.8%		PC	8/115	7.4%		PC	5/115	4.4%		PC	0/115	0.0%	
	NC	40/115	39.6%		15.3%	NC	26/115	24.3%		NC	17/115	16.2%		NC	17/115	15.6%		NC	8/115	7.3%		NC	17/115	15.7%		NC	4/115	3.5%		NC	0/115	0.0%	
	NA	14/115	(101)			NA	8/115	(107)		NA	10/115	(105)		NA	6/115	(109)		NA	6/115	(109)		NA	7/115	(108)		NA	1/115	(114)		NA	0/115	(115)	
N.A. Chaderjian	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	
	SC	38/115	35.5%		7.5%	SC	49/115	43.0%		SC	67/115	60.9%		SC	85/115	73.9%		SC	97/115	84.3%		SC	98/115	86.0%		SC	107/115	93.0%		SC	114/115	99.1%	
	PC	17/115	15.9%		0.8%	PC	19/115	16.7%		PC	17/115	15.4%		PC	17/115	14.8%		PC	6/115	5.2%		PC	5/115	4.4%		PC	4/115	3.5%		PC	1/115	0.9%	
	NC	52/115	48.6%		8.3%	NC	46/115	40.3%		NC	26/115	23.6%		NC	13/115	11.3%		NC	12/115	10.4%		NC	11/115	9.6%		NC	4/115	3.5%		NC	0/115	0.0%	
	NA	8/115	(107)			NA	1/115	(114)		NA	5/115	(110)		NA	0/115	(115)		NA	0/115	(115)		NA	1/115	(114)		NA	0/115	(115)		NA	0/115	(115)	

Education Services Compliance – Facility Breakdown

As of April 1, 2013

	Nov. 16-18, 2005				April 23-24, 2007				January 7-9, 2008				May 12-13, 2009				May 26-28, 2010				April 4-5, 2011				March 4-7, 2012				November 15-16, 2012 (Follow up-Feb. 13, 2013)			
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change				
Ventura	SC	34/115	33.3%		SC	75/115	69.4%	36.1%	SC	57/115	52.8%	16.6%	SC	82/115	75.2%	22.4%	SC	97/115	84.3%	9.1%	SC	98/115	85.2%	0.9%	SC	101/115	87.8%	2.6%	SC	112/115	97.4%	9.6%
	PC	22/115	21.6%		PC	13/115	12.0%	9.6%	PC	16/115	14.8%	2.8%	PC	21/115	19.3%	4.5%	PC	5/115	4.3%	15.0%	PC	5/115	4.3%	0.0%	PC	3/115	2.6%	1.7%	PC	1/115	0.9%	1.7%
	NC	46/115	45.1%		NC	20/115	18.5%	26.6%	NC	35/115	32.4%	13.9%	NC	6/115	5.5%	26.9%	NC	13/115	11.3%	5.8%	NC	12/115	10.4%	0.9%	NC	11/115	9.6%	0.8%	NC	2/115	1.7%	7.9%
	NA	13/115	(102)		NA	7/115	(108)		NA	7/115	(108)		NA	6/115	(109)		NA	0/115	(115)		NA	0/115	(115)		NA	0/115	(115)		NA	0/115	(115)	

Adjusted % - "Not Applicable" rated items are excluded from compliance calculation.

Relieved items are counted as SC.

Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

	ROUND 1 (05/06 Annual Rpt)				ROUND 2 (06/07 Annual Report)				ROUND 3 (07/08 Annual Report)				ROUND 4 (08/09 Annual Report)				ROUND 5 (09/10 Annual Report)				ROUND 6 (10/11 Annual Report)				ROUND 7 (11/12 Annual Report)				ROUND 8 (12/13 Annual Report)			
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change
SYCRCC	Dec. 12-13, 2005				April 25-27, 2007				January 10-11, 2008				May 14-15, 2009				February 25-26, 2010				April 6-7, 2011				N/A				N/A			
	SC	52/115	53.6%		SC	81/115	75.7%	22.1%	SC	98/115	91.6%	15.9%	SC	100/115	91.7%	0.1%	SC	110/115	95.6%	3.9%	SC	109/115	94.8%	0.8%	Facility Closed				Facility Closed			
	PC	17/115	17.5%		PC	12/115	11.2%	6.3%	PC	6/115	5.6%	5.6%	PC	7/115	6.4%	0.8%	PC	5/115	4.3%	2.1%	PC	3/115	2.6%	1.7%	Facility Closed				Facility Closed			
	NC	28/115	28.9%		NC	14/115	13.1%	15.8%	NC	3/115	2.8%	10.3%	NC	2/115	1.8%	1.0%	NC	0/115	0.0%	1.8%	NC	3/115	2.6%	2.6%	Facility Closed				Facility Closed			
NA	18/115	(97)		NA	8/115	(107)		NA	8/115	(107)		NA	6/115	(109)		NA	0/115	(115)		NA	0/115	(115)		Facility Closed				Facility Closed				

Education Services Compliance – Facility Breakdown

As of April 1, 2013

		April 12-14, 2006				February 12-13, 2007				February 25-27, 2008				February 9-11, 2009				January 25-26, 2010				N/A		N/A		N/A	
Preston	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Facility Closed		Facility Closed		Facility Closed		
	SC	48/115	44.0%		SC	76/115	66.7%	22.7%	SC	93/115	81.6%	14.9%	SC	100/115	87.7%	6.1%	SC	109/115	94.8%	7.1%	Facility Closed		Facility Closed		Facility Closed		
	PC	20/115	18.3%		PC	17/115	14.9%	3.4%	PC	8/115	7.0%	7.9%	PC	11/115	9.6%	2.6%	PC	6/115	5.2%	4.4%	Facility Closed		Facility Closed		Facility Closed		
	NC	41/115	37.6%		NC	21/115	18.4%	19.2%	NC	13/115	11.4%	7.0%	NC	3/115	2.6%	8.8%	NC	0/115	0.0%	2.6%	Facility Closed		Facility Closed		Facility Closed		
	NA	6/115	(109)		NA	1/115	(114)		NA	1/115	(114)		NA	1/115	(114)		NA	0/115	(115)		Facility Closed		Facility Closed		Facility Closed		
		Dec. 14-16, 2005				January 8-10, 2007				March 11-12, 2008				January 12-14, 2009				N/A		N/A		N/A					
Heman G. Stark	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Facility Closed		Facility Closed		Facility Closed		Facility Closed				
	SC	35/115	32.4%		SC	34/115	30.1%	2.3%	SC	57/115	50.9%	20.8%	SC	64/115	55.6%	4.7%	Facility Closed		Facility Closed		Facility Closed		Facility Closed				
	PC	26/115	24.1%		PC	7/115	6.2%	17.9%	PC	27/115	24.1%	17.9%	PC	16/115	13.9%	10.2%	Facility Closed		Facility Closed		Facility Closed		Facility Closed				
	NC	47/115	43.5%		NC	72/115	63.7%	20.2%	NC	28/115	25.0%	38.7%	NC	35/115	30.4%	5.4%	Facility Closed		Facility Closed		Facility Closed		Facility Closed				
	NA	7/115	(108)		NA	2/115	(113)		NA	3/115	(112)		NA	0/115	(115)		Facility Closed		Facility Closed		Facility Closed		Facility Closed				

Adjusted % - "Not Applicable" rated items are excluded from compliance calculation.
 Relieved items are counted as SC.
 Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %"

Education Services Compliance – Facility Breakdown

As of April 1, 2013

		ROUND 1 (05/06 Annual Rpt)		ROUND 2 (06/07 Annual Report)		ROUND 3 (07/08 Annual Report)		ROUND 4 (08/09 Annual Report)		ROUND 5 (09/10 Annual Report)		ROUND 6 (10/11 Annual Report)		ROUND 7 (11/12 Annual Report)		ROUND 8 (12/13 Annual Report)	
		Sept. 14-16, 2005		February 14-15, 2007		October 22-23, 2007		N/A		N/A		N/A		N/A		N/A	
DeWitt Nelson	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Facility Closed					
	SC	49/115	47.6%	SC	58/115	54.7%	7.1%	SC	55/115	52.4%	2.3%						
	PC	14/115	13.6%	PC	14/115	13.2%	0.4%	PC	21/115	20.0%	6.8%						
	NC	40/115	38.8%	NC	34/115	32.1%	6.7%	NC	29/115	27.6%	4.5%						
	NA	12/115	(103)	NA	9/115	(106)		NA	10/115	(105)							
		October 13-14, 2005		Sept. 18-20, 2006		N/A		N/A		N/A		N/A		N/A		N/A	
El Paso de Robles	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Facility Closed									
	SC	52/115	48.1%	SC	69/123	56.6%	8.5%										
	PC	23/115	21.3%	PC	20/123	16.4%	4.9%										
	NC	33/115	30.6%	NC	33/123	27.0%	3.6%										
	NA	7/115	(108)	NA	1/123	(122)											

Education Services Compliance – Facility Breakdown

As of April 1, 2013

CUMULATIVE	ROUND 1				ROUND 2				ROUND 3				ROUND 4				ROUND 5				ROUND 6				ROUND 7				ROUND 8			
	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change
SC	348/920	41.7%			SC	509/928	57.1%	15.4%	SC	498/805	65.4%	8.3%	SC	516/690	76.9%	11.5%	SC	512/575	90.0%	13.1%	SC	388/460	85.8%	4.2%	SC	314/345	91.3%	5.5%	SC	341/345	98.9%	7.6%
PC	160/920	19.2%			PC	116/928	13.0%	6.2%	PC	112/805	14.7%	1.7%	PC	79/690	11.8%	2.9%	PC	24/575	4.2%	7.6%	PC	21/460	4.6%	0.4%	PC	11/345	3.2%	1.4%	PC	2/345	0.6%	2.6%
NC	327/920	39.2%			NC	266/928	29.8%	9.4%	NC	151/805	19.8%	10.3%	NC	76/690	11.3%	8.5%	NC	33/575	5.8%	5.5%	NC	43/460	9.5%	3.7%	NC	19/345	5.5%	4.0%	NC	2/345	0.6%	4.9%
NA	85/920	(835)			NA	37/928	(891)		NA	44/805	(761)		NA	19/690	(671)		NA	6/575	(569)		NA	8/460	(452)		NA	1/345	(344)		NA	0/345	(345)	

Adjusted % - "Not Applicable" rated items are excluded from compliance calculation.
 Relieved items are counted as SC.
 Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

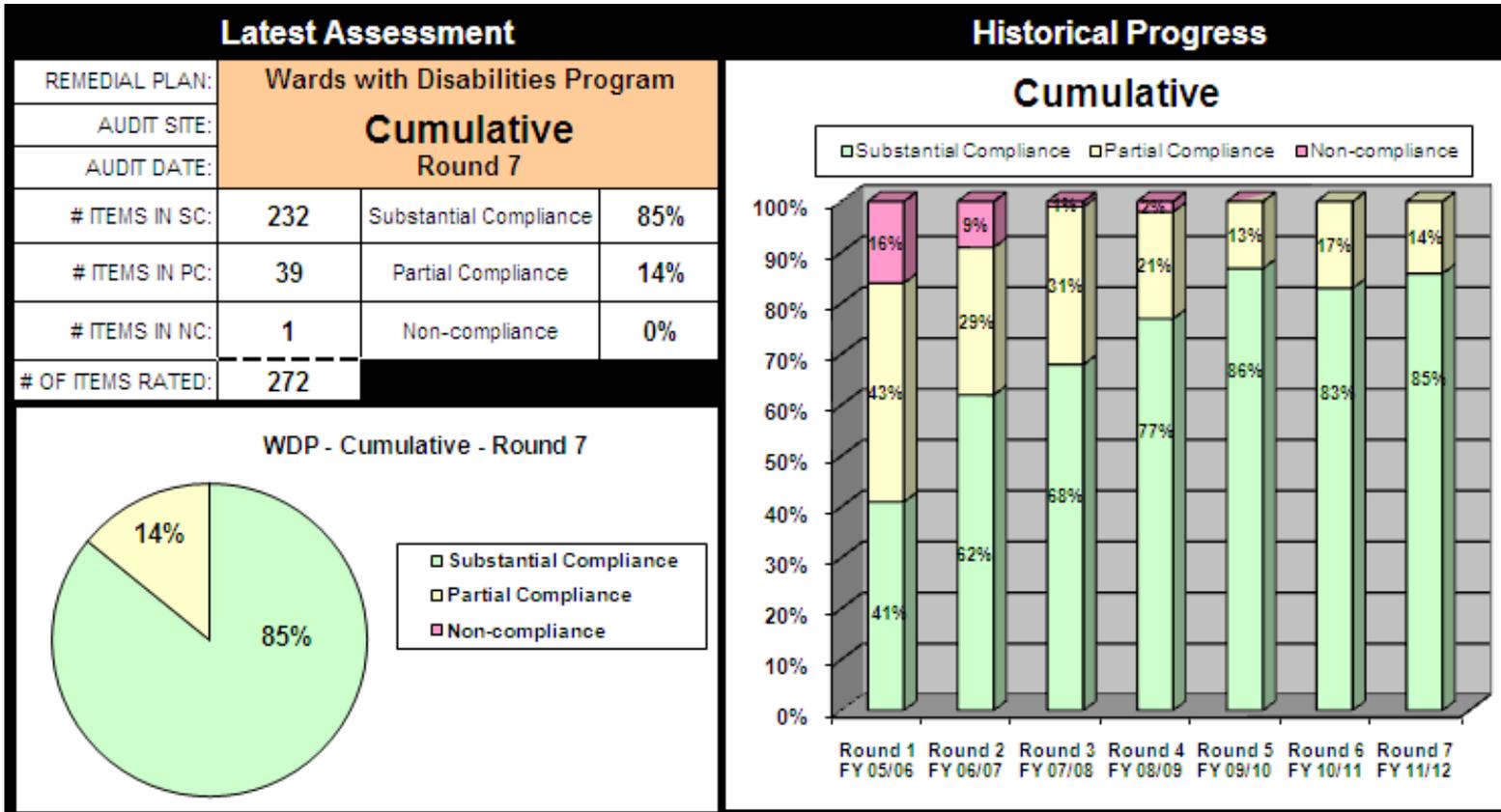


3. Wards with Disabilities Program

WDP – Cumulative Compliance Results

As of April 1, 2013

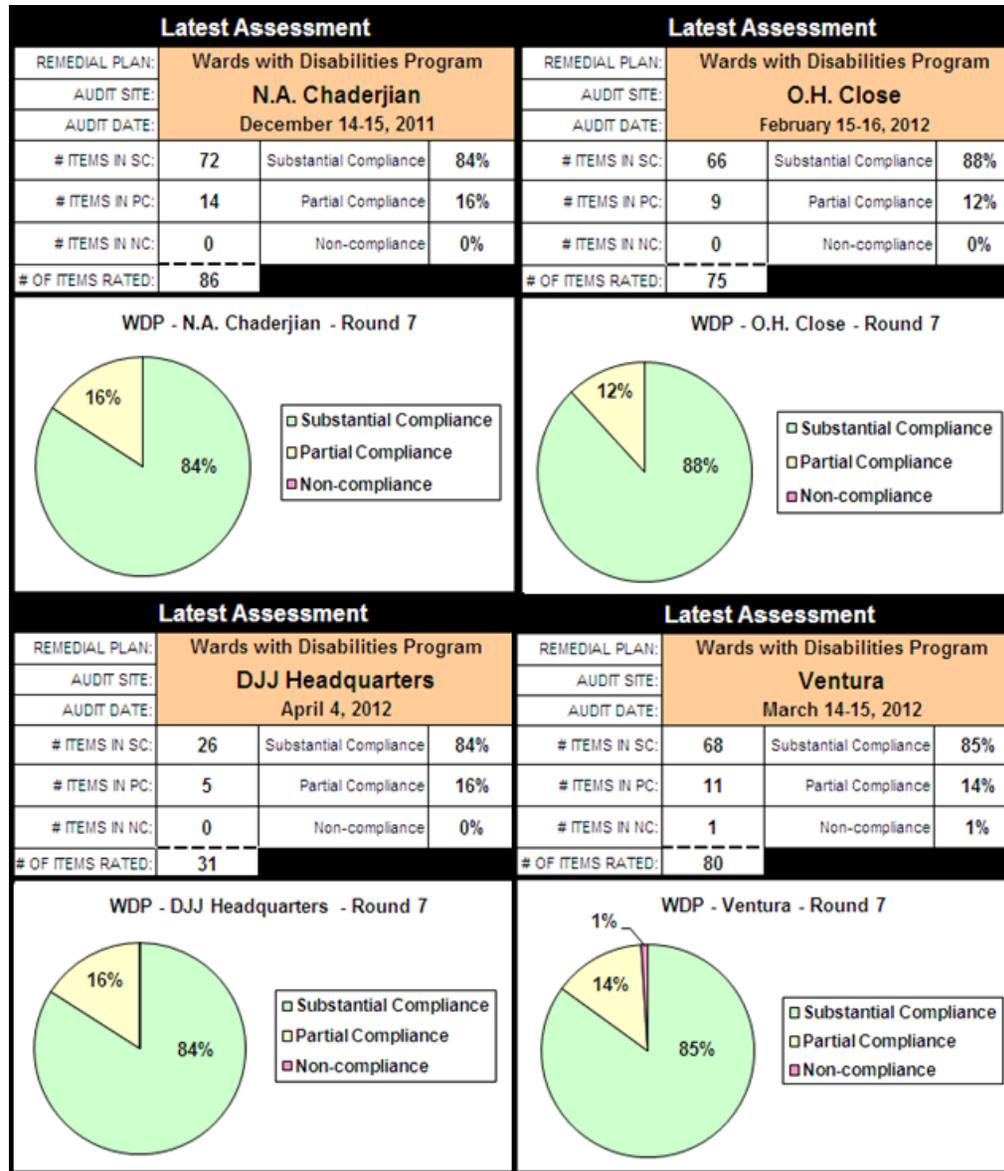
The pie chart (bottom, left) identifies the most recent cumulative compliance data provided by the WDP Expert for the four remaining sites that are required to be audited under the Wards with Disability Program Remedial Plan. The pie chart compliance percentages are currently derived from the Expert’s seventh round of audits. The bar graph chart (below, right) identifies the historical cumulative compliance progress made to date through the first seven rounds of audits. Currently, the cumulative *average increase* in substantial compliance from one round to the next is 7.4%.



Source Documents for Compliance Data – For Rounds 1-7: Compliance data obtained from the WDP Expert’s Annual Reports for Fiscal Years 2005-‘06 through 2011-‘12.

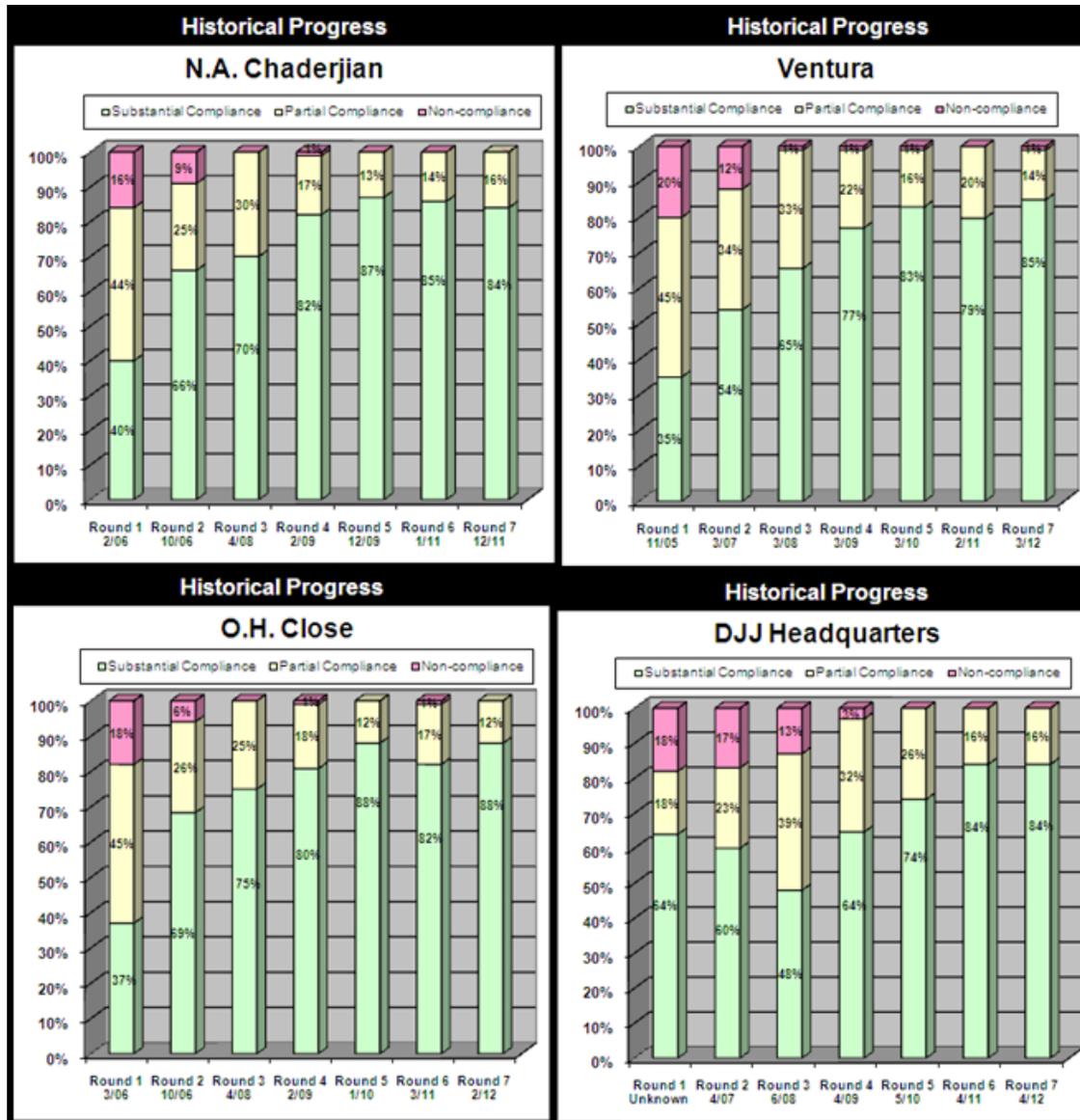
WDP – Current Compliance by Audit Site

As of April 1, 2013



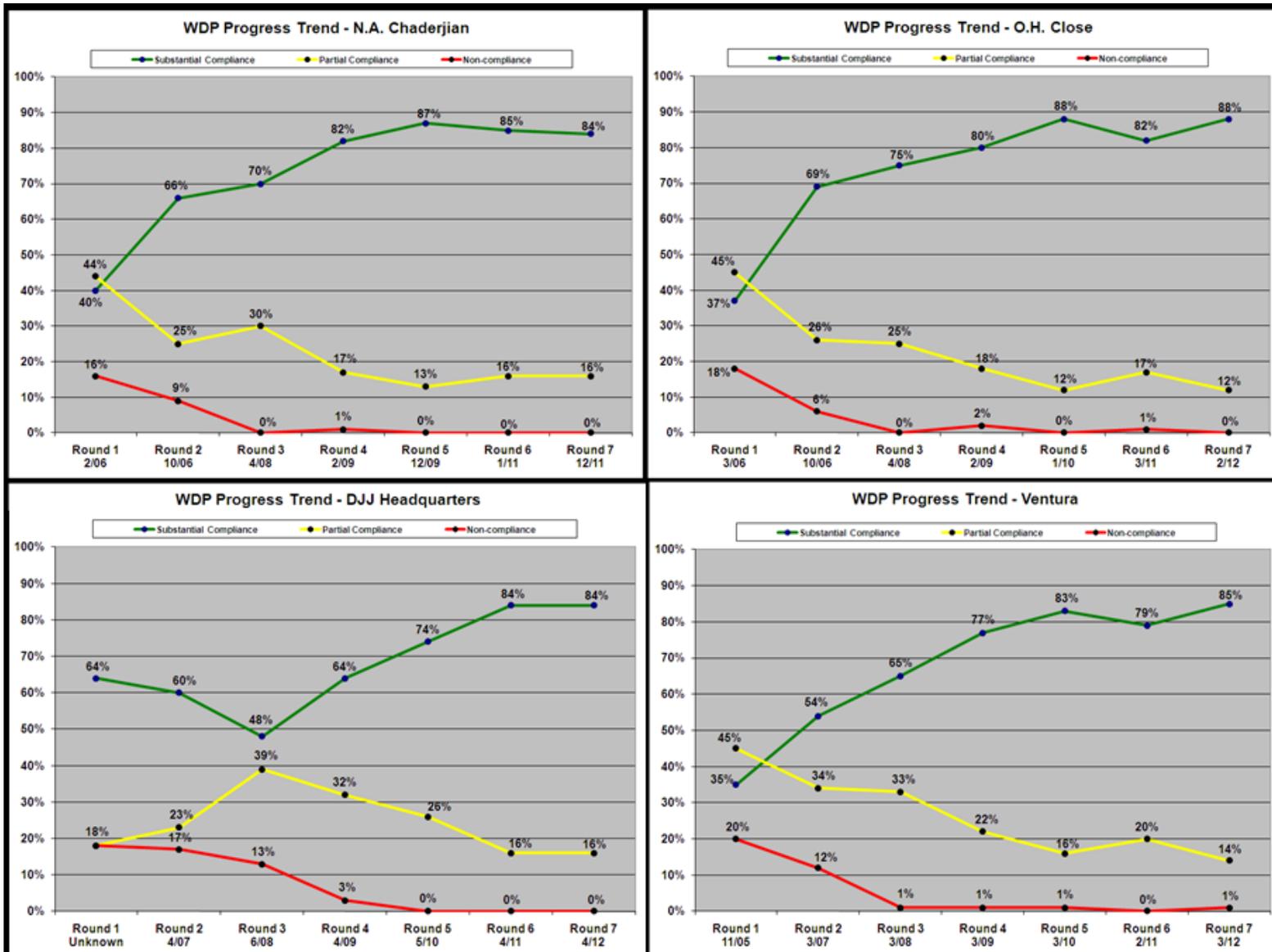
WDP – Historical Compliance by Audit Site

