

CALIFORNIA DEPARTMENT OF CORRECTIONS & REHABILITATION  
DIVISION OF JUVENILE JUSTICE



FARRELL V. BEARD

# QUARTERLY COMPLIANCE REPORT

AS OF OCTOBER 1, 2013

## Farrell Compliance Summary

As of: 10/14/2013

<b>Farrell Cumulative Compliance</b>	SC%		
	<b>89%</b>		
<b><i>Cumulative Compliance by Audit Site:</i></b>	SC%		
O.H. Close Youth Correctional Facility (OHCYCF)	<b>91%</b>		
N.A. Chaderjian Youth Correctional Facility (NACYCF)	<b>90%</b>		
Ventura Youth Correctional Facility (VYCF)	<b>89%</b>		
DJJ Headquarters (HQ)	<b>78%</b>		
<b><i>Cumulative Compliance by Audited Area:</i></b>	SC%	Audit Rd.	Audit Rd. Status
Integrated Behavior Treatment Model (IBTM)	<b>34%</b>	<b>1</b>	In Progress
Mental Health (MH)	<b>45%</b>	<b>2</b>	Complete
Safety & Welfare (S&W)	<b>98%</b>	<b>6</b>	Complete
Sexual Behavior Treatment Program (SBTP)	<b>100%</b>	<b>6</b>	Complete
Wards with Disabilities Program (WDP)	<b>85%</b>	<b>7</b>	Complete
Education Services (Ed.)	<b>99%</b>	<b>8</b>	Complete
Health Care Services (HCS)	<b>89%</b>	<b>4</b>	Complete
Dental Services (DS)	<b>98%</b>	<b>4</b>	Complete

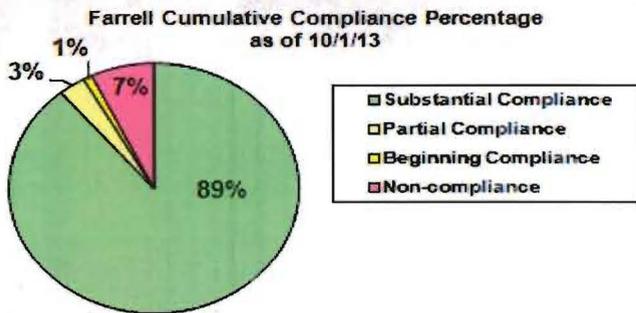
## Farrell Cumulative Compliance / Status Updates for Audited Areas

# FARRELL CUMULATIVE COMPLIANCE PERCENTAGE