As of April 1, 2013



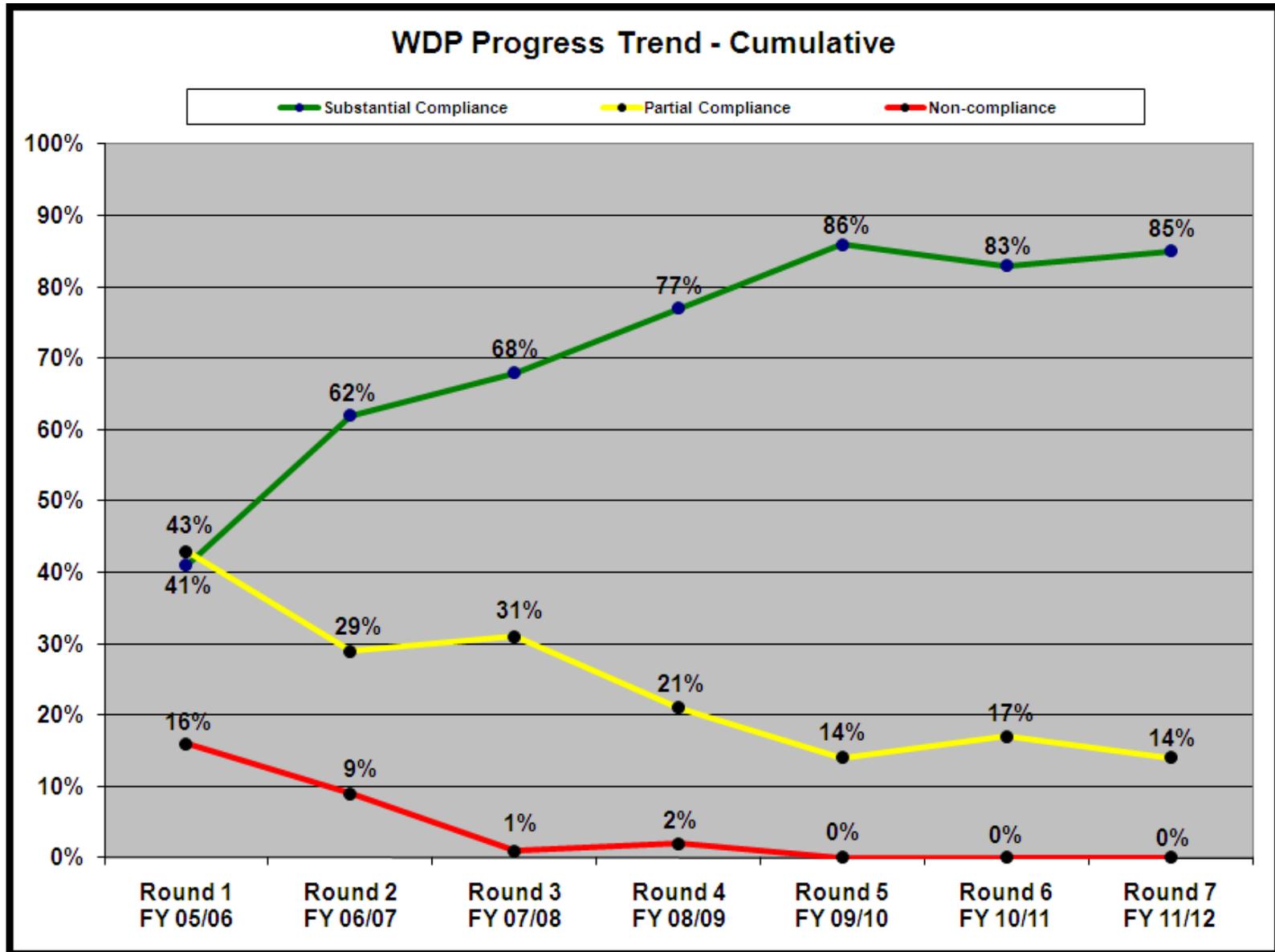
WDP – Compliance Trends

As of April 1, 2013



WDP – Cumulative Compliance Trend

As of April 1, 2013



WDP Compliance – Facility Breakdown

As of April 1, 2013

	ROUND 1 (From 05/06 Annual Rpt)				ROUND 2 (From 06/07 Annual Report)				ROUND 3 (From 07/08 Annual Report)				ROUND 4 (From 08/09 Annual Report)				ROUND 5 (From 09/10 Annual Report)				ROUND 6 (From 10/11 Annual Report)				ROUND 7 (From 11/12 Annual Report)			
O.H. Close	March 16-17, 2006				October 17, 2006				January 23, 2008 April 23, 2008				October 23, 2008 February 19, 2009				January 20-21, 2010				March 23-24, 2011				February 15-16, 2012			
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change
	SC	23 / 83	37.1%		SC	44 / 83	67.7%	30.6%	SC	51 / 83	75.0%	7.3%	SC	58 / 83	80.5%	5.5%	SC	66 / 83	88.0%	7.5%	SC	63 / 95	81.8%	6.2%	SC	66 / 78	88.0%	6.2%
	PC	28 / 83	45.2%		PC	17 / 83	26.1%	19.1%	PC	17 / 83	25.0%	1.1%	PC	13 / 83	18.0%	7.0%	PC	9 / 83	12.0%	6.0%	PC	13 / 95	16.9%	4.9%	PC/BC	9 / 78	12.0%	4.9%
	NC	11 / 83	17.7%		NC	4 / 83	6.1%	11.6%	NC	0 / 83	0.0%	6.1%	NC	1 / 83	1.4%	1.4%	NC	0 / 83	0.0%	1.4%	NC	1 / 95	1.3%	1.3%	NC	0 / 78	0.0%	1.3%
N/A	21 / 83	(62)		N/A	18 / 83	(65)		N/A	15 / 83	(68)		N/A	11 / 83	(72)		N/A	8 / 83	(75)		N/A	18 / 95	(77)		N/A	3 / 78	(75)		
N.A. Chaderjian	February 2-3, 2006				October 18-19, 2006				January 24, 2008 April 22, 2008				October 23, 2008 February 18, 2009				December 16-17, 2009				January 12-13, 2011				December 14-15, 2011			
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change
	SC	25 / 63	39.7%		SC	43 / 83	65.1%	25.4%	SC	49 / 83	70.0%	4.9%	SC	59 / 83	81.9%	11.9%	SC	66 / 83	86.8%	4.9%	SC	65 / 83	85.5%	1.3%	SC	72 / 90	83.7%	1.8%
	PC	28 / 63	44.4%		PC	17 / 83	25.8%	18.6%	PC	21 / 83	30.0%	4.2%	PC	12 / 83	16.7%	13.3%	PC	10 / 83	13.2%	3.5%	PC/BC	11 / 83	14.5%	1.3%	PC/BC	14 / 90	16.3%	1.8%
	NC	10 / 63	15.9%		NC	6 / 83	9.1%	6.8%	NC	0 / 83	0.0%	9.1%	NC	1 / 83	1.4%	1.4%	NC	0 / 83	0.0%	1.4%	NC	0 / 83	0.0%	0.0%	NC	0 / 90	0.0%	0.0%
N/A	20 / 83	(63)		N/A	17 / 83	(66)		N/A	13 / 83	(70)		N/A	11 / 83	(72)		N/A	7 / 83	(76)		N/A	7 / 83	(76)		N/A	4 / 90	(86)		
Ventura	November 17-18, 2005				March 26-27, 2007				November 20, 2007 March 12, 2008				December 10, 2008 March 18, 2009				March 17-18, 2010				February 9-10, 2011				March 14-15, 2012			
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change
	SC	27 / 97	35.5%		SC	44 / 97	55.0%	19.5%	SC	54 / 97	65.1%	10.1%	SC	64 / 97	77.1%	12.0%	SC	73 / 97	82.9%	5.8%	SC	69 / 97	79.3%	3.6%	SC	68 / 90	85.0%	5.7%
	PC	34 / 97	44.7%		PC	27 / 97	33.7%	11.0%	PC	28 / 97	33.7%	0.0%	PC	18 / 97	21.7%	12.0%	PC	14 / 97	15.9%	5.8%	PC	18 / 97	20.7%	4.8%	PC/BC	11 / 90	13.7%	7.0%
	NC	15 / 97	19.7%		NC	9 / 97	11.2%	8.5%	NC	1 / 97	1.2%	10.0%	NC	1 / 97	1.2%	0.0%	NC	1 / 97	1.1%	0.1%	NC	0 / 97	0.0%	1.1%	NC	1 / 90	1.2%	1.2%
N/A	21 / 97	(76)		N/A	17 / 97	(80)		N/A	14 / 97	(83)		N/A	14 / 97	(83)		N/A	9 / 97	(88)		N/A	10 / 97	(87)		N/A	10 / 90	(80)		
Adjusted % - "Not Applicable" rated items are excluded from compliance calculation. Relieved items are counted as SC. Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".																												

WDP Compliance – Facility Breakdown

As of April 1, 2013

	ROUND 1 (From 05/06 Annual Rpt)			ROUND 2 (From 06/07 Annual Report)			ROUND 3 (From 07/08 Annual Report)			ROUND 4 (From 08/09 Annual Report)			ROUND 5 (From 09/10 Annual Report)			ROUND 6 (From 10/11 Annual Report)			ROUND 7 (From Site Reports)									
	Unknown			April 20, 2007			June 3, 2008			April 24, 2009			May 12-13, 2010			April 20, 2011			April 4, 2012									
Headquarters	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change					
	SC	21 / 37	63.6%		SC	20 / 36	60.6%	3.0%	SC	15 / 36	50.0%	10.6%	SC	20 / 36	64.5%	14.5%	SC	23 / 121	74.2%	9.7%	SC	26 / 31	83.9%	9.7%	SC	26 / 31	83.9%	0.0%
	PC	6 / 37	18.2%		PC	8 / 36	24.2%	6.0%	PC	12 / 36	40.0%	15.8%	PC	10 / 36	32.3%	7.7%	PC	8 / 121	25.8%	6.5%	PC	5 / 31	16.1%	9.7%	PC	5 / 31	16.1%	0.0%
	NC	6 / 37	18.2%		NC	5 / 36	15.1%	3.1%	NC	3 / 36	10.0%	5.1%	NC	1 / 36	3.2%	6.8%	NC	0 / 121	0.0%	3.2%	NC	0 / 31	0.0%	0.0%	NC	0 / 121	0.0%	0.0%
	N/A	4 / 37	(33)		N/A	3 / 36	(33)		N/A	6 / 36	(30)		N/A	5 / 36	(31)		N/A	90 / 121	(31)		N/A	0 / 31	(31)		N/A	0 / 31	(31)	
SYCRCC	February 16-17, 2006			April 16-17, 2007			January 10, 2008 May 20, 2008			November 14, 2008 April 9, 2009			April 7-8, 2010			March 2-3, 2011			N/A									
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change					
	SC	30 / 96	40.5%		SC	48 / 96	60.8%	20.3%	SC	57 / 96	69.5%	8.7%	SC	61 / 96	73.5%	4.0%	SC	76 / 121	86.4%	12.9%	SC	74 / 90	84.1%	2.3%	Facility closed			
	PC	33 / 96	44.6%		PC	25 / 96	31.6%	13.0%	PC	24 / 96	29.3%	2.3%	PC	20 / 96	24.1%	5.2%	PC	12 / 121	13.6%	10.5%	PC/BC	14 / 90	15.9%	2.3%				
	NC	11 / 96	14.9%		NC	6 / 96	7.6%	7.3%	NC	1 / 96	1.2%	6.4%	NC	2 / 96	2.4%	1.2%	NC	0 / 121	0.0%	2.4%	NC	0 / 90	0.0%	0.0%				
N/A	22 / 96	(74)		N/A	17 / 96	(79)		N/A	14 / 96	(82)		N/A	13 / 96	(83)		N/A	33 / 121	(88)		N/A	2 / 90	(88)						
April 13-14, 2006			February 12-13, 2007			September 20, 2007 April 1, 2008			October 21, 2008 January 8, 2009			February 17-18, 2010			N/A			N/A										
Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change						
SC	37 / 97	49.3%		SC	46 / 97	57.5%	8.2%	SC	55 / 97	65.5%	8.0%	SC	69 / 97	82.1%	16.6%	SC	81 / 121	92.0%	9.9%	Facility closed								
PC	27 / 97	36.0%		PC	24 / 97	30.0%	6.0%	PC	27 / 97	32.1%	2.1%	PC	14 / 97	16.7%	15.4%	PC	7 / 121	8.0%	8.7%									
NC	11 / 97	14.7%		NC	10 / 97	12.5%	2.2%	NC	2 / 97	2.4%	10.1%	NC	1 / 97	1.2%	1.2%	NC	0 / 121	0.0%	1.2%									
N/A	22 / 97	(75)		N/A	17 / 97	(80)		N/A	13 / 97	(84)		N/A	13 / 97	(84)		N/A	33 / 121	(88)										
April 13-14, 2006			February 12-13, 2007			September 20, 2007 April 1, 2008			October 21, 2008 January 8, 2009			February 17-18, 2010			N/A			N/A										
April 13-14, 2006			February 12-13, 2007			September 20, 2007 April 1, 2008			October 21, 2008 January 8, 2009			February 17-18, 2010			N/A			N/A										

Adjusted % - "Not Applicable" rated items are excluded from compliance calculation.
 Relieved items are counted as SC.
 Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

WDP Compliance – Facility Breakdown

As of April 1, 2013

	ROUND 1 (From 05/06 Annual Rpt)				ROUND 2 (From 06/07 Annual Report)				ROUND 3 (From 07/08 Annual Report)				ROUND 4 (From 08/09 Annual Report)				ROUND 5 (From 09/10 Annual Report)				ROUND 6 (From 10/11 Annual Report)				ROUND 7 (From Site Reports)																															
Heman G. Stark	December 15-16, 2005								January 9-10, 2007								December 11, 2007 March 11, 2008								December 9, 2008 March 19, 2009								N/A								N/A								N/A							
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)		Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change																																				
	SC	23 / 83	35.9%		SC	44 / 83	66.7%	30.8%	SC	48 / 83	68.6%	1.9%	SC	50 / 83	69.4%	0.8%	Facility closed								Facility closed								Facility closed																							
	PC	31 / 83	48.4%		PC	16 / 83	24.2%	24.2%	PC	22 / 83	31.4%	7.2%	PC	20 / 83	27.8%	3.6%																																								
	NC	10 / 83	15.6%		NC	6 / 83	9.1%	6.5%	NC	0 / 83	0.0%	9.1%	NC	2 / 83	2.8%	2.8%																																								
N/A	19 / 83	(64)		N/A	17 / 83	(66)		N/A	13 / 83	(70)		N/A	11 / 83	(72)																																										
DeWitt Nelson	September 15-16, 2005								February 14-15, 2007								October 30, 2007 February 26, 2008								N/A								N/A								N/A								N/A							
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)		Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change																																								
	SC	23 / 83	37.7%		SC	42 / 83	63.6%	25.9%	SC	44 / 83	63.8%	0.2%	Facility closed								Facility closed								Facility closed																											
	PC	28 / 83	45.9%		PC	17 / 83	25.8%	20.1%	PC	24 / 83	34.8%	9.0%																																												
	NC	10 / 83	16.4%		NC	7 / 83	10.6%	5.8%	NC	1 / 83	1.4%	9.2%																																												
N/A	22 / 83	(61)		N/A	17 / 83	(66)		N/A	14 / 83	(69)																																														
El Paso de Robles	October 13-14, 2005								December 14-15, 2006								April 29, 2008								N/A								N/A								N/A								N/A							
	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)		Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change																																								
	SC	23 / 83	37.1%		SC	48 / 83	72.7%	35.6%	SC	53 / 83	76.8%	4.1%	Facility closed								Facility closed								Facility closed																											
	PC	29 / 83	46.8%		PC	15 / 83	22.7%	24.1%	PC	16 / 83	23.2%	0.5%																																												
	NC	10 / 83	16.1%		NC	3 / 83	4.5%	11.6%	NC	0 / 83	0.0%	4.5%																																												
N/A	21 / 83	(62)		N/A	17 / 83	(66)		N/A	14 / 83	(69)																																														

Adjusted % - "Not Applicable" rated items are excluded from compliance calculation.
 Relieved items are counted as SC.
 Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

WDP Compliance – Facility Breakdown

As of April 1, 2013

ROUND 1 (From 05/06 Annual Report)				ROUND 2 (From 06/07 Annual Report)				ROUND 3 (From 07/08 Annual Report)				ROUND 4 (From 08/09 Annual Report)				ROUND 5 (From 09/10 Annual Report)				ROUND 6 (From 10/11 Annual Report)				ROUND 7 (From Site Reports)			
ROUND 1				ROUND 2				ROUND 3				ROUND 4				ROUND 5				ROUND 6				ROUND 7			
CUMULATIVE	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change	Rating	# Rated / Total #	Adjusted % (-N/A/N/E)	% Change
	SC	232 / 742	40.7%	SC	379 / 741	63.1%	22.4%	SC	426 / 741	68.2%	5.1%	SC	381 / 575	76.7%	8.5%	SC	385 / 626	86.3%	9.6%	SC	297 / 396	82.7%	3.6%	SC	232 / 289	85.3%	2.5%
	PC	244 / 742	42.8%	PC	166 / 741	27.6%	15.2%	PC	191 / 741	30.6%	3.0%	PC	107 / 575	21.5%	9.1%	PC	60 / 626	13.4%	8.1%	PC	61 / 396	17.0%	3.6%	PC	39 / 289	14.3%	2.7%
	NC	94 / 742	16.5%	NC	56 / 741	9.3%	7.2%	NC	8 / 741	1.3%	8.0%	NC	9 / 575	1.8%	0.5%	NC	1 / 626	0.2%	1.6%	NC	1 / 396	0.3%	0.1%	NC	1 / 289	0.4%	0.1%
	N/A	172 / 742	(570)	N/A	140 / 741	(601)	N/A	116 / 741	(625)	N/A	78 / 575	(497)	N/A	180 / 626	(446)	N/A	37 / 396	(359)	N/A	17 / 289	(272)						

Adjusted % - "Not Applicable" rated items are excluded from compliance calculation.
 Relieved items are counted as SC.
 Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

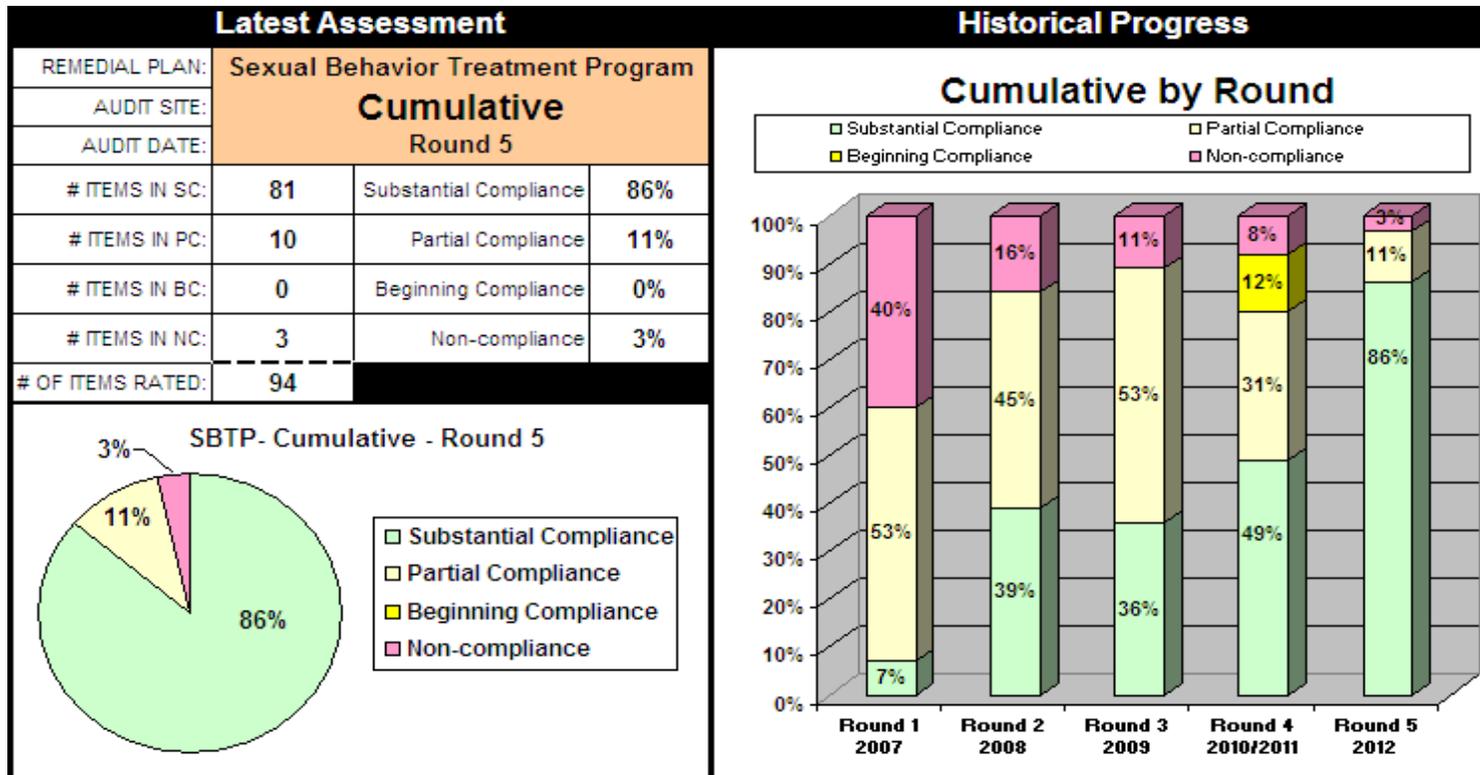
The seal of the California Department of Corrections and Rehabilitation is centered in the background. It features a circular design with a yellow outer ring containing the text "DEPARTMENT OF CORRECTIONS AND REHABILITATION" at the top and "STATE OF CALIFORNIA" at the bottom, separated by two stars. The inner circle contains a map of California, a scale of justice, and a torch with a flame.

4. Sexual Behavior Treatment Program

SBTP – Cumulative Compliance Results

As of April 1, 2013

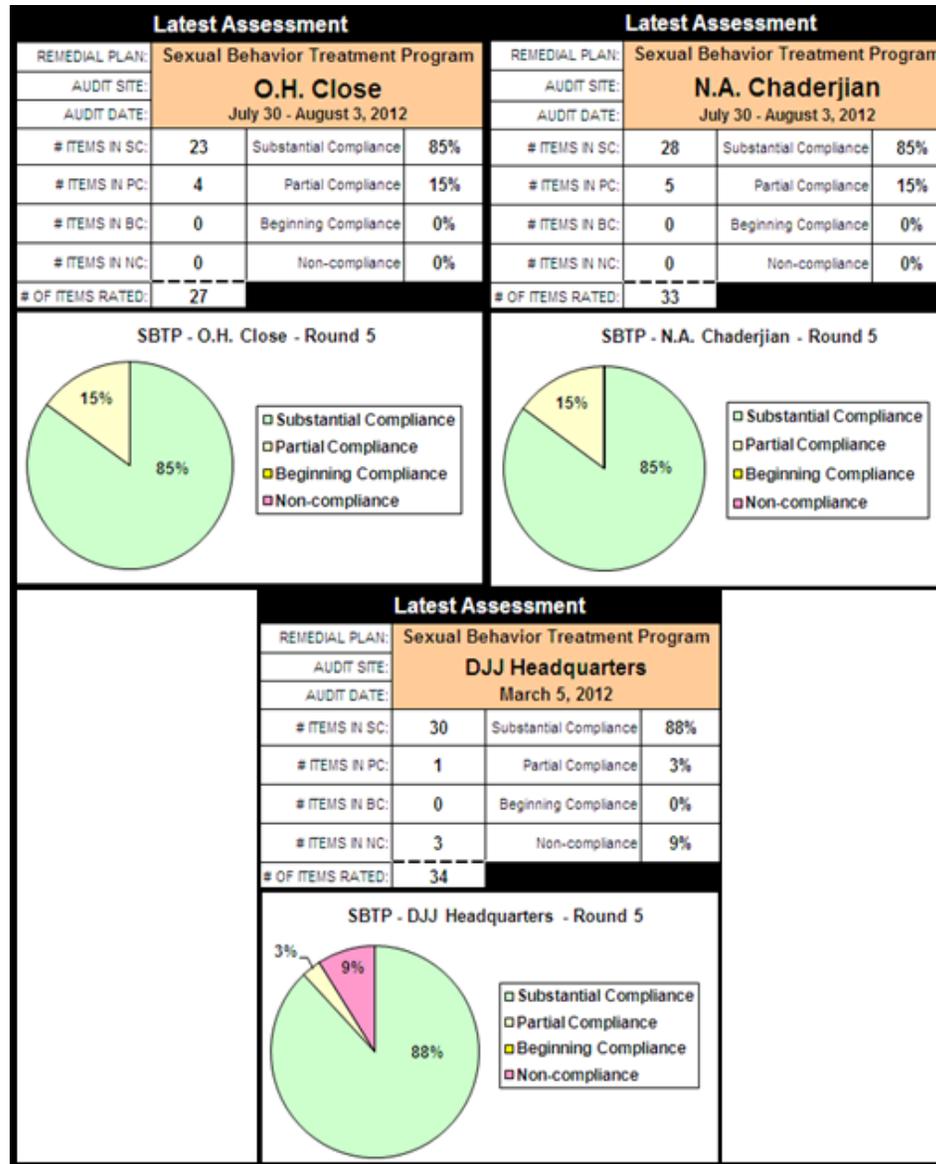
The pie chart (bottom, left) identifies the most recent cumulative compliance data provided by the Sex Behavior Treatment Program (SBTP) Expert for the three sites that are required to be audited under the SBTP Remedial Plan. It should be noted that the SBTP Remedial Plan and Audit Tool was rewritten and revised prior to the start of Round 4. The pie chart compliance percentages are currently derived from the Expert’s fifth round of audits. The bar graph chart (below, right) demonstrates the historical cumulative compliance progress made to date, through the first five complete rounds of audits. Currently, the cumulative *average increase* in substantial compliance from one round to the next is 19.9%.



Source Documents for Compliance Data – For Rounds 1-4: Compliance data obtained from the SBTP Expert’s Annual Reports for years 2007-2011. For Round 5: Compliance data obtained from the SBTP Expert’s site audit reports.

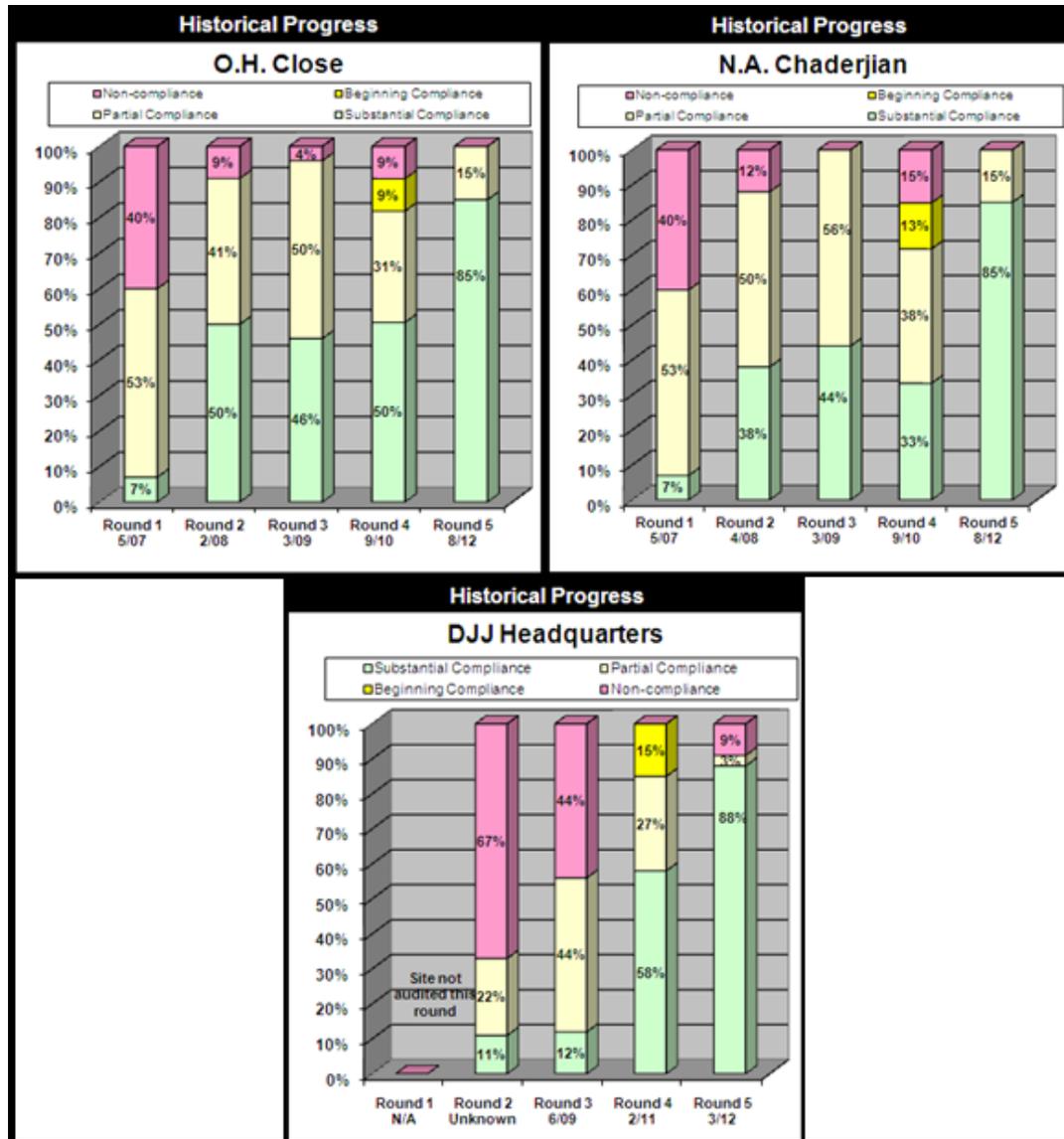
SBTP – Current Compliance by Audit Site

As of April 1, 2013



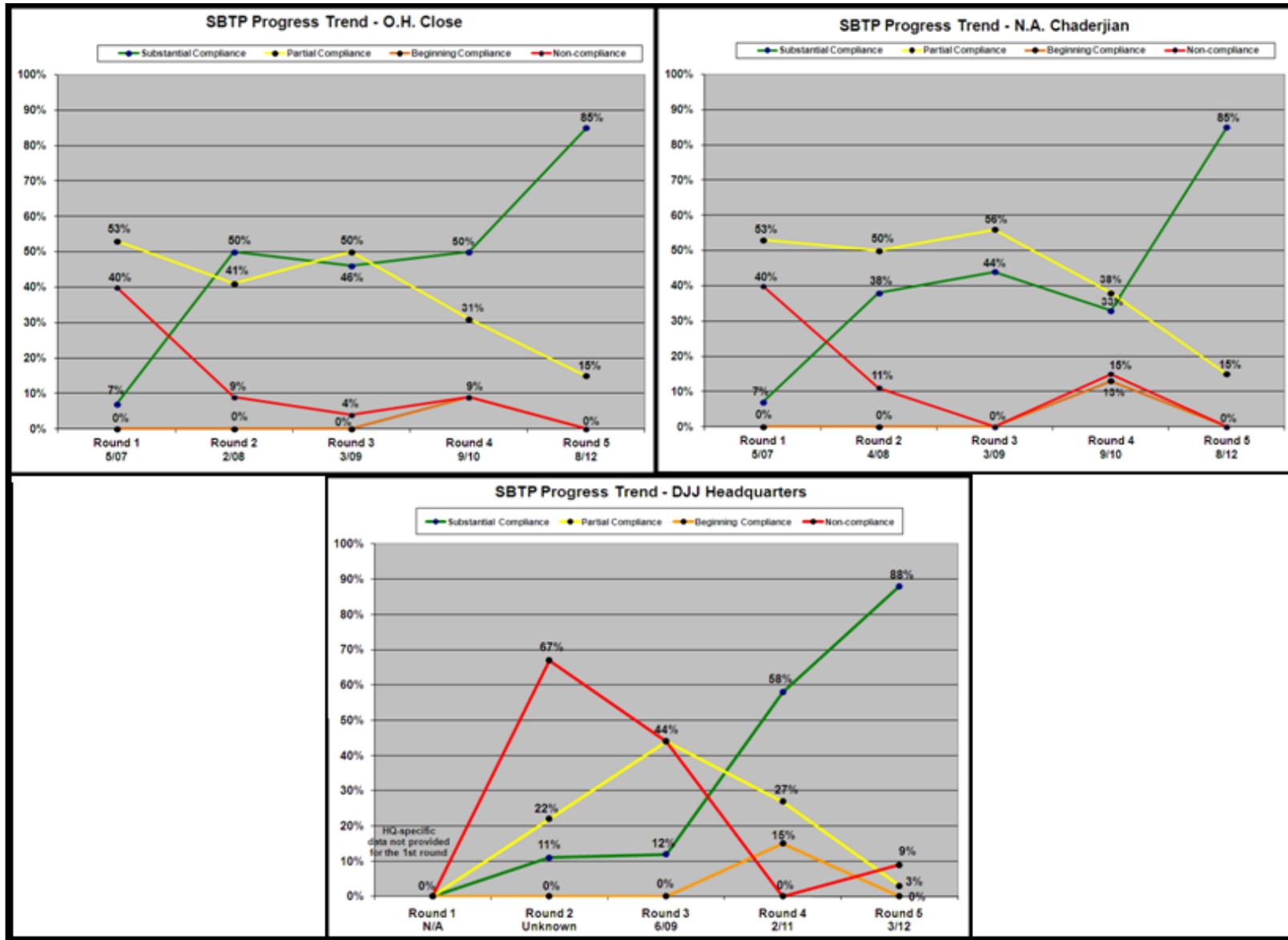
SBTP – Historical Compliance by Audit Site

As of April 1, 2013



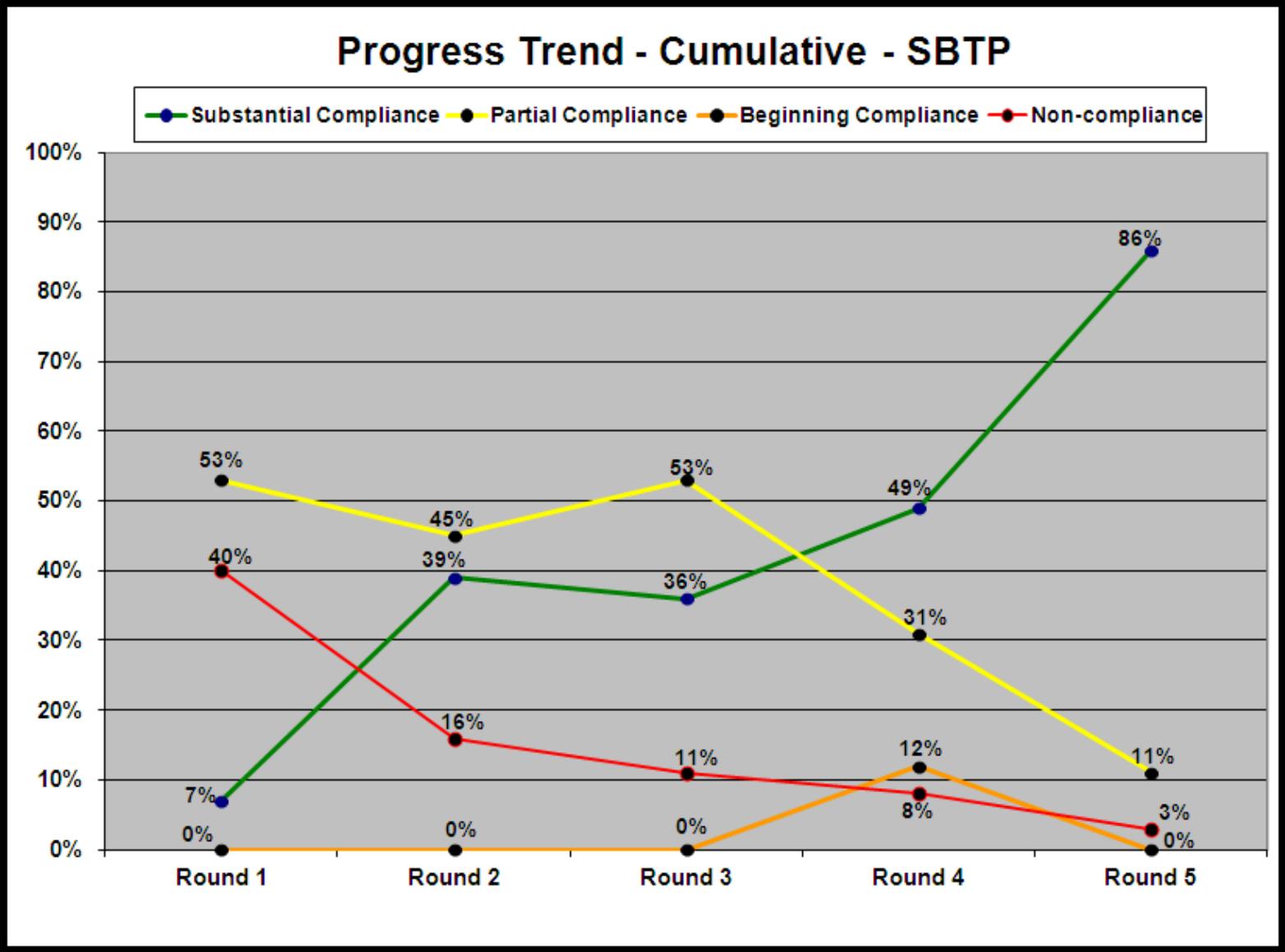
SBTP – Compliance Trends

As of April 1, 2013



SBTP – Cumulative Compliance Trend

As of April 1, 2013



SBTP Compliance – Facility Breakdown

As of April 1, 2013

	ROUND 1 (From Experts' Annual Report) May 24, 2007				ROUND 2 (From Experts' Annual Report) February 21, 2008				ROUND 3 (From Expert's Annual Report) March 10, 2009				ROUND 4 (From Expert's Annual Report) September 20-22, 2010				ROUND 5 (From Expert's Site Reports) July 30, 2012 - August 3, 2012			
	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change
O.H. Close	SC	2 / 52	6.7%		SC	11 / 53	50.0%	43.3%	SC	12 / 37	46.1%	3.9%	SC	16 / 61	50.0%	N/A	SC	23 / 34	85.2%	35.2%
	PC	16 / 52	53.3%		PC	9 / 53	40.9%	12.4%	PC	13 / 37	50.0%	9.1%	PC	10 / 61	31.2%	N/A	PC	4 / 34	14.8%	16.4%
	BC	N/A	N/A		BC	N/A	N/A	N/A	BC	N/A	N/A	N/A	BC	3 / 61	9.4%	N/A	BC	0 / 34	0.0%	9.4%
	NC	12 / 52	40.0%		NC	2 / 53	9.1%	30.9%	NC	1 / 37	3.8%	5.3%	NC	3 / 61	9.4%	N/A	NC	0 / 34	0.0%	9.4%
	NA-NR	22 / 52	(30)		NA-NR	31 / 53	(22)		NA-NR	11 / 37	(26)		NA-NR	29 / 61	(32)		NA-NR	7 / 34	(27)	
N.A. Chaderjian	SC	2 / 52	6.7%		SC	10 / 53	38.5%	31.8%	SC	11 / 37	44.0%	5.5%	SC	13 / 60	33.3%	N/A	SC	28 / 34	84.8%	51.5%
	PC	16 / 52	53.3%		PC	13 / 53	50.0%	3.3%	PC	14 / 37	56.0%	6.0%	PC	15 / 60	38.5%	N/A	PC	5 / 34	15.2%	23.3%
	BC	N/A	N/A		BC	N/A	N/A	N/A	BC	N/A	N/A	N/A	BC	5 / 60	12.8%	N/A	BC	0 / 34	0.0%	12.8%
	NC	12 / 52	40.0%		NC	3 / 53	11.5%	28.5%	NC	0 / 37	0.0%	11.5%	NC	6 / 60	15.4%	N/A	NC	0 / 34	0.0%	15.4%
	NA-NR	22 / 52	(30)		NA-NR	27 / 53	(26)		NA-NR	12 / 37	(25)		NA-NR	21 / 60	(39)		NA-NR	1 / 34	(33)	
DJJ Headquarters	N/A				Unknown				June 8, 2009				February 7, 2011				March 5, 2012			
	Site not Audited				Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change
	Site not Audited				SC	1 / 53	11.1%	NA	SC	2 / 19	12.5%	1.4%	SC	15 / 29	57.7%	N/A	SC	30 / 34	88.2%	30.5%
	Site not Audited				PC	2 / 53	22.2%	NA	PC	7 / 19	43.7%	21.5%	PC	7 / 29	26.9%	N/A	PC	1 / 34	2.9%	24.0%
	Site not Audited				BC	N/A	N/A	NA	BC	N/A	N/A	N/A	BC	4 / 29	15.4%	N/A	BC	0 / 34	0.0%	15.4%
Site not Audited				NC	6 / 53	66.7%	NA	NC	7 / 19	43.7%	23.0%	NC	0 / 29	0.0%	N/A	NC	3 / 34	8.8%	8.8%	
Site not Audited				NA-NR	44 / 53	(9)		NA-NR	3 / 19	(16)		NA-NR	3 / 29	(26)		NA-NR	0 / 34	(34)		
SYCRCC	July 26, 2007				May 21, 2008				May 7, 2009				February 8, 2011				N/A			
	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Facility Closed			
	SC	2 / 52	6.7%		SC	11 / 53	45.8%	39.1%	SC	9 / 37	37.5%	8.3%	SC	18 / 43	62.1%	N/A	Facility Closed			
	PC	16 / 52	53.3%		PC	11 / 53	45.8%	7.5%	PC	12 / 37	50.0%	4.2%	PC	7 / 43	24.1%	N/A	Facility Closed			
	BC	N/A	N/A		BC	N/A	N/A	N/A	BC	N/A	N/A	N/A	BC	3 / 43	10.3%	N/A	Facility Closed			
NC	12 / 52	40.0%		NC	2 / 53	8.3%	31.7%	NC	3 / 37	12.5%	4.2%	NC	1 / 43	3.4%	N/A	Facility Closed				
NA-NR	22 / 52	(30)		NA-NR	29 / 53	(24)		NA-NR	13 / 37	(24)		NA-NR	14 / 43	(29)	Facility Closed					

Adjusted % - "NA" (Not Applicable) and "NR" (Not Rated) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

SBTP Compliance – Facility Breakdown

As of April 1, 2013

		ROUND 1 (From Experts' Annual Report)				ROUND 2 (From Experts' Annual Report)				ROUND 3 (From Expert's Annual Report)				ROUND 4 (From Expert's Annual Report)				ROUND 5 (From Expert's Site Reports)			
		July 27, 2007				May 22, 2008				April 20-21, 2009				N/A				N/A			
Heman G. Stark	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Facility Closed				Facility Closed				
	SC	2 / 52	6.7%	30.1%	SC	7 / 53	36.8%	4.6%	SC	8 / 37	32.0%	4.8%									
	PC	16 / 52	53.3%	4.6%	PC	11 / 53	57.9%	2.1%	PC	15 / 37	60.0%	2.1%									
	BC	N/A	N/A	N/A	BC	N/A	N/A	N/A	BC	N/A	N/A	N/A									
	NC	12 / 52	40.0%	34.7%	NC	1 / 53	5.3%	2.7%	NC	2 / 37	8.0%	2.7%									
	NA-NR	22 / 52	(30)	(19)	NA-NR	34 / 53	(19)	(25)	NA-NR	12 / 37	(25)										
		N/A				April 28, 2008				N/A				N/A				N/A			
Preston	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Facility Closed				Facility Closed				Facility Closed								
	SC	5 / 53	35.7%	NA																	
	PC	5 / 53	35.7%	NA																	
	BC	N/A	N/A	NA																	
	NC	4 / 53	28.6%	NA																	
	NA-NR	39 / 53	(14)																		
		ROUND 1				ROUND 2				ROUND 3				ROUND 4				ROUND 5			
CUMULATIVE	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	
	SC	8 / 208	6.7%	32.8%	SC	45 / 318	39.5%	3.3%	SC	42 / 167	36.2%	3.3%	SC	62 / 193	49.2%	N/A	SC	81 / 102	86.2%	37.0%	
	PC	64 / 208	53.3%	8.6%	PC	51 / 318	44.7%	7.9%	PC	61 / 167	52.6%	7.9%	PC	39 / 193	30.9%	N/A	PC	10 / 102	10.6%	20.3%	
	BC	N/A	N/A	N/A	BC	N/A	N/A	N/A	BC	N/A	N/A	N/A	BC	15 / 193	11.9%	N/A	BC	0 / 102	0.0%	11.9%	
	NC	48 / 208	40.0%	24.2%	NC	18 / 318	15.8%	4.6%	NC	13 / 167	11.2%	4.6%	NC	10 / 193	7.9%	N/A	NC	3 / 102	3.2%	4.7%	
	NA-NR	88 / 208	(120)	(114)	NA-NR	204 / 318	(114)	(116)	NA-NR	51 / 167	(116)	(126)	NA-NR	67 / 193	(126)	NA-NR	8 / 102	(94)			

Adjusted % - "NA" (Not Applicable) and "NR" (Not Rated) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

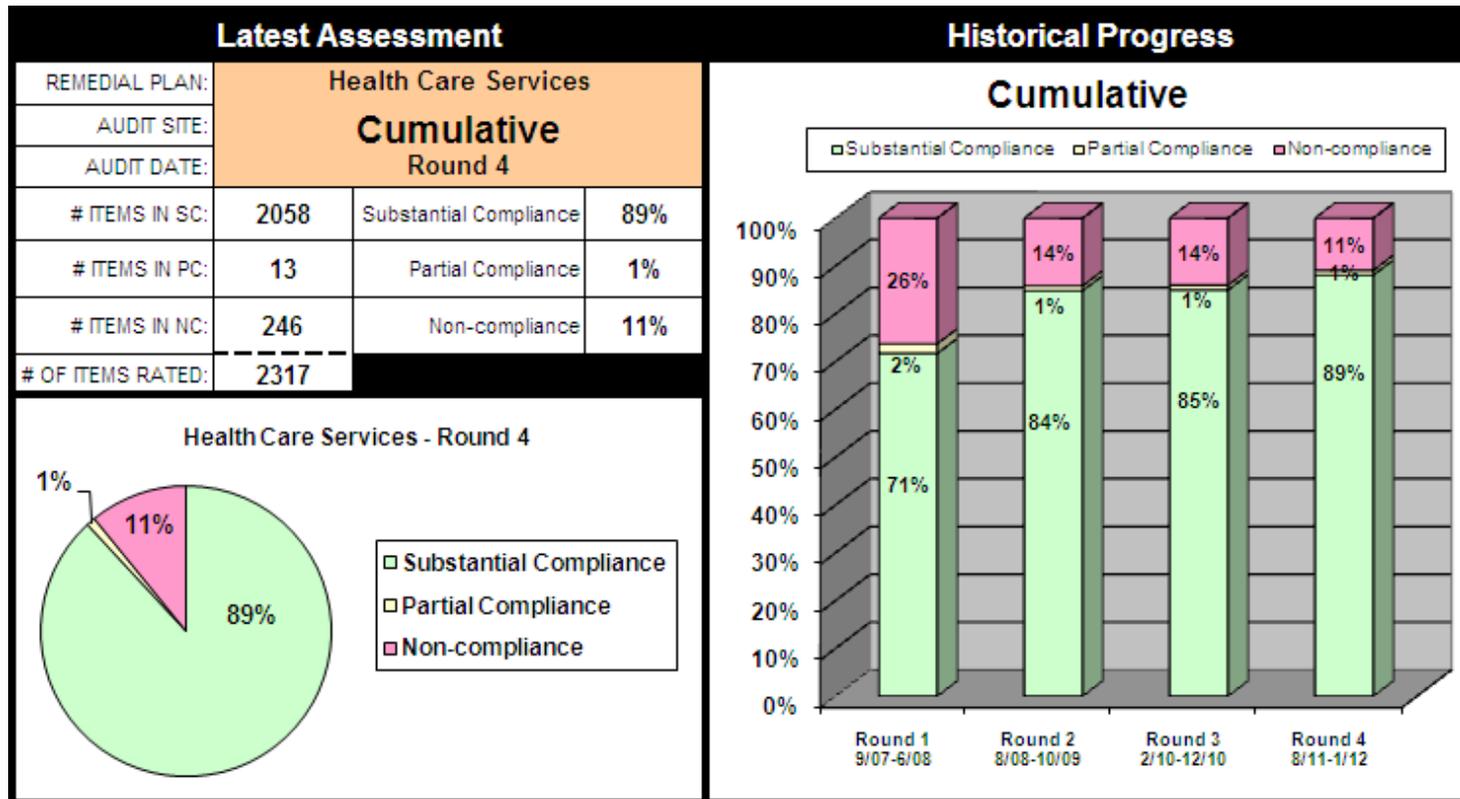
5. Health Care Services



Health Care Services – Cumulative Compliance Results

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.

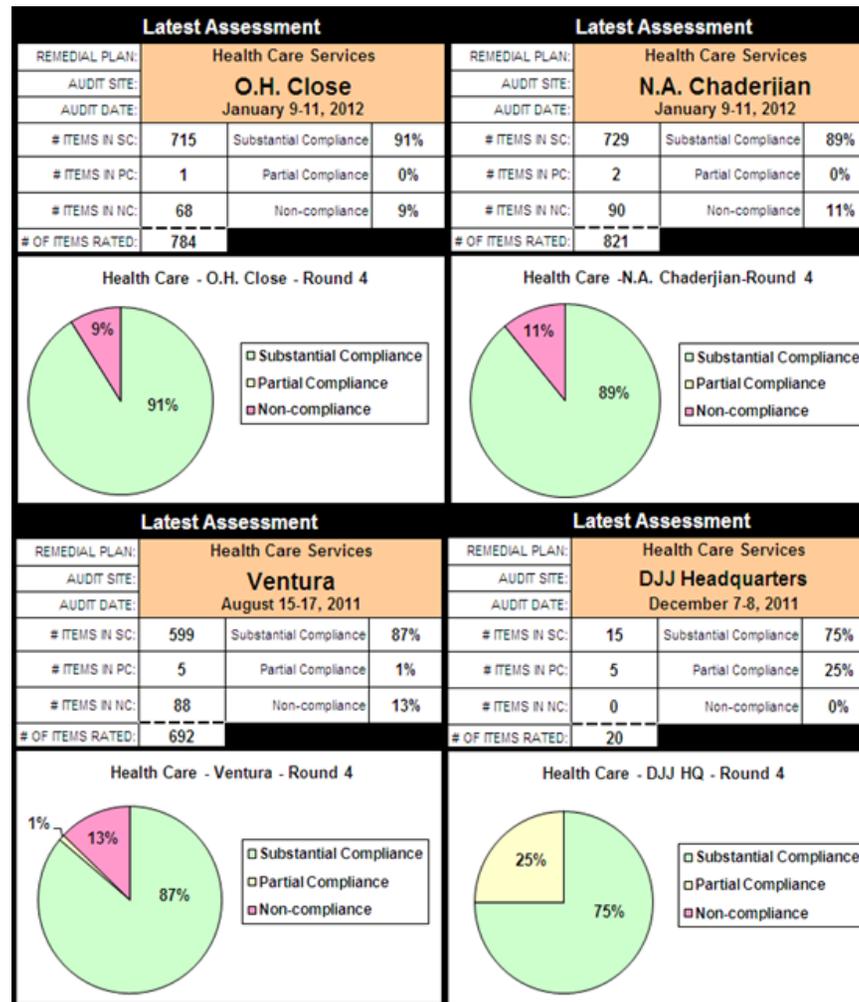
The pie chart (bottom, left) identifies the most recent cumulative compliance data provided by the Health Care Experts for the four remaining sites that are required to be audited under the Health Care Services Remedial Plan. The pie chart compliance percentages are currently derived from the Experts’ fourth round of audits. The bar graph chart (below, right) identifies the historical cumulative compliance progress made to date, through the first four rounds of audits. Currently, the cumulative *average increase* in substantial compliance from one round to the next is 5.9%.



Source Documents for Compliance Data – For Rounds 1-4: Compliance data obtained by the Health Care Services Experts’ revised and final facility audit reports.

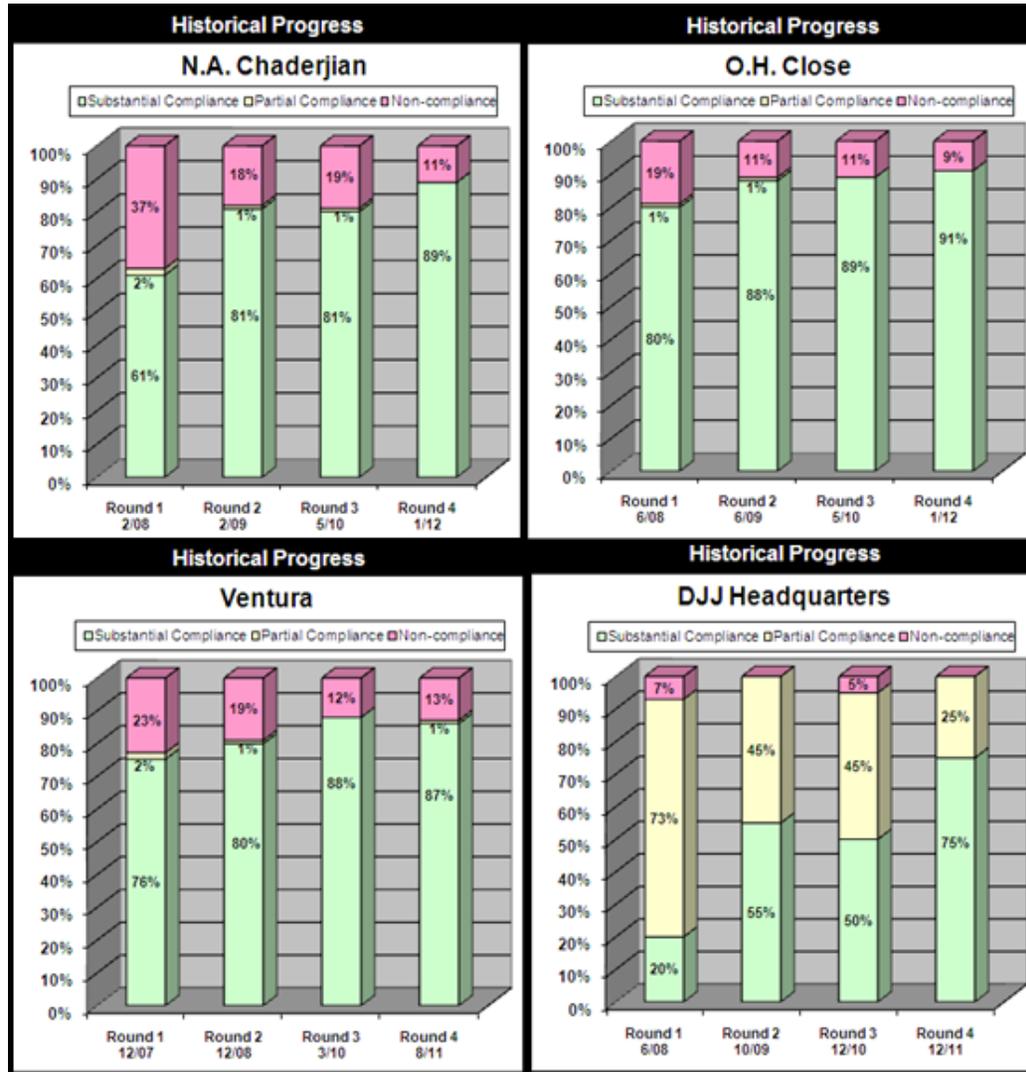
Health Care Services – Current Compliance by Audit Site

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.



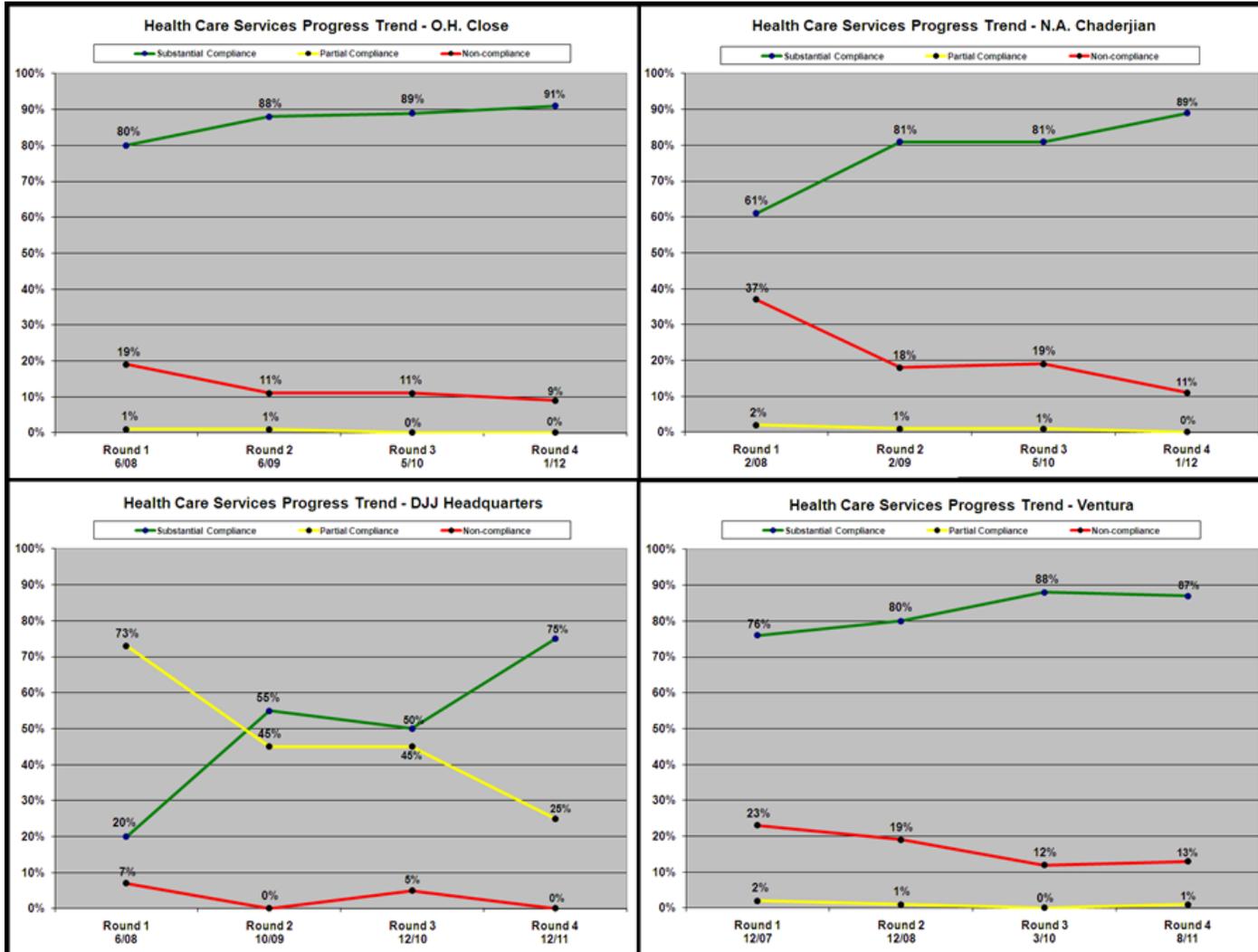
Health Care Services – Historical Compliance by Audit Site

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.



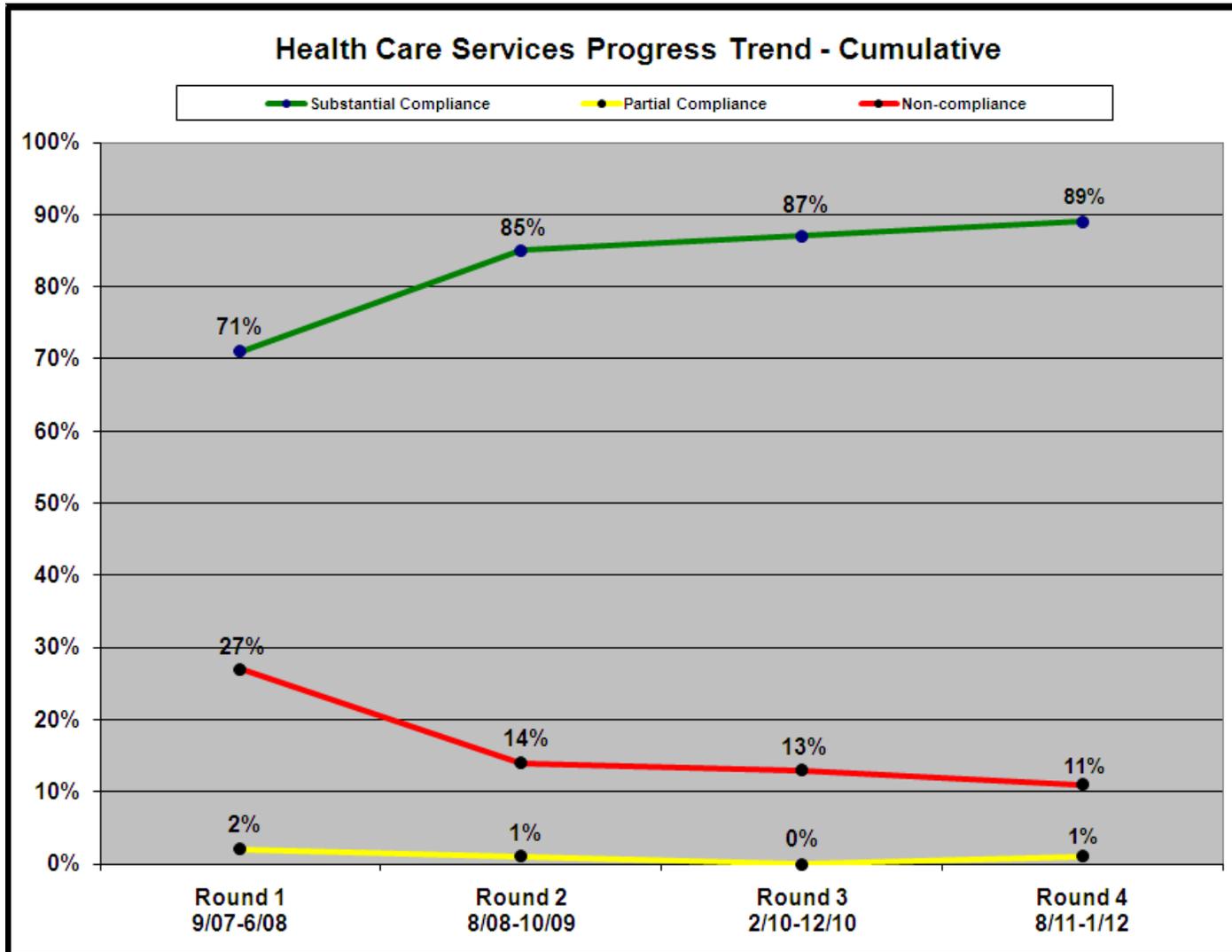
Health Care Services – Compliance Trends

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.



Health Care Services – Cumulative Compliance Trend

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.



Health Care Services Compliance – Facility Breakdown

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.

		ROUND 1 (From Experts' Facility Reports)			ROUND 2 (From Experts' Facility Reports)				ROUND 3 (From Experts' Facility Reports)				ROUND 4 (From Experts' Facility Reports)				ROUND 5
		June 2-4, 2008			June 1-3, 2009				May 24-27, 2010				January 9-11, 2012				TBD
O.H. Close	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Round 5 audits are expected to take place in fiscal year 2012/2013	
	SC	440 / 768	80.0%	SC	675 / 882	87.8%	7.8%	SC	551 / 748	88.9%	1.1%	SC	715 / 915	91.2%	2.3%		
	PC	8 / 768	1.4%	PC	9 / 882	1.2%	0.2%	PC	2 / 748	0.3%	0.9%	PC	1 / 915	0.1%	0.2%		
	NC	102 / 768	18.5%	NC	85 / 882	11.0%	7.5%	NC	67 / 748	10.8%	0.2%	NC	68 / 915	8.7%	2.1%		
	NA/NE	218 / 768	(550) Denominator	NA/NE	113 / 882	(769) Denominator		NA/NE	128 / 748	(620) Denominator		NA/NE	131 / 915	(784) Denominator			
		February 25-29, 2008			February 17-19, 2009				May 24-27, 2010				January 9-11, 2012				TBD
N.A. Chaderjian	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Round 5 audits are expected to take place in fiscal year 2012/2013	
	SC	453 / 920	60.9%	SC	631 / 914	80.9%	20.0%	SC	603 / 893	80.6%	0.3%	SC	729 / 952	88.8%	8.2%		
	PC	19 / 920	2.5%	PC	9 / 914	1.1%	1.4%	PC	5 / 893	0.7%	0.4%	PC	2 / 952	0.2%	0.5%		
	NC	272 / 920	36.6%	NC	140 / 914	17.9%	18.7%	NC	140 / 893	18.7%	0.8%	NC	90 / 952	11.0%	7.7%		
	NA/NE	176 / 920	(744) Denominator	NA/NE	134 / 914	(780) Denominator		NA/NE	145 / 893	(748) Denominator		NA/NE	131 / 952	(821) Denominator			
		December 5-7, 2007			December 4-6, 2008				March 22-24, 2010				August 15-17, 2011				TBD
Ventura	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Round 5 audits are expected to take place in fiscal year 2012/2013	
	SC	530 / 822	75.8%	SC	610 / 908	79.6%	3.8%	SC	704 / 927	87.6%	8.0%	SC	599 / 908	86.6%	1.0%		
	PC	11 / 822	1.6%	PC	11 / 908	1.4%	0.2%	PC	4 / 927	0.5%	0.9%	PC	5 / 908	0.7%	0.2%		
	NC	158 / 822	22.6%	NC	145 / 908	18.9%	3.7%	NC	96 / 927	11.9%	7.0%	NC	88 / 908	12.7%	0.8%		
	NA/NE	123 / 822	(699) Denominator	NA/NE	142 / 908	(766) Denominator		NA/NE	123 / 927	(804) Denominator		NA/NE	216 / 908	(692) Denominator			

Adjusted % - "NA" (Not Applicable) and "NE" (Not Evaluated) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Health Care Services Compliance – Facility Breakdown

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.

		ROUND 1 (From Experts' Facility Reports)			ROUND 2 (From Experts' Facility Reports)				ROUND 3 (From Experts' Facility Reports)				ROUND 4 (From Experts' Facility Reports)				ROUND 5
		June 2-4, 2008			October 7-8, 2009				November 30 - December 1, 2010				December 7-8, 2011				TBD
DJJ Headquarters	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Round 5 audits are expected to take place in fiscal year 2012/2013	
	SC	3 / 20	20.0%	SC	11 / 20	55.0%	35.0%	SC	10 / 20	50.0%	5.0%	SC	15 / 20	75.0%	25.0%		
	PC	11 / 20	73.3%	PC	9 / 20	45.0%	28.3%	PC	9 / 20	45.0%	0.0%	PC	5 / 20	25.0%	20.0%		
	NC	1 / 20	6.7%	NC	0 / 20	0.0%	6.7%	NC	1 / 20	5.0%	5.0%	NC	0 / 20	0.0%	5.0%		
	NA/NE	5 / 20	(15) Denominator	NA/NE	0 / 20	(20) Denominator		NA/NE	0 / 20	(20) Denominator		NA/NE	0 / 20	(20) Denominator			
		January 29-31, 2008			March 10-12, 2009 & April 1-3, 2009				April 26-28, 2010				NA				NA
SYGRCC	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Facility Closed				Facility Closed	
	SC	500 / 872	72.1%	SC	743 / 947	88.4%	16.3%	SC	697 / 952	86.4%	2.0%	Facility Closed				Facility Closed	
	PC	13 / 872	1.9%	PC	6 / 947	0.7%	1.2%	PC	4 / 952	0.5%	0.2%	Facility Closed				Facility Closed	
	NC	180 / 872	26.0%	NC	91 / 947	10.8%	15.2%	NC	106 / 952	13.1%	2.3%	Facility Closed				Facility Closed	
	NA/NE	179 / 872	(693) Denominator	NA/NE	107 / 947	(840) Denominator		NA/NE	145 / 952	(807) Denominator		Facility Closed				Facility Closed	
		September 5-7, 2007			August 25-28, 2008				February 23-25, 2010				NA				NA
Preston	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Facility Closed				Facility Closed	
	SC	554 / 839	77.6%	SC	752 / 984	86.0%	8.4%	SC	717 / 780	91.9%	5.7%	Facility Closed				Facility Closed	
	PC	9 / 839	1.3%	PC	5 / 984	0.6%	0.7%	PC	3 / 780	0.4%	0.2%	Facility Closed				Facility Closed	
	NC	151 / 839	21.1%	NC	117 / 984	13.4%	7.7%	NC	60 / 780	7.7%	5.5%	Facility Closed				Facility Closed	
	NA/NE	125 / 839	(714) Denominator	NA/NE	110 / 984	(874) Denominator		NA/NE	0 / 780	(780) Denominator		Facility Closed				Facility Closed	

Adjusted % - "NA" (Not Applicable) and "NE" (Not Evaluated) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Health Care Services Compliance – Facility Breakdown

On February 15, 2013, the County of Alameda Superior Court dismissed the Health Care Remedial Plan that relates solely to Medical Care in the *Farrell vs. Beard* lawsuit.

		ROUND 1 (From Experts' Facility Reports)			ROUND 2 (From Experts' Facility Reports)				ROUND 3 (From Experts' Facility Reports)				ROUND 4 (From Experts' Facility Reports)				ROUND 5
		October 31 - November 2, 2007			January 13-15, 2009				N/A				N/A				N/A
Heman G. Stark	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Facility Closed				Facility Closed				Facility Closed	
	SC	421 / 818	64.1%	SC	721 / 976	84.2%	20.1%										
	PC	21 / 818	3.2%	PC	4 / 976	0.5%	2.7%										
	NC	215 / 818	32.7%	NC	131 / 976	15.3%	17.4%										
	NA/NE	161 / 818	(657) Denominator	NA/NE	120 / 976	(856) Denominator											
		ROUND 1			ROUND 2				ROUND 3				ROUND 4				ROUND 5
CUMULATIVE	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Round 5 audits are expected to take place in fiscal year 2012/2013	
	SC	2901 / 5059	71.2%	SC	4143 / 5631	84.5%	13.3%	SC	3282 / 4320	86.8%	2.3%	SC	2058 / 2795	88.8%	2.0%		
	PC	92 / 5059	2.3%	PC	53 / 5631	1.1%	1.2%	PC	27 / 4320	0.7%	0.4%	PC	13 / 2795	0.6%	0.1%		
	NC	1079 / 5059	26.5%	NC	709 / 5631	14.4%	12.1%	NC	470 / 4320	12.4%	2.0%	NC	246 / 2795	10.6%	1.8%		
	NA/NE	987 / 5059	(4072) Denominator	NA/NE	726 / 5631	(4905) Denominator		NA/NE	541 / 4320	(3779) Denominator		NA/NE	478 / 2795	(2317) Denominator			

Adjusted % - "NA" (Not Applicable) and "NE" (Not Evaluated) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

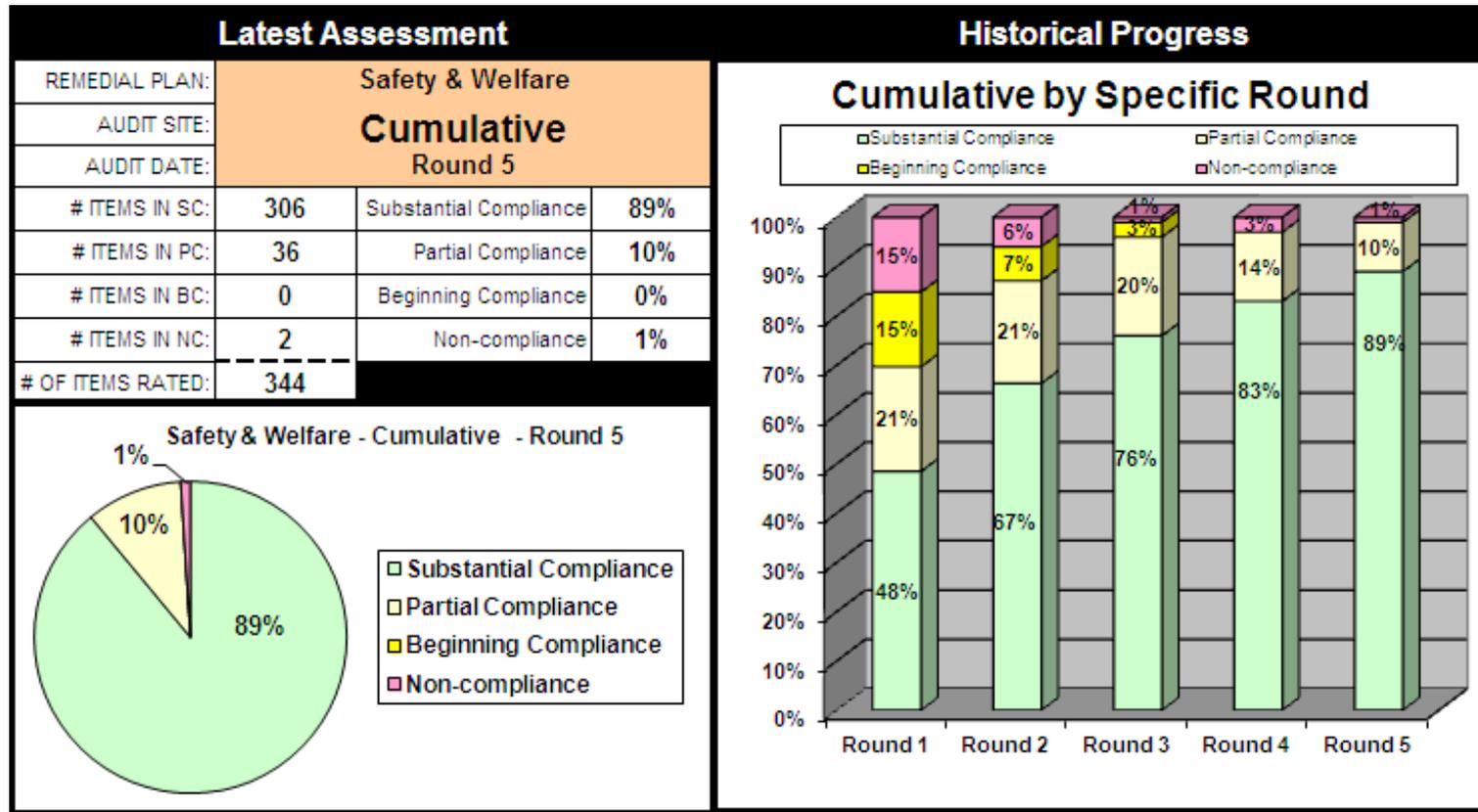


6. Safety & Welfare

Safety & Welfare – Cumulative Compliance Results

As of April 1, 2013

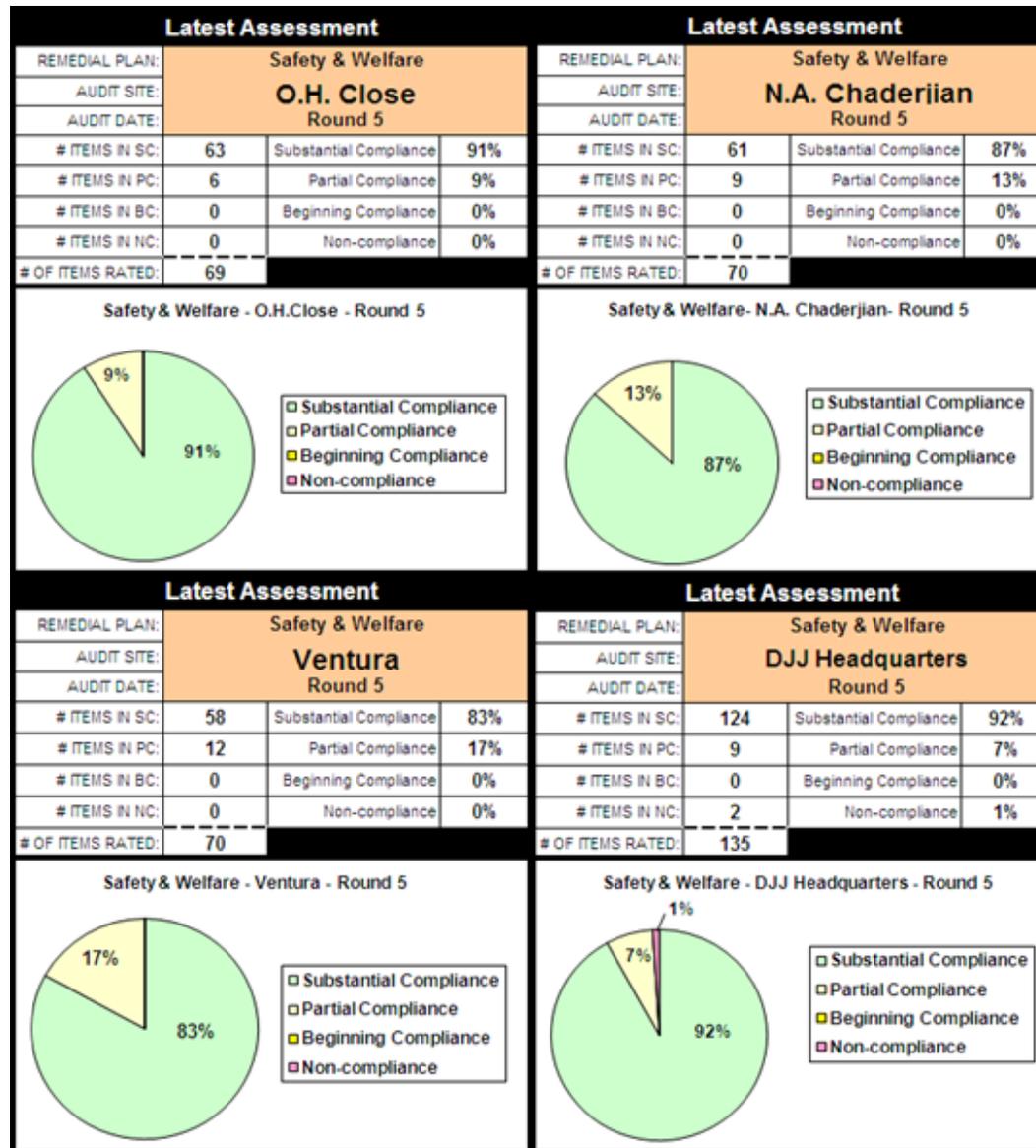
The pie chart (bottom, left) identifies the current cumulative compliance data for the Safety & Welfare Remedial Plan for the fifth round of audits. The bar graph chart (below, right) identifies the historical cumulative compliance progress made to date, through the five rounds of audits. The cumulative average increase in substantial compliance from one round to the next is 10.1%.



Source Documents for Compliance Data – There are three sets of compliance monitors responsible to monitor the Safety & Welfare Remedial Plan, the Safety & Welfare Expert, the Mental Health Experts, and the Office of the Special Master. Compliance data is taken from the monitor’s most recent audit report from each applicable site.

Safety & Welfare – Cumulative Compliance by Audit Site

As of April 1, 2013



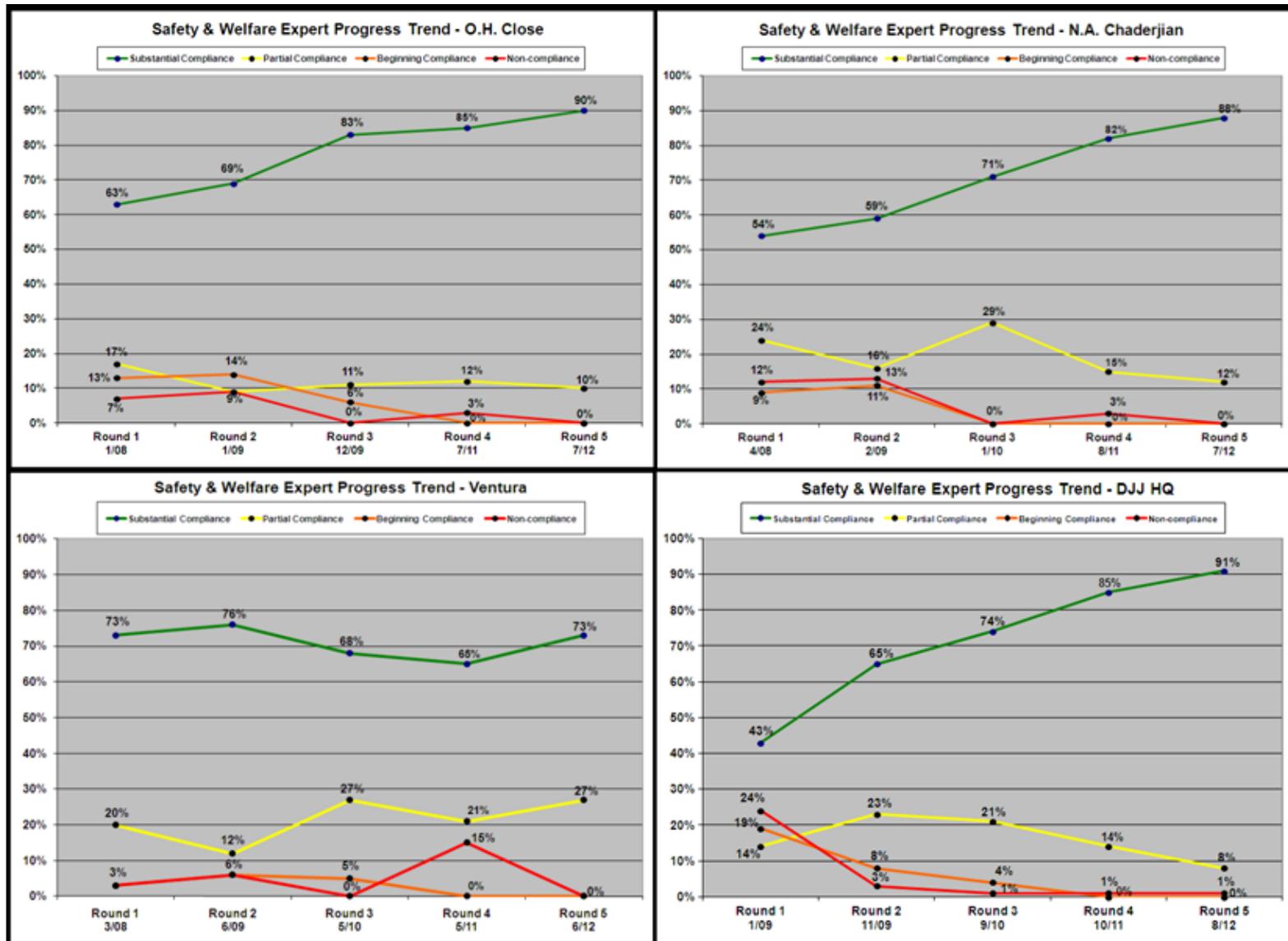
Safety & Welfare – Historical Compliance by S&W Expert

As of April 1, 2013



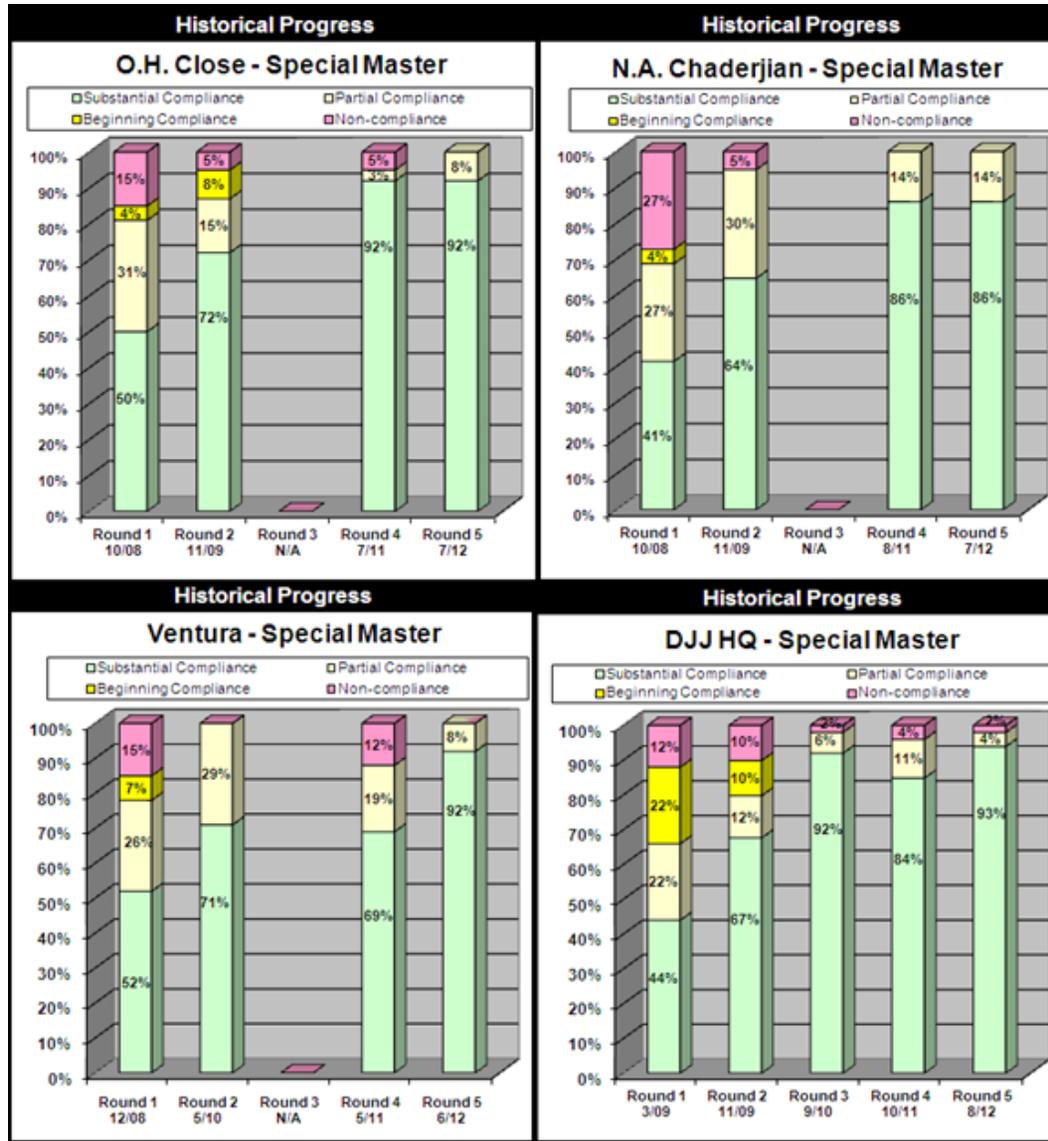
Safety & Welfare – S&W Expert Compliance Trends

As of April 1, 2013



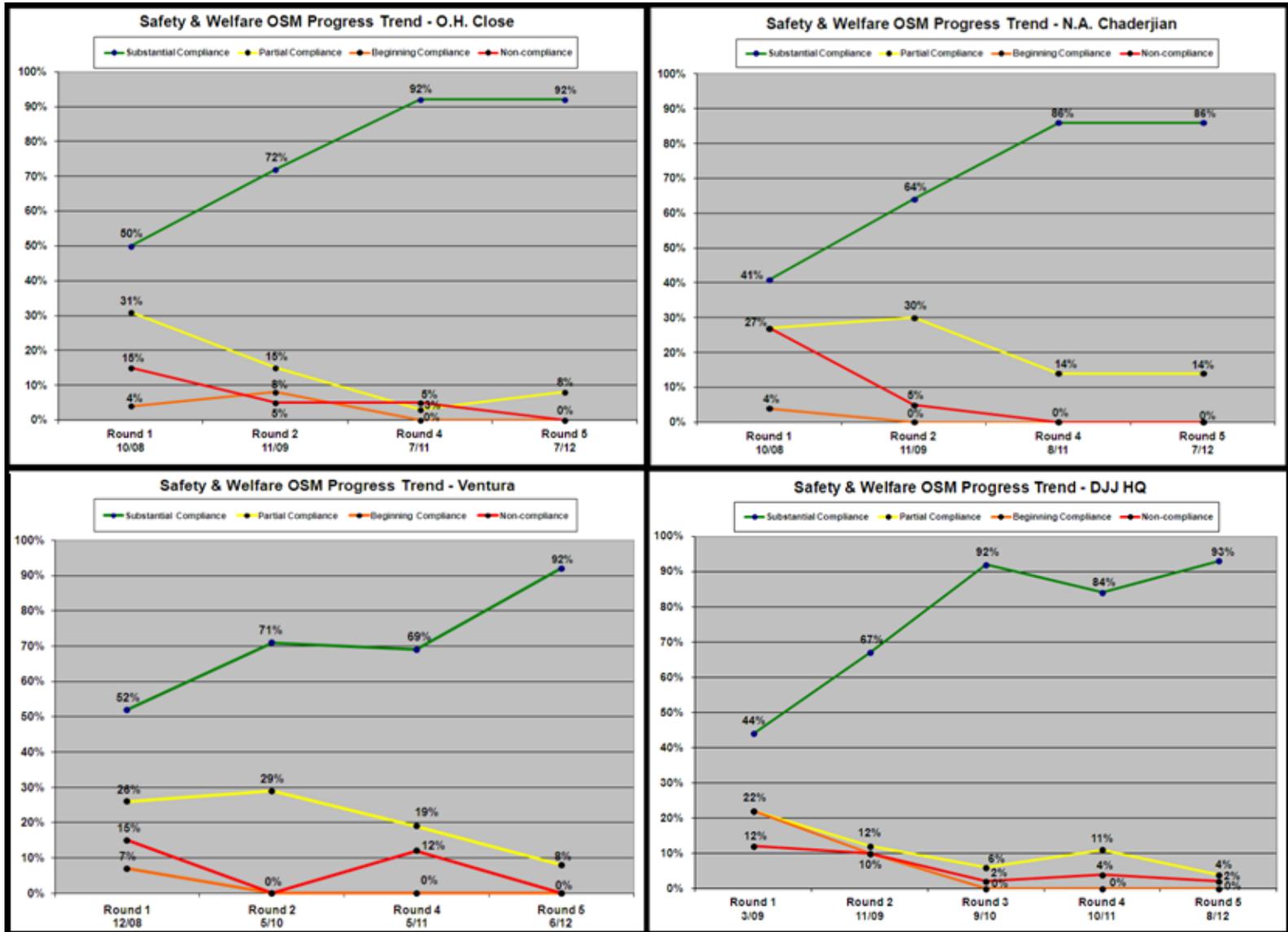
Safety & Welfare – Historical Compliance by Special Master

As of April 1, 2013



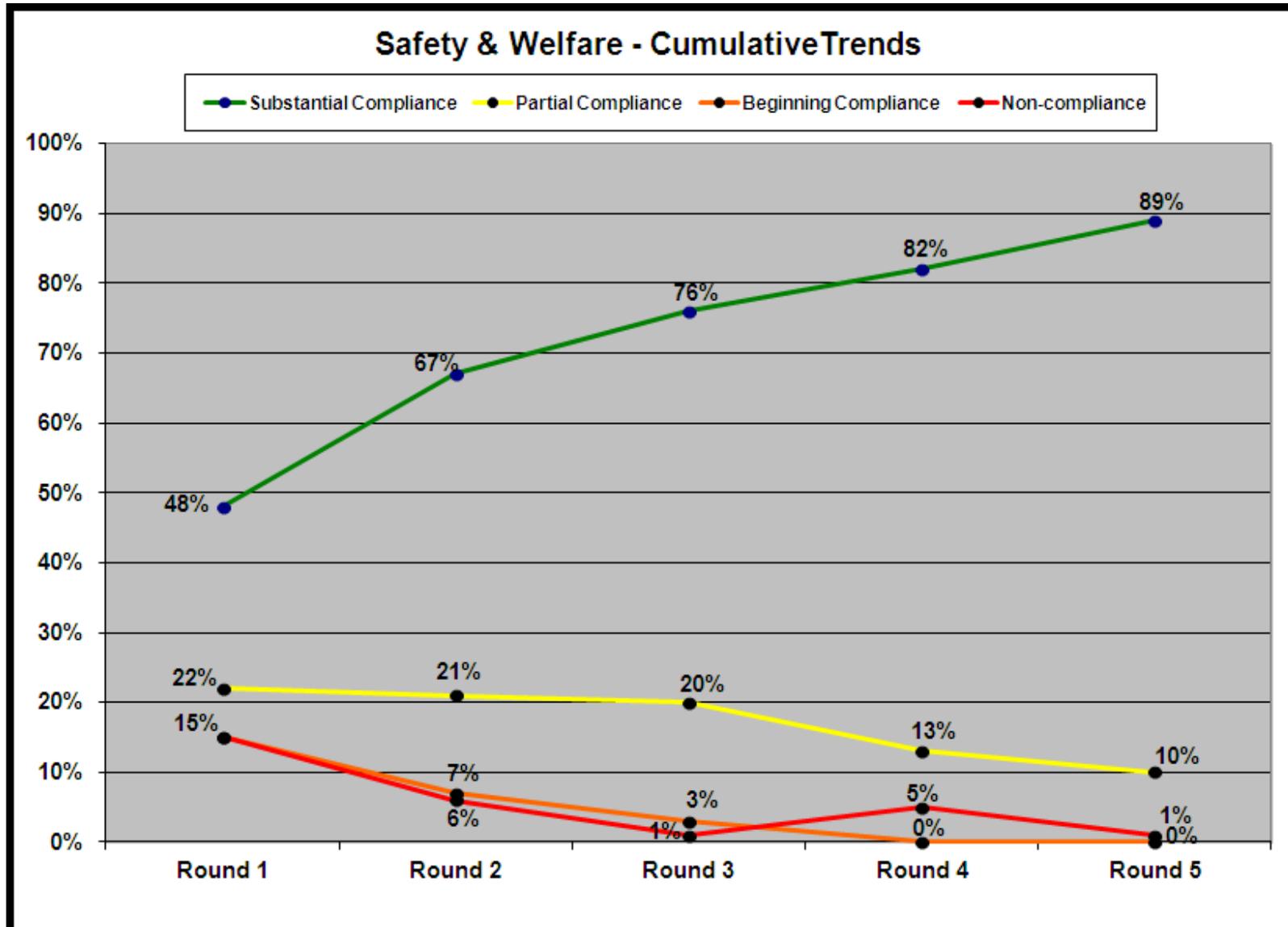
Safety & Welfare – Special Master Compliance Trends

As of April 1, 2013



Safety & Welfare – Cumulative Compliance Trend

As of April 1, 2013



Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 1													ROUND 2																			
O.H. Close	S&W Expert January 28-29, 2008				OSM Oct. 16 & 21, 2008			MH Experts October 16, 2008			O.H. Close Round 1 Cumulative			S&W Expert January 27-28, 2009				OSM November 5-6, 2009			MH Experts N/A			O.H. Close Round 2 Cumulative								
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change				
	SC	19 / 36	63.3%		SC	13 / 46	50.0%		SC	0 / 5	0.0%		SC	32 / 87	53.3%		SC	24 / 39	68.6%		SC	28 / 45	71.8%		MH Experts did not audit this site for this round.			SC	52 / 84	70.3%	17.0%	
PC	5 / 36	16.7%		PC	8 / 46	30.8%		PC	0 / 5	0.0%		PC	13 / 87	21.7%		PC	3 / 39	8.6%		PC	6 / 45	15.4%					PC	9 / 84	12.2%	9.5%		
BC	4 / 36	13.3%		BC	1 / 46	3.8%		BC	4 / 5	100%		BC	9 / 87	15.0%		BC	5 / 39	14.3%		BC	3 / 45	7.7%					BC	8 / 84	10.8%	4.2%		
NC	2 / 36	6.7%		NC	4 / 46	15.4%		NC	0 / 5	0.0%		NC	6 / 87	10.0%		NC	3 / 39	8.6%		NC	2 / 45	5.1%					NC	5 / 84	6.8%	3.2%		
NA	6 / 36	(30)		NA	20 / 46	(26)		NA	1 / 5	(4)		NA	27 / 87	(60)		NA	4 / 39	(35)		NA	6 / 45	(39)					NA	10 / 84	(74)			
N.A. Chaderjian	S&W Expert April 2-3, 2008				OSM Oct. 17 & 22, 2008			MH Experts October 17, 2008			NAC Round 1 Cumulative			S&W Expert February 17-18, 2009				OSM Nov. 12-13, 2009			MH Experts March 18-19, 2010			N.A. Chaderjian Round 2 Cumulative								
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change				
	SC	18 / 37	54.5%		SC	9 / 45	40.9%		SC	0 / 5	0.0%		SC	27 / 87	45.0%		SC	22 / 38	59.5%		SC	23 / 41	63.9%		SC	0 / 3	0.0%		SC	45 / 82	59.2%	14.2%
	PC	8 / 37	24.2%		PC	6 / 45	27.3%		PC	1 / 5	20.0%		PC	15 / 87	25.0%		PC	6 / 38	16.2%		PC	11 / 41	30.5%		PC	1 / 3	33.3%		PC	18 / 82	23.7%	1.3%
	BC	3 / 37	9.1%		BC	1 / 45	4.5%		BC	3 / 5	60.0%		BC	7 / 87	11.7%		BC	4 / 38	10.8%		BC	0 / 41	0.0%		BC	2 / 3	66.7%		BC	6 / 82	7.9%	3.8%
	NC	4 / 37	12.1%		NC	6 / 45	27.3%		NC	1 / 5	20.0%		NC	11 / 87	18.3%		NC	5 / 38	13.5%		NC	2 / 41	5.5%		NC	0 / 3	0.0%		NC	7 / 82	9.2%	9.1%
	NA	4 / 37	(33)		NA	23 / 45	(22)		NA	0 / 5	(5)		NA	27 / 87	(60)		NA	1 / 38	(37)		NA	5 / 41	(36)		NA	0 / 3	(3)		NA	6 / 82	(76)	
Ventura	S&W Expert March 5-6, 2008				OSM December 17-18,			MH Experts December 1-3, 2008			Ventura Round 1 Cumulative			S&W Expert June 2-3, 2009				OSM May 17-18, 2010			MH Experts January 12-13, 2010			Ventura Round 2 Cumulative								
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change				
	SC	22 / 36	73.3%		SC	14 / 46	51.8%		SC	0 / 5	0.0%		SC	36 / 87	60.0%		SC	25 / 38	75.8%		SC	24 / 38	70.6%		SC	0 / 3	0.0%		SC	49 / 79	70.0%	15.8%
	PC	6 / 36	20.0%		PC	7 / 46	25.9%		PC	1 / 5	33.3%		PC	14 / 87	23.3%		PC	4 / 38	12.1%		PC	10 / 38	29.4%		PC	3 / 3	100.0%		PC	17 / 79	24.3%	11.2%
	BC	1 / 36	3.3%		BC	2 / 46	7.4%		BC	2 / 5	66.7%		BC	5 / 87	8.3%		BC	2 / 38	6.1%		BC	0 / 38	0.0%		BC	0 / 3	0.0%		BC	2 / 79	2.9%	2.2%
	NC	1 / 36	3.3%		NC	4 / 46	14.8%		NC	0 / 5	0.0%		NC	5 / 87	8.3%		NC	2 / 38	6.1%		NC	0 / 38	0.0%		NC	0 / 3	0.0%		NC	2 / 79	2.9%	2.2%
	NA	6 / 36	(30)		NA	19 / 46	(27)		NA	2 / 5	(3)		NA	27 / 87	(60)		NA	5 / 38	(33)		NA	4 / 38	(34)		NA	0 / 3	(3)		NA	9 / 79	(70)	

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
 Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 1												ROUND 2														
DJJ Headquarters	S&W Expert January 14-15, 2009			OSM March 12, 2009			MH Experts January 8-9, 2009			DJJ HQ Round 1 Cumulative			DJJ Headquarters	S&W Expert November 9-10, 2009			OSM November 2-3, 2009			MH Experts April 15-16, 2010			DJJ HQ Round 2 Cumulative			
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change
	SC	40 / 97	43.0%	SC	18 / 52	43.9%	SC	4 / 12	33.3%	SC	62 / 161	42.5%		SC	57 / 94	65.5%	SC	27 / 53	67.5%	SC	1 / 7	33.3%	SC	85 / 154	63.4%	20.9%
PC	13 / 97	14.0%	PC	9 / 52	21.9%	PC	0 / 12	0.0%	PC	22 / 161	15.1%	PC	20 / 94	23.0%	PC	5 / 53	12.5%	PC	3 / 7	0.0%	PC	28 / 154	20.9%	5.8%		
BC	18 / 97	19.3%	BC	9 / 52	21.9%	BC	7 / 12	58.3%	BC	34 / 161	23.3%	BC	7 / 94	8.0%	BC	4 / 53	10.0%	BC	2 / 7	58.3%	BC	13 / 154	9.7%	13.6%		
NC	22 / 97	23.7%	NC	5 / 52	12.2%	NC	1 / 12	8.3%	NC	28 / 161	19.2%	NC	3 / 94	3.4%	NC	4 / 53	10.0%	NC	1 / 7	8.3%	NC	8 / 154	6.0%	13.2%		
NA	4 / 97	(93)	NA	11 / 52	(41)	NA	0 / 12	(12)	NA	15 / 161	(146)	NA	7 / 94	(87)	NA	13 / 53	(40)	NA	0 / 7	(7)	NA	20 / 154	(134)			
SYCRCC	S&W Expert March 20-21, 2008			OSM March 17-18, 2009			MH Experts April 16-17, 2009			SYCRCC Round 1 Cumulative			SYCRCC	S&W Expert June 15-16, 2009			OSM Dec. 10-11, 2009			MH Experts May 13-14, 2010			SYCRCC Round 2 Cumulative			
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change
	SC	23 / 36	82.1%	SC	11 / 46	39.3%	SC	1 / 5	33.3%	SC	35 / 87	59.3%		SC	32 / 46	80.0%	SC	25 / 46	62.5%	SC	0 / 0	0.0%	SC	57 / 92	72.1%	20.7%
	PC	5 / 36	17.9%	PC	10 / 46	35.7%	PC	0 / 5	0.0%	PC	15 / 87	25.4%		PC	4 / 46	12.5%	PC	13 / 46	32.5%	PC	0 / 0	0.0%	PC	17 / 92	21.5%	12.9%
	BC	0 / 36	0.0%	BC	1 / 46	3.6%	BC	2 / 5	66.7%	BC	3 / 87	5.1%		BC	0 / 46	0.0%	BC	0 / 46	0.0%	BC	0 / 0	0.0%	BC	0 / 92	0.0%	5.1%
	NC	0 / 36	0.0%	NC	6 / 46	21.4%	NC	0 / 5	0.0%	NC	6 / 87	10.2%		NC	3 / 46	7.5%	NC	2 / 46	5.0%	NC	0 / 0	0.0%	NC	5 / 92	6.3%	2.7%
	NA	8 / 36	(28)	NA	18 / 46	(28)	NA	2 / 5	(3)	NA	28 / 87	(59)		NA	7 / 46	(39)	NA	6 / 46	(40)	NA	0 / 0	(0)	NA	13 / 92	(79)	
Preston	S&W Expert May 27-28, 2008			OSM February 9-11, 2009			MH Experts July 18-19, 2008			Preston Round 1 Cumulative			Preston	S&W Expert April 28-29, 2009			OSM May 27-28, 2010			MH Experts Nov. 18-19, 2009			Preston Round 2 Cumulative			
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change
	SC	20 / 37	58.8%	SC	11 / 46	39.3%	SC	0 / 5	0.0%	SC	31 / 88	47.0%		SC	28 / 42	67.4%	SC	24 / 36	80.0%	SC	0 / 5	0.0%	SC	52 / 83	67.5%	20.5%
	PC	8 / 37	23.5%	PC	9 / 46	32.1%	PC	0 / 5	0.0%	PC	17 / 88	25.8%		PC	7 / 42	16.3%	PC	6 / 36	20.0%	PC	4 / 5	80.0%	PC	17 / 83	22.1%	3.7%
	BC	4 / 37	11.8%	BC	3 / 46	10.7%	BC	3 / 5	75.0%	BC	10 / 88	15.1%		BC	4 / 42	9.3%	BC	0 / 36	0.0%	BC	1 / 5	20.0%	BC	5 / 83	6.5%	8.6%
	NC	2 / 37	5.9%	NC	5 / 46	17.9%	NC	1 / 5	25.0%	NC	8 / 88	12.1%		NC	3 / 42	7.0%	NC	0 / 36	0.0%	NC	0 / 5	0.0%	NC	3 / 83	3.9%	8.2%
NA	3 / 37	(34)	NA	18 / 46	(28)	NA	1 / 5	(4)	NA	22 / 88	(66)	NA	0 / 42	(42)	NA	6 / 36	(30)	NA	0 / 5	(5)	NA	6 / 83	(77)			

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 1												ROUND 2													
S&W Expert March 3-4 & April 7-8.				OSM January 26-27, 2009			MH Experts October 2-3, 2008			HGS Round 1 Cumulative			S&W Expert N/A				OSM N/A			MH Experts N/A			HGS Round 2 Cumulative		
Heman G. Stark	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Heman G. Stark												
	SC	17 / 37	50.0%	SC	10 / 46	40.0%	SC	0 / 5	0.0%	SC	27 / 88	42.2%	Facility Closed												
	PC	6 / 37	17.6%	PC	9 / 46	36.0%	PC	0 / 5	0.0%	PC	15 / 88	23.4%													
	BC	2 / 37	5.9%	BC	1 / 46	4.0%	BC	5 / 5	100%	BC	8 / 88	12.5%													
	NC	9 / 37	26.5%	NC	5 / 46	20.0%	NC	0 / 5	0.0%	NC	14 / 88	21.9%													
	NA	3 / 37	(34)	NA	21 / 46	(25)	NA	0 / 5	(5)	NA	24 / 88	(64)													
S&W Expert Cumulative Rd 1				OSM Cumulative Rd 1			MH Experts Cumulative Rd 1			Cumulative Rd 1 All S&W Monitors			S&W Expert Cumulative Rd 2				OSM Cumulative Rd 2			MH Experts Cumulative Rd 2			Cumulative Rd 2 All S&W Monitors		
CUMULATIVE	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change
	SC	159 / 316	56.4%	SC	86 / 327	43.6%	SC	5 / 42	13.9%	SC	250 / 685	48.5%	SC	188 / 297	68.9%	SC	151 / 259	68.9%	SC	1 / 18	5.5%	SC	340 / 574	66.7%	18.2%
	PC	51 / 316	18.1%	PC	58 / 327	29.4%	PC	2 / 42	5.5%	PC	111 / 685	21.5%	PC	44 / 297	16.1%	PC	51 / 259	23.3%	PC	11 / 18	61.1%	PC	106 / 574	20.8%	0.7%
	BC	32 / 316	11.3%	BC	18 / 327	9.1%	BC	26 / 42	72.2%	BC	76 / 685	14.8%	BC	22 / 297	8.1%	BC	7 / 259	3.2%	BC	5 / 18	27.8%	BC	34 / 574	6.7%	8.1%
	NC	40 / 316	14.2%	NC	35 / 327	17.8%	NC	3 / 42	8.3%	NC	78 / 685	15.1%	NC	19 / 297	7.0%	NC	10 / 259	4.6%	NC	1 / 18	5.5%	NC	30 / 574	5.9%	9.2%
	NA	34 / 316	(282)	NA	130 / 327	(197)	NA	6 / 42	(36)	NA	170 / 685	(515)	NA	24 / 297	(273)	NA	40 / 259	(219)	NA	0 / 18	(18)	NA	64 / 574	(510)	

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 3										ROUND 4																			
O.H. Close	S&W Expert December 1-2, 2009				OSM N/A		MH Experts N/A		O.H. Close Round 3 Cumulative				O.H. Close	S&W Expert July 18-20, 2011				OSM N/A		MH Experts N/A		O.H. Close Round 4 Cumulative							
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		OSM did not audit this site for this round.		MH Experts did not audit this site for this round.		Rating	# Rated / Total #	Adjusted % (Sans N/A)			% Change	Rating	# Rated / Total #	Adjusted % (Sans N/A)		MH Experts did not audit this site for this round. MH items rated by OACC were incorporated into the OSM ratings. Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.		Rating	# Rated / Total #	Adjusted % (Sans N/A)		% Change				
	SC	29 / 39	82.9%						SC	29 / 39	82.9%			12.6%	SC	28 / 37	84.8%				SC	36 / 42	92.3%		SC	64 / 79	88.9%		6.0%
	PC	4 / 39	11.4%						PC	4 / 39	11.4%			0.8%	PC	4 / 37	12.1%				PC	1 / 42	2.6%		PC	5 / 79	6.9%		4.5%
	BC	2 / 39	5.7%						BC	2 / 39	5.7%			5.1%	BC	0 / 37	0.0%				BC	0 / 46	0.0%		BC	0 / 79	0.0%		5.7%
	NC	0 / 39	0.0%						NC	0 / 39	0.0%			6.8%	NC	1 / 37	3.0%				NC	2 / 42	5.1%		NC	3 / 79	4.2%		4.2%
	NA	4 / 39	(35)						NA	4 / 39	(35)				NA	4 / 37	(33)				NA	3 / 42	(39)		NA	7 / 79	(72)		
N.A. Chaderjian	S&W Expert January 7-8, 2010								OSM N/A		MH Experts N/A		N.A. Chaderjian Round 3 Cumulative				N.A. Chaderjian	S&W Expert August 15-16, 2011				OSM N/A		MH Experts N/A		N.A. Chaderjian Round 4 Cumulative			
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		OSM did not audit this site for this round.		MH Experts did not audit this site for this round.		Rating	# Rated / Total #	Adjusted % (Sans N/A)		% Change	Rating	# Rated / Total #	Adjusted % (Sans N/A)		MH Experts did not audit this site for this round. MH items rated by OACC were incorporated into the OSM ratings. Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.		Rating	# Rated / Total #	Adjusted % (Sans N/A)		% Change					
	SC	25 / 37	71.4%						SC	25 / 37	71.4%		12.2%	SC	27 / 38	81.8%				SC	31 / 41	86.1%		SC	58 / 79	84.1%		12.7%	
	PC	10 / 37	28.6%						PC	10 / 37	28.6%		4.9%	PC	5 / 38	15.1%				PC	5 / 41	13.9%		PC	10 / 79	14.5%		14.1%	
	BC	0 / 37	0.0%						BC	0 / 37	0.0%		7.9%	BC	0 / 38	0.0%				BC	0 / 41	0.0%		BC	0 / 79	0.0%		0.0%	
	NC	0 / 37	0.0%						NC	0 / 37	0.0%		9.2%	NC	1 / 38	3.0%				NC	0 / 41	0.0%		NC	1 / 79	1.4%		1.4%	
	NA	2 / 37	(35)						NA	2 / 37	(35)			NA	5 / 38	(33)				NA	5 / 41	(36)		NA	10 / 79	(69)			
Ventura	S&W Expert May 24-25, 2010								OSM N/A		MH Experts N/A		Ventura Round 3 Cumulative				Ventura			S&W Expert May 18-20, 2011				OSM N/A		MH Experts N/A		Ventura Round 4 Cumulative	
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		OSM did not audit this site for this round.		MH Experts did not audit this site for this round.		Rating	# Rated / Total #	Adjusted % (Sans N/A)		% Change	Rating	# Rated / Total #	Adjusted % (Sans N/A)		MH Experts did not audit this site for this round. MH items rated by OACC were incorporated into the OSM ratings. Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.		Rating	# Rated / Total #	Adjusted % (Sans N/A)		% Change					
	SC	25 / 40	67.6%						SC	25 / 40	67.6%		2.4%	SC	22 / 37	64.7%				SC	27 / 42	69.0%		SC	49 / 79	67.1%		0.5%	
	PC	10 / 40	27.0%						PC	10 / 40	27.0%		2.7%	PC	7 / 37	20.6%				PC	7 / 42	19.0%		PC	14 / 79	19.2%		7.3%	
	BC	2 / 40	5.4%						BC	2 / 40	5.4%		2.5%	BC	0 / 37	0.0%				BC	0 / 42	0.0%		BC	0 / 79	0.0%		5.4%	
	NC	0 / 40	0.0%						NC	0 / 40	0.0%		2.9%	NC	5 / 37	14.7%				NC	5 / 42	11.9%		NC	10 / 79	13.7%		13.2%	
	NA	3 / 40	(37)						NA	3 / 40	(36)			NA	3 / 37	(34)				NA	3 / 42	(39)		NA	6 / 79	(73)			

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 3										ROUND 4																		
S&W Expert September 15-16, 2010					OSM Sept. 15-16, 2010			MH Experts N/A		DJJ HQ Round 3 Cumulative					S&W Expert October 4-5, 2011				OSM N/A			MH Experts N/A		DJJ HQ Round 4 Cumulative				
Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change					
SC	68 / 95	73.9%	SC	44 / 52	91.7%	MH Experts did not audit this site for this round.				SC	112 / 147	80.0%	16.6%	SC	73 / 95	84.9%	SC	38 / 53	84.4%	MH Experts did not audit this site for this round. MH items rated by OACC were incorporated into the OSM ratings. Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.								
PC	19 / 95	20.6%	PC	3 / 52	6.2%	PC	22 / 147	15.7%	5.2%	PC	12 / 95	13.9%	PC	5 / 53	11.1%	PC	17 / 148	13.0%	2.7%	PC	111 / 148	84.7%	4.7%					
BC	4 / 95	4.3%	BC	0 / 52	0.0%	BC	4 / 147	2.9%	6.8%	BC	0 / 95	0.0%	BC	0 / 53	0.0%	BC	0 / 148	0.0%	2.9%	BC	3 / 148	2.3%	0.9%					
NC	1 / 95	1.1%	NC	1 / 52	2.1%	NC	2 / 147	1.4%	4.6%	NC	1 / 95	1.2%	NC	2 / 53	4.4%	NC	3 / 148	2.3%	0.9%	NC	17 / 148	(131)						
NA	3 / 95	(92)	NA	4 / 52	(48)	NA	7 / 147	(140)		NA	9 / 95	(86)	NA	8 / 53	(45)	NA	17 / 148	(131)		NA								
S&W Expert April 21-22, 2010					OSM N/A			MH Experts N/A		SYCRCC Round 3 Cumulative					S&W Expert N/A				OSM N/A			MH Experts N/A		SYCRCC Round 4 Cumulative				
SC	21 / 39	72.4%	OSM did not audit this site for this round.				MH Experts did not audit this site for this round.				SC	21 / 39	72.4%	0.3%	Facility Closed													
PC	7 / 39	24.1%	PC	7 / 39	24.1%	2.6%																						
BC	1 / 39	3.4%	BC	1 / 39	3.4%	3.4%																						
NC	0 / 39	0.0%	NC	0 / 39	0.0%	6.3%																						
NA	10 / 39	(29)	NA	10 / 39	(29)																							
S&W Expert June 7-8, 2010					OSM N/A			MH Experts N/A		Preston Round 3 Cumulative					S&W Expert N/A				OSM N/A			MH Experts N/A		Preston Round 4 Cumulative				
SC	26 / 39	72.2%	OSM did not audit this site for this round.				MH Experts did not audit this site for this round.				SC	26 / 39	72.2%	4.7%	Facility Closed													
PC	10 / 39	27.8%	PC	10 / 39	27.8%	5.7%																						
BC	0 / 39	0.0%	BC	0 / 39	0.0%	6.5%																						
NC	0 / 39	0.0%	NC	0 / 39	0.0%	3.9%																						
NA	3 / 39	(36)	NA	3 / 39	(36)																							

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 3										ROUND 4																													
S&W Expert N/A		OSM N/A			MH Experts N/A			HGS Round 3 Cumulative		S&W Expert N/A		OSM N/A			MH Experts N/A			HGS Round 4 Cumulative																					
Facility Closed										Facility Closed																													
S&W Expert Cumulative Rd 3										OSM Cumulative Rd 3			MH Experts Cumulative Rd 3			Cumulative Rd 3 All S&W Monitors				S&W Expert Cumulative Rd 4										OSM Cumulative Rd 4			MH Experts Cumulative Rd 4			Cumulative Rd 4 All S&W Monitors			
CUMULATIVE	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	MH Experts did not audit any S&W items for this round.	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change	CUMULATIVE	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	MH Experts did not audit any S&W items for this round.	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change																
	SC	194 / 289	73.5%	SC	44 / 52	91.7%		SC	238 / 341	76.3%	9.6%		SC	150 / 207	80.6%	SC	132 / 178	83.0%		SC	282 / 385	81.7%	5.4%																
	PC	60 / 289	22.7%	PC	3 / 52	6.2%		PC	63 / 341	20.2%	0.6%		PC	28 / 207	15.0%	PC	18 / 178	11.3%		PC	46 / 385	13.3%	6.9%																
	BC	9 / 289	3.4%	BC	0 / 52	0.0%		BC	9 / 341	2.9%	3.8%		BC	0 / 207	0.0%	BC	0 / 178	0.0%		BC	0 / 385	0.0%	2.9%																
	NC	1 / 289	0.4%	NC	1 / 52	2.1%		NC	2 / 341	0.6%	5.3%		NC	8 / 207	4.3%	NC	9 / 178	5.7%		NC	17 / 385	4.9%	4.3%																
	NA	25 / 289	(264)	NA	4 / 52	(48)		NA	29 / 341	(312)			NA	21 / 207	(186)	NA	19 / 178	(159)		NA	40 / 385	(345)																	

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 5													ROUND 6													
S&W Expert July 23-24, 2012				OSM July 23-24, 2012				MH Experts TBD	O.H. Close Round 5 Cumulative				S&W Expert TBD				OSM TBD				MH Experts TBD	O.H. Close Round 6 Cumulative				
Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change						
O.H. Close	SC	27 / 39	90.0%	SC	36 / 41	92.3%		MH Experts did not audit this site for this round.	SC	63 / 80	91.3%	2.4%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	PC	3 / 39	10.0%	PC	3 / 41	7.7%		Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.	PC	6 / 80	8.7%	1.8%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	BC	0 / 39	0.0%	BC	0 / 41	0.0%			BC	0 / 80	0.0%	0.0%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	NC	0 / 39	0.0%	NC	0 / 41	0.0%			NC	0 / 80	0.0%	4.2%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	NA	9 / 39	(30)	NA	2 / 41	(39)			NA	11 / 80	(69)			Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
N.A. Chaderjian	SC	29 / 37	87.9%	SC	32 / 41	86.5%		MH Experts did not audit this site for this round.	SC	61 / 78	87.1%	3.0%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	PC	4 / 37	12.1%	PC	5 / 41	13.5%		Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.	PC	9 / 78	12.9%	1.6%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	BC	0 / 37	0.0%	BC	0 / 41	0.0%			BC	0 / 78	0.0%	0.0%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	NC	0 / 37	0.0%	NC	0 / 41	0.0%			NC	0 / 78	0.0%	1.4%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	NA	4 / 37	(33)	NA	4 / 41	(37)			NA	8 / 78	(70)			Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
Ventura	SC	24 / 37	72.7%	SC	34 / 41	91.9%		MH Experts did not audit this site for this round.	SC	58 / 78	82.9%	15.8%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	PC	9 / 37	27.3%	PC	3 / 41	8.1%		Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.	PC	12 / 78	17.1%	2.1%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	BC	0 / 37	0.0%	BC	0 / 41	0.0%			BC	0 / 78	0.0%	0.0%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	NC	0 / 37	0.0%	NC	0 / 41	0.0%			NC	0 / 78	0.0%	13.7%		Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			
	NA	4 / 37	(33)	NA	4 / 41	(37)			NA	8 / 78	(70)			Audit scheduled for: TBD				Audit scheduled for: TBD				Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013			

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".

Safety & Welfare Compliance – Facility Breakdown

As of April 1, 2013

ROUND 5										ROUND 6																													
S&W Expert August 7-9, 2012					OSM August 7-9, 2012					MH Experts TBD					DJJ HQ Round 5 Cumulative					S&W Expert TBD					OSM TBD					MH Experts TBD					DJJ HQ Round 6 Cumulative				
DJJ Headquarters	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	MH Experts did not audit this site for this round. Dual rated items were incorporated only into the S&W Expert ratings if the Expert and OSM ratings were the same.	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change	DJJ Headquarters	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change	Audit scheduled for: TBD	Audit scheduled for: TBD	Audit scheduled for: TBD	Round 6 Audits Expected to Take Place in 2013													
	SC	82 / 100	91.1%	SC	42 / 48	93.3%		SC	124 / 148	91.8%	7.1%		SC	124 / 148	91.8%	7.1%																							
	PC	7 / 100	7.8%	PC	2 / 48	4.4%		PC	9 / 148	6.7%	6.3%		PC	9 / 148	6.7%	6.3%																							
	BC	0 / 100	0.0%	BC	0 / 48	0.0%		BC	0 / 148	0.0%	0.0%		BC	0 / 148	0.0%	0.0%																							
	NC	1 / 100	1.1%	NC	1 / 48	2.2%		NC	2 / 148	1.5%	0.8%		NC	2 / 148	1.5%	0.8%																							
	NA	10 / 100	90	NA	3 / 48	45		NA	13 / 148	135			NA	13 / 148	135																								
S&W Expert N/A					OSM N/A					MH Experts N/A					SYCRCC Round 5 Cumulative					S&W Expert N/A					OSM N/A					MH Experts N/A					SYCRCC Round 6 Cumulative				
Facility Closed																																							
S&W Expert N/A					OSM N/A					MH Experts N/A					Preston Round 5 Cumulative					S&W Expert N/A					OSM N/A					MH Experts N/A					Preston Round 6 Cumulative				
Facility Closed																																							

Adjusted % - "NA" (Not Applicable) rated items are excluded from compliance calculation.
Numbers in the gray shaded area are the denominators used to calculate the "Adjusted %".



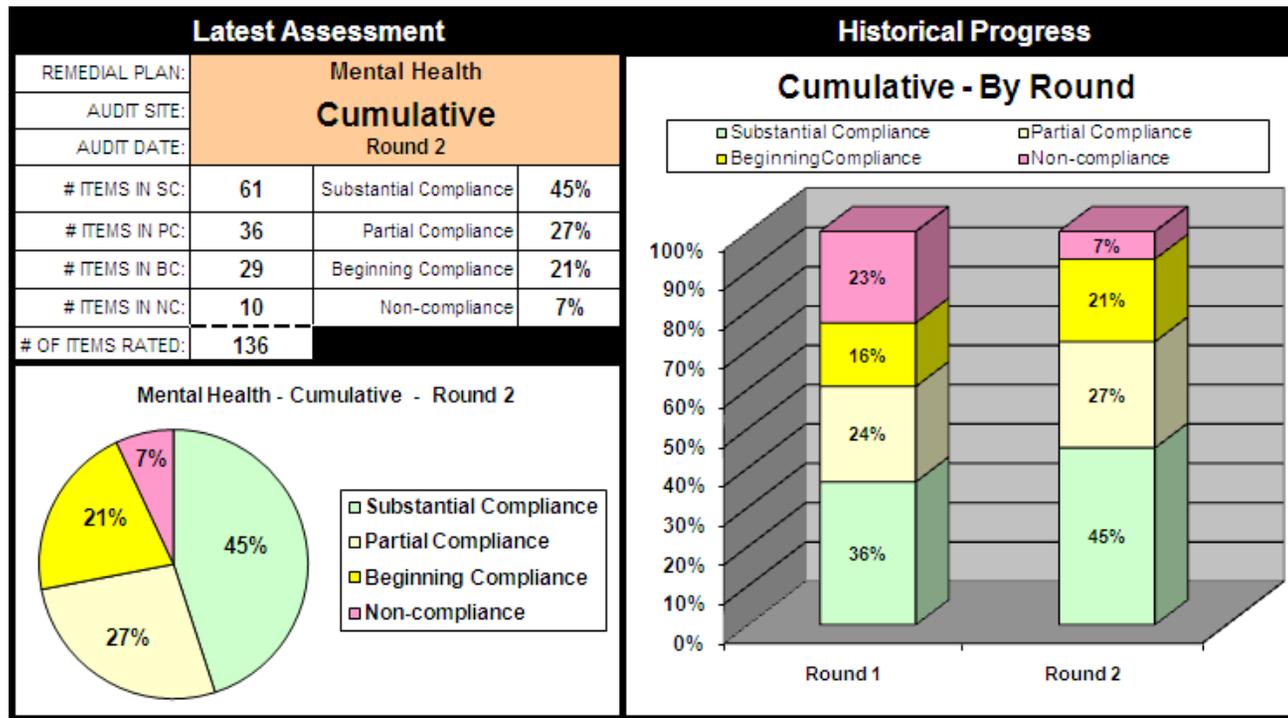
7. Mental Health

Mental Health – Cumulative Compliance Trends

As of April 1, 2013

The pie chart (bottom, left) identifies the current cumulative compliance data for the Mental Health Remedial Plan. These percentages are derived using the compliance data from each Mental Health monitor for Round 2 audits. The bar graph chart (below, right) demonstrates the historical cumulative compliance progress made to date, through the first two rounds of audits. Currently, the cumulative *average increase* in substantial compliance from one round to the next is 8.9%.

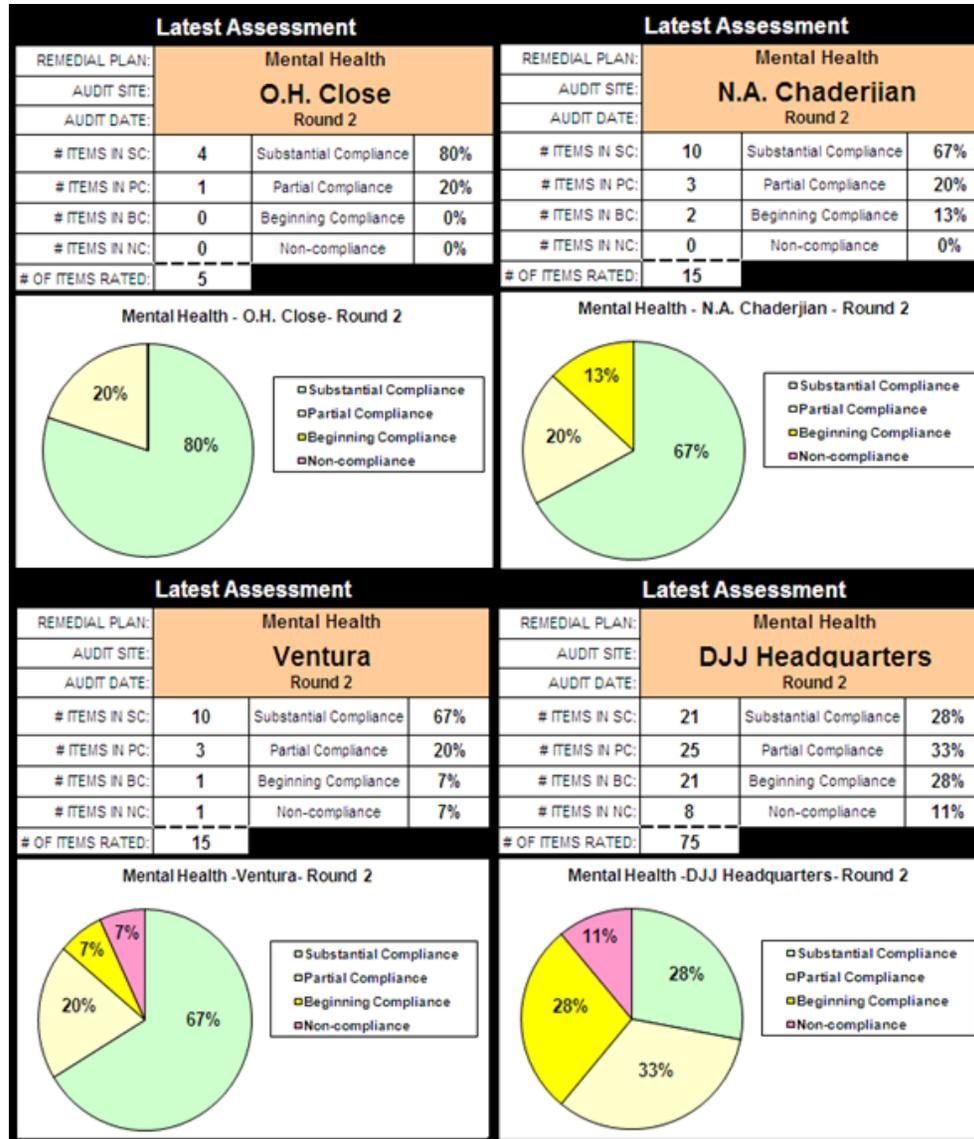
It should be noted that the last Mental Health Expert audit occurred in May 2010. Since then, a new set of Mental Health Experts have been assigned to monitor this plan and are working toward revising the existing Mental Health audit tool. Once this has been accomplished, the Mental Health Experts will then begin to conduct their annual auditing cycles.



Source Documents for Compliance Data – For Round 1: Compliance data obtained from the Mental Health Experts’ 2008/2009 Site Visit Summary and the Office of the Special Master’s revised audit reports for the six audited sites. For Round 2: Compliance data obtained from the Mental Health Experts’ five draft audit reports for their second round of audits and the Office of the Special Master’s six draft reports for its second round of audits.

Mental Health – Current Compliance by Audit Site

As of April 1, 2013



Mental Health – Historical Compliance by Audit Site

As of April 1, 2013



Mental Health Compliance – Facility Breakdown

As of April 1, 2013

ROUND 1												ROUND 2																	
O.H. Close	MH Experts October 16, 2008				OSM Oct. 16 & 21, 2008				"Other" N/A		O.H. Close Round 1 Cumulative				O.H. Close	MH Experts N/A				OSM June 2-3, 2010				"Other" N/A		O.H. Close Round 2 Cumulative			
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #		Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change				
	SC	1 / 7	33.3%		SC	3 / 6	75.0%		SC	4 / 13	57.1%		SC	4 / 6		80.0%		SC	4 / 6	80.0%		SC	4 / 6	80.0%	22.9%				
PC	0 / 7	0.0%		PC	1 / 6	25.0%		PC	1 / 13	14.3%		PC	1 / 6	20.0%		PC	1 / 6	20.0%		PC	1 / 6	20.0%	5.7%						
BC	1 / 7	33.3%		BC	0 / 6	0.0%		BC	1 / 13	14.3%		BC	0 / 6	0.0%		BC	0 / 6	0.0%		BC	0 / 6	0.0%	14.3%						
NC	1 / 7	33.3%		NC	0 / 6	0.0%		NC	1 / 13	14.3%		NC	0 / 6	0.0%		NC	0 / 6	0.0%		NC	0 / 6	0.0%	14.3%						
N/A	4 / 7	(3)		N/A	2 / 6	(4)		N/A	6 / 13	(7)		N/A	1 / 6	(5)		N/A	1 / 6	(5)		N/A	1 / 6	(5)							
No "Other" monitor audited the Mental Health Plan during this round of audits												The Mental Health Expert did not audit this site for this round of audits																	
N.A. Chaderjian	MH Experts October 17, 2008				OSM Oct. 17 & 22, 2008				"Other" N/A		NAC Round 1 Cumulative				N.A. Chaderjian	MH Experts March 18-19, 2010				OSM March 2-3, 2010				"Other" N/A		N.A. Chaderjian Round 2 Cumulative			
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #		Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change				
	SC	4 / 13	40.0%		SC	5 / 8	83.3%		SC	9 / 21	56.2%		SC	5 / 10		62.5%		SC	5 / 9	71.4%		SC	10 / 19	66.7%	10.5%				
	PC	4 / 13	40.0%		PC	1 / 8	16.7%		PC	5 / 21	31.2%		PC	1 / 10		12.5%		PC	2 / 9	28.6%		PC	3 / 19	20.0%	11.2%				
	BC	2 / 13	20.0%		BC	0 / 8	0.0%		BC	2 / 21	12.5%		BC	2 / 10		25.0%		BC	0 / 9	0.0%		BC	2 / 19	13.3%	0.8%				
	NC	0 / 13	0.0%		NC	0 / 8	0.0%		NC	0 / 21	0.0%		NC	0 / 10		0.0%		NC	0 / 9	0.0%		NC	0 / 19	0.0%	0.0%				
N/A	3 / 13	(10)		N/A	2 / 8	(6)		N/A	5 / 21	(16)		N/A	2 / 10	(8)		N/A	2 / 9	(7)		N/A	4 / 19	(15)							
No "Other" monitor audited the Mental Health Plan during this round of audits												No "Other" monitor audited the Mental Health Plan during this round of audits																	
Ventura	MH Experts December 1-3, 2008				OSM Dec. 1-3 & 17-18, 2008				"Other" N/A		Ventura Round 1 Cumulative				Ventura	MH Experts January 13-14, 2010				OSM Nov. 16-17, 2009				"Other" N/A		Ventura Round 2 Cumulative			
	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #		Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change				
	SC	2 / 12	25.0%		SC	2 / 6	50.0%		SC	4 / 18	33.3%		SC	6 / 12		60.0%		SC	4 / 8	80.0%		SC	10 / 20	66.7%	33.4%				
	PC	6 / 12	75.0%		PC	2 / 6	50.0%		PC	8 / 18	66.7%		PC	2 / 12		20.0%		PC	1 / 8	20.0%		PC	3 / 20	20.0%	46.7%				
	BC	0 / 12	0.0%		BC	0 / 6	0.0%		BC	0 / 18	0.0%		BC	1 / 12		10.0%		BC	0 / 8	0.0%		BC	1 / 20	6.7%	6.7%				
	NC	0 / 12	0.0%		NC	0 / 6	0.0%		NC	0 / 18	0.0%		NC	1 / 12		10.0%		NC	0 / 8	0.0%		NC	1 / 20	6.7%	6.7%				
N/A	4 / 12	(8)		N/A	2 / 6	(4)		N/A	6 / 18	(12)		N/A	2 / 12	(10)		N/A	3 / 8	(5)		N/A	5 / 20	(15)							
No "Other" monitor audited the Mental Health Plan during this round of audits												No "Other" monitor audited the Mental Health Plan during this round of audits																	

Adjusted % - "NA/DEF" rated items are excluded from compliance calculation.
 Numbers in gray shaded area are the denominators used to calculate the "Adjusted %".

Mental Health Compliance – Facility Breakdown

As of April 1, 2013

ROUND 1												ROUND 2											
SYCRCC	MH Experts April 16-17, 2009			OSM December 9-10, 2008			"Other" N/A	SYCRCC Round 1 Cumulative			SYCRCC	MH Experts May 13-14, 2010			OSM April 26-27, 2010			"Other" N/A	SYCRCC Round 2 Cumulative				
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating		# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change			
	SC	8 / 12	88.9%	SC	2 / 6	50.0%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	10 / 18	76.9%		SC	8 / 13	72.7%	SC	5 / 10	71.4%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	13 / 23	72.2%	4.7%	
PC	0 / 12	0.0%	PC	2 / 6	50.0%	PC		2 / 18	15.4%	PC	1 / 13	9.1%	PC	2 / 10	28.6%	PC	3 / 23		16.7%	1.3%			
BC	0 / 12	0.0%	BC	0 / 6	0.0%	BC		0 / 18	0.0%	BC	2 / 13	18.2%	BC	0 / 10	0.0%	BC	2 / 23		11.1%	11.1%			
NC	1 / 12	11.1%	NC	0 / 6	0.0%	NC		1 / 18	7.7%	NC	0 / 13	0.0%	NC	0 / 10	0.0%	NC	0 / 23		0.0%	7.7%			
N/A	3 / 12	(9)	N/A	2 / 6	(4)	N/A		5 / 18	(13)	N/A	2 / 13	(11)	N/A	3 / 10	(7)	N/A	5 / 23		(18)				
Preston	MH Experts July 18-19, 2008			OSM May 28, 2009			"Other" N/A	Preston Round 1 Cumulative			Preston	MH Experts November 18-19, 2009			OSM October 26-27, 2009			"Other" N/A	Preston Round 2 Cumulative				
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating		# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change			
	SC	1 / 9	50.0%	SC	0 / 2	0.0%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	1 / 11	25.0%		SC	2 / 6	33.3%	SC	1 / 4	50.0%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	3 / 10	37.5%	12.5%	
	PC	0 / 9	0.0%	PC	2 / 2	100%		PC	2 / 11	50.0%		PC	1 / 6	16.7%	PC	0 / 4	0.0%		PC	1 / 10	12.5%	37.5%	
	BC	0 / 9	0.0%	BC	0 / 2	0.0%		BC	0 / 11	0.0%		BC	3 / 6	50.0%	BC	0 / 4	0.0%		BC	3 / 10	37.5%	37.5%	
	NC	1 / 9	50.0%	NC	0 / 2	0.0%		NC	1 / 11	25.0%		NC	0 / 6	0.0%	NC	1 / 4	50.0%		NC	1 / 10	12.5%	12.5%	
N/A	7 / 9	(2)	N/A	0 / 2	(2)	N/A		7 / 11	(4)	N/A	0 / 6	(6)	N/A	2 / 4	(2)	N/A	2 / 10		(8)				
Heman G. Stark	MH Experts October 2-3, 2008			OSM April 29-30, 2009			"Other" N/A	HGS Round 1 Cumulative			Heman G. Stark	MH Experts N/A			OSM N/A			"Other" N/A	HGS Round 2 N/A				
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating		# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change			
	SC	4 / 9	80.0%	SC	5 / 8	71.4%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	9 / 17	75.0%		Facility Closed											
	PC	0 / 9	0.0%	PC	2 / 8	28.6%		PC	2 / 17	16.7%													
	BC	1 / 9	20.0%	BC	0 / 8	0.0%		BC	1 / 17	8.3%													
	NC	0 / 9	0.0%	NC	0 / 8	0.0%		NC	0 / 17	0.0%													
N/A	4 / 9	(5)	N/A	1 / 8	(7)	N/A		5 / 17	(12)														

Adjusted % - "NA/DEF" rated items are excluded from compliance calculation.
 Numbers in gray shaded area are the denominators used to calculate the "Adjusted %".

Mental Health Compliance – Facility Breakdown

As of April 1, 2013

ROUND 1												ROUND 2											
DJJ Headquarters	MH Experts January 8-9, 2009			OSM February 18, 2009			"Other" N/A	DJJ HQ Round 1 Cumulative			DJJ Headquarters	MH Expert April 15-16, 2010			OSM March 29, 2010			"Other" N/A	DJJ HQ Round 2 Cumulative				
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change	
	SC	6 / 76	11.8%	SC	3 / 16	23.1%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	9 / 92	14.1%		SC	17 / 80	27.0%	SC	4 / 16	33.3%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	21 / 96	28.0%	13.9%	
	PC	9 / 76	17.6%	PC	2 / 16	15.4%		PC	11 / 92	17.2%		PC	23 / 80	36.5%	PC	2 / 16	16.7%		PC	25 / 96	33.3%	16.1%	
	BC	13 / 76	25.5%	BC	4 / 16	30.8%		BC	17 / 92	26.6%		BC	16 / 80	25.4%	BC	5 / 16	41.7%		BC	21 / 96	28.0%	1.4%	
	NC	23 / 76	45.1%	NC	4 / 16	30.8%		NC	27 / 92	42.2%		NC	7 / 80	11.1%	NC	1 / 16	8.3%		NC	8 / 96	10.7%	31.5%	
N/A	25 / 76	(51)	N/A	3 / 16	(13)	N/A		28 / 92	(64)	N/A	17 / 80	(63)	N/A	4 / 16	(12)	N/A	21 / 96		(75)				
CUMULATIVE	MH Experts Cumulative Rd 1			OSM Cumulative Rd 1			"Other" Cumulative Rd 1	Cumulative Rd 1 Total of all MH Monitors			CUMULATIVE	MH Experts Cumulative Rd 2			OSM Cumulative Rd 2			"Other" Cumulative Rd 2	Cumulative Rd 2 Total of all MH Monitors				
	Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	Rating	# Rated / Total #	Adjusted % (Sans N/A)		Rating	# Rated / Total #	Adjusted % (Sans N/A)	% Change	
	SC	26 / 138	29.5%	SC	20 / 52	50.0%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	46 / 190	35.9%		SC	38 / 121	38.8%	SC	23 / 53	60.5%	No "Other" monitor audited the Mental Health Plan during this round of audits	SC	61 / 174	44.8%	8.9%	
	PC	19 / 138	21.6%	PC	12 / 52	30.0%		PC	31 / 190	24.2%		PC	28 / 121	28.6%	PC	8 / 53	21.0%		PC	36 / 174	26.5%	2.3%	
	BC	17 / 138	19.3%	BC	4 / 52	10.0%		BC	21 / 190	16.4%		BC	24 / 121	24.5%	BC	5 / 53	13.2%		BC	29 / 174	21.3%	4.9%	
	NC	26 / 138	29.5%	NC	4 / 52	10.0%		NC	30 / 190	23.4%		NC	8 / 121	8.2%	NC	2 / 53	5.3%		NC	10 / 174	7.3%	16.1%	
N/A	50 / 138	(88)	N/A	12 / 52	(40)	N/A		62 / 190	(128)	N/A	23 / 121	(98)	N/A	15 / 53	(38)	N/A	38 / 174		(136)				

Adjusted % - "NA/DEF" rated items are excluded from compliance calculation.
Numbers in gray shaded area are the denominators used to calculate the "Adjusted %".

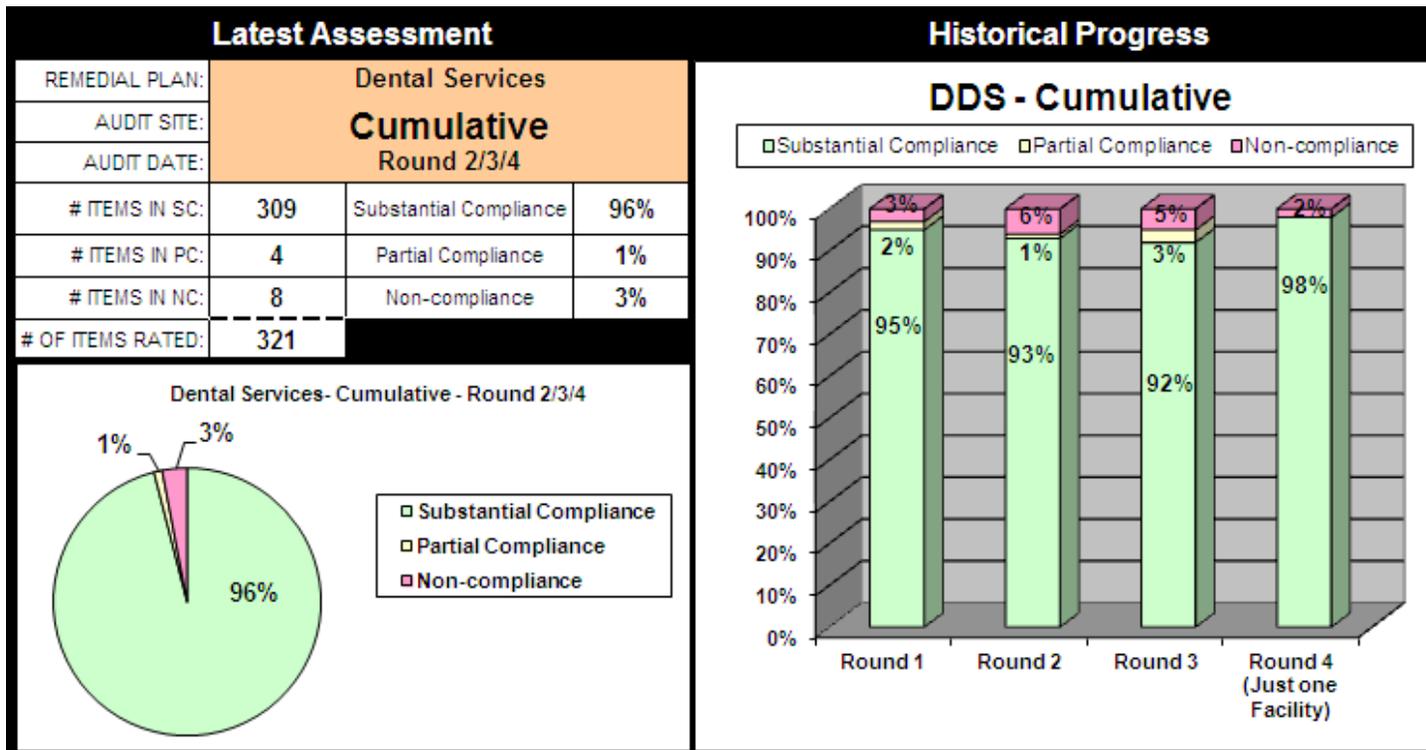


8. Dental Services

Dental Services – Cumulative Compliance Results

On October 18, 2012, the County of Alameda Superior Court dismissed the Dental Care section that relates solely to the Health Care Remedial Plan in the *Farrell vs. Beard* lawsuit.

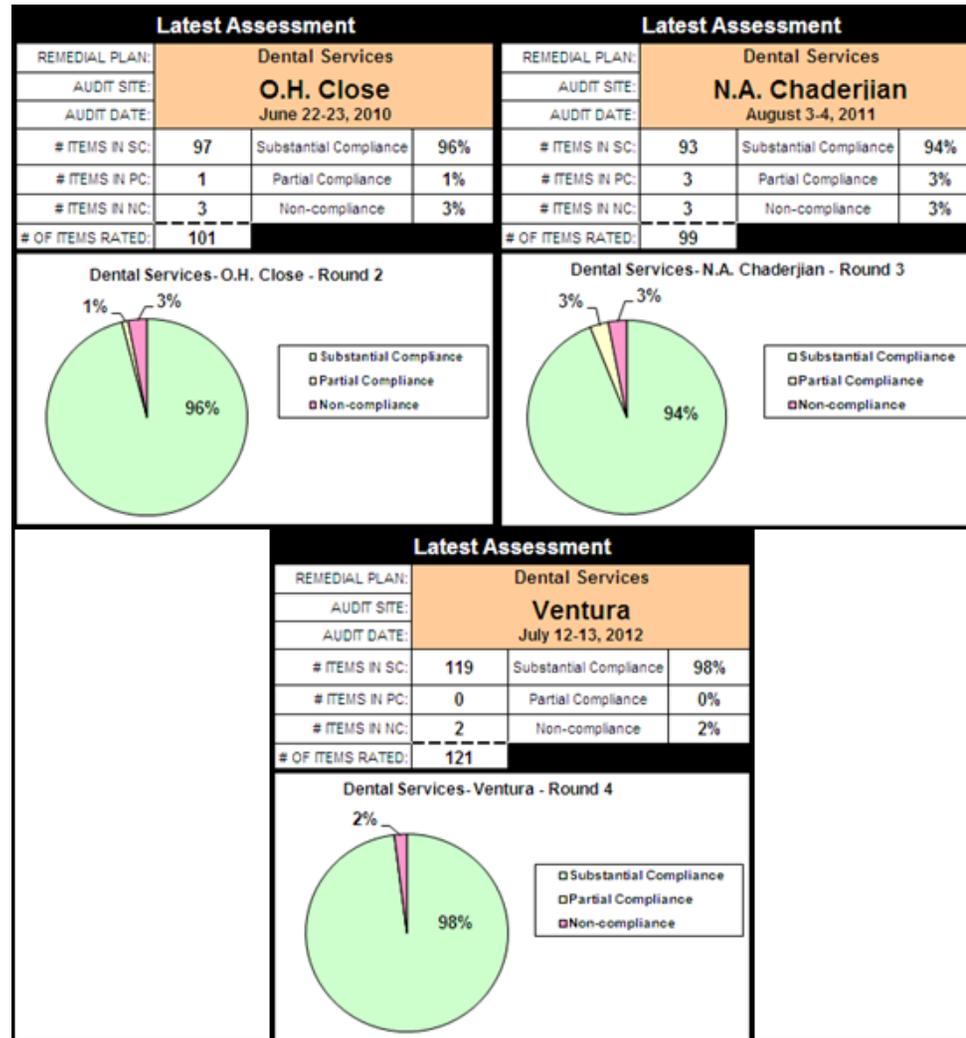
The pie chart (bottom, left) identifies the most recent cumulative compliance data provided by the Dental Services Expert for the three remaining sites that are required to be audited under the Health Care Services Remedial Plan. The pie chart compliance percentages are currently derived from a combination of the Expert’s second, third, and fourth round audits. The bar graph chart (below, right) demonstrates the historical cumulative compliance progress made to date, through the first four rounds of audits. It should be noted that the fourth round of audits only included one audited facility. Currently, the cumulative *average increase* in substantial compliance from one round to the next is 1.7%



Source Documents for Compliance Data – For Rounds 1-4: Compliance data obtained from the Dental Services Expert’s draft and final facility audit reports.

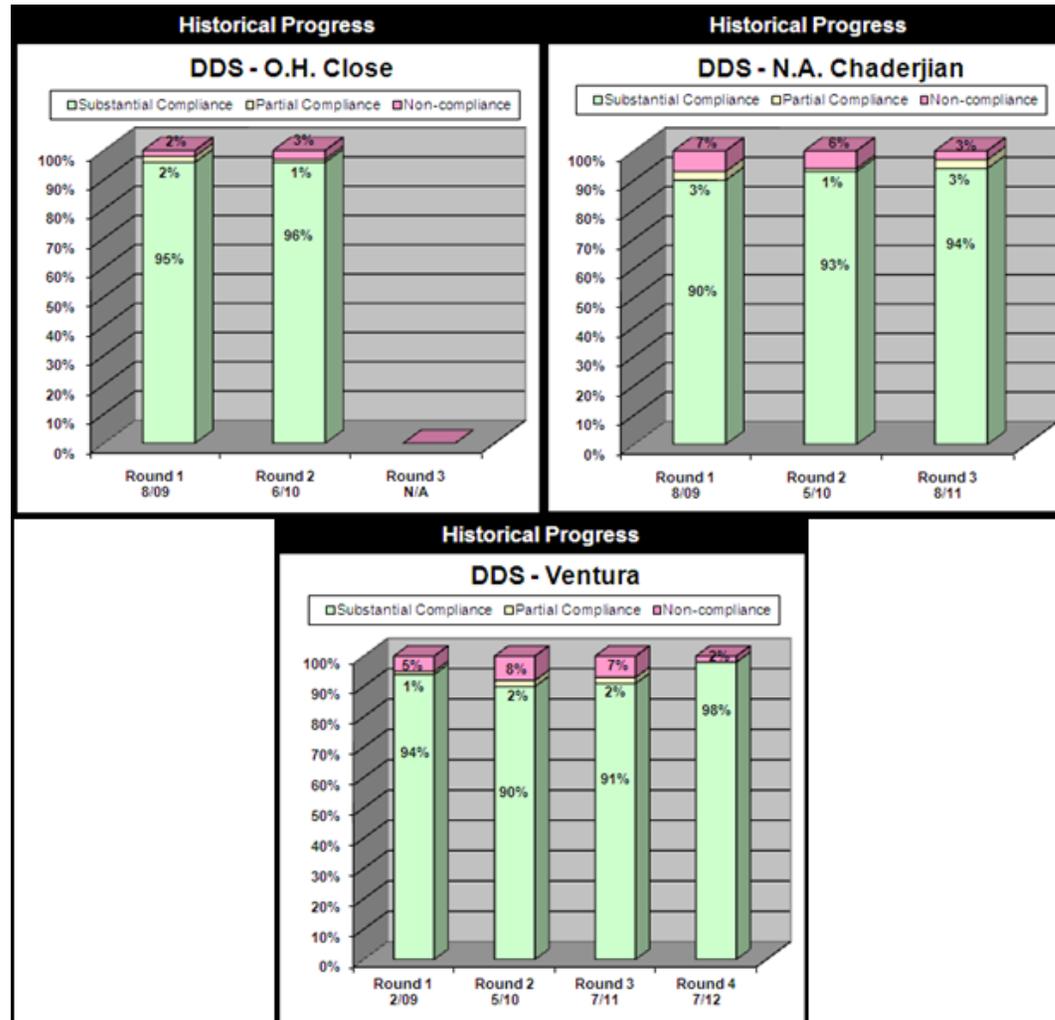
Dental Services – Current Compliance by Audit Site

On October 18, 2012, the County of Alameda Superior Court dismissed the Dental Care section that relates solely to the Health Care Remedial Plan in the *Farrell vs. Beard* lawsuit.



Dental Services – Historical Compliance by Audit Site

On October 18, 2012, the County of Alameda Superior Court dismissed the Dental Care section that relates solely to the Health Care Remedial Plan in the *Farrell vs. Beard* lawsuit.



Dental Services Compliance – Facility Breakdown

On October 18, 2012, the County of Alameda Superior Court dismissed the Dental Care section that relates solely to the Health Care Remedial Plan in the *Farrell vs. Beard* lawsuit.

	ROUND 1 (From Experts' Facility Reports) August 24-25, 2009			ROUND 2 (From Experts' Facility Reports) June 22-23, 2010				ROUND 3 (From Experts' Facility Reports) N/A				ROUND 4 (From Experts' Facility Report) July 17, 2012				ROUND 5 TBD
O.H. Close	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Per an email from the Special Master dated October 12, 2011, the Dental Expert will not audit OHC for this round because OHC had two rounds of SC. The Dental Expert will conduct a spot check of OHC during the next round.				A "spot check" was conducted by the Dental Expert on July 17, 2012 and the Expert found OHC to continue to be in Substantial Compliance and recommended that monitoring of the O.H. Close dental program be passed to DJJ.				If needed, Round 5 audits are expected to take place in fiscal year 2012/2013.
	SC	114 / 138	95.8%	SC	97 / 126	96.0%	0.2%									
	PC	3 / 138	2.5%	PC	1 / 126	1.0%	1.5%									
	NC	2 / 138	1.7%	NC	3 / 126	3.0%	1.3%									
	NA/NE	19 / 138	(119)	NA/NE	25 / 126	(101)										
N.A. Chaderjian	August 26-27, 2009			May 10-11, 2010				August 3-4, 2011				July 17, 2012				TBD
	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	If needed, Round 5 audits are expected to take place in fiscal year 2012/2013.
	SC	101 / 140	90.2%	SC	91 / 122	92.9%	2.7%	SC	93 / 118	93.9%	1.0%	SC	93 / 118	93.9%	1.0%	
	PC	3 / 140	2.7%	PC	1 / 122	1.0%	1.7%	PC	3 / 118	3.0%	2.0%	PC	3 / 118	3.0%	2.0%	
	NC	8 / 140	7.1%	NC	6 / 122	6.1%	1.9%	NC	3 / 118	3.0%	3.1%	NC	3 / 118	3.0%	3.1%	
NA/NE	28 / 140	(112)	NA/NE	24 / 122	(98)		NA/NE	19 / 118	(99)		NA/NE	19 / 118	(99)			
Ventura	February 18-19, 2009			May 5, 2010				July 11-12, 2011				July 12-13, 2012				TBD
	Rating	# Rated / Total #	Adjusted % (-NA/NE)	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)	% Change	If needed, Round 5 audits are expected to take place in fiscal year 2012/2013.
	SC	114 / 131	94.2%	SC	98 / 133	89.9%	4.3%	SC	107/133	90.7%	0.8%	SC	119/135	98.3%	7.6%	
	PC	1 / 131	0.8%	PC	2 / 133	1.8%	1.0%	PC	3 / 133	2.5%	0.7%	PC	0 / 135	0.0%	2.5%	
	NC	6 / 131	5.0%	NC	9 / 133	8.3%	3.3%	NC	8 / 133	6.8%	1.5%	NC	2 / 135	1.6%	5.2%	
NA/NE	10 / 131	(121)	NA/NE	24 / 133	(109)		NA/NE	15 / 133	(118)		NA/NE	14 / 135	(121)			
SYCRCC	February 24-25, 2009			N/A				N/A				N/A				N/A
	Rating	# Rated / Total #	Adjusted % (-NA/NE)	No audit was conducted for this round. However, the Dental Expert did conduct a "staff assistance visit" on May 12-13, 2010.				Due to SYCRCC's impending closure, the Dental Expert did not conduct a full audit for SYCRCC for this round but did conduct a spot check of SYCRCC's dental services on July 13-15, 2011.				Facility Closed				Facility Closed
	SC	104 / 122	96.3%													
	PC	3 / 122	2.8%													
	NC	1 / 122	0.9%													
NA/NE	14 / 122	(108)														

Adjusted % - "NA" (Not Applicable) and "NE" (Not Evaluated) rated items are excluded from compliance calculation.

Dental Services Compliance – Facility Breakdown

On October 18, 2012, the County of Alameda Superior Court dismissed the Dental Care section that relates solely to the Health Care Remedial Plan in the *Farrell vs. Beard* lawsuit.

ROUND 1 (From Experts' Facility Reports) August 26-28, 2008			ROUND 2 (From Experts' Facility Reports) N/A			ROUND 3 N/A			ROUND 4 N/A			ROUND 5 N/A						
Preston	Rating	# Rated / Total #	Adjusted % (-NA/NE)			No audit was conducted for this round. However, the Dental Expert did conduct a "staff assistance visit" on June 24, 2010.			Facility Closed			Facility Closed			Facility Closed			
	SC	110 / 134	95.6%															
	PC	2 / 134	1.7%															
	NC	3 / 134	2.6%															
	NA/NE	19 / 134	(115)															
February 26-27, 2009			N/A			N/A			N/A			N/A						
Heman G. Stark	Rating	# Rated / Total #	Adjusted % (-NA/NE)			Facility Closed			Facility Closed			Facility Closed			Facility Closed			
	SC	112 / 134	95.7%															
	PC	3 / 134	2.6%															
	NC	2 / 134	1.7%															
	NA/NE	17 / 134	(117)															
ROUND 1			ROUND 2			ROUND 3			ROUND 4			ROUND 5						
Rating	# Rated / Total #	Adjusted % (-NA/NE)			Rating	# Rated / Total #	Adjusted % (-NA/NE)			% Change	Rating	# Rated / Total #	Adjusted % (-NA/NE)			% Change	If needed, Round 5 audits are expected to take place in fiscal year 2012/2013.	
SC	655 / 799	94.6%			SC	286 / 381	92.9%			1.7%	SC	200 / 251	92.2%			0.7%		
PC	15 / 799	2.2%			PC	4 / 381	1.3%			0.9%	PC	6 / 251	2.8%			1.5%		
NC	22 / 799	3.2%			NC	18 / 381	5.8%			2.6%	NC	11 / 251	5.1%			0.7%		
NA/NE	107 / 799	(692)			NA/NE	73 / 381	(308)				NA/NE	34 / 251	(217)					
NA/NE			NA/NE			NA/NE			NA/NE			NA/NE			NA/NE			

Adjusted % - "NA" (Not Applicable) and "NE" (Not Evaluated) rated items are excluded from compliance calculation.

**CALIFORNIA DEPARTMENT OF CORRECTIONS & REHABILITATION
DIVISION OF JUVENILE JUSTICE
QUALITY ASSURANCE SECTION
1600 K STREET, 3RD FLOOR
SACRAMENTO, CALIFORNIA 95814
T: (916)322-5588 · F: (916) 322-5686**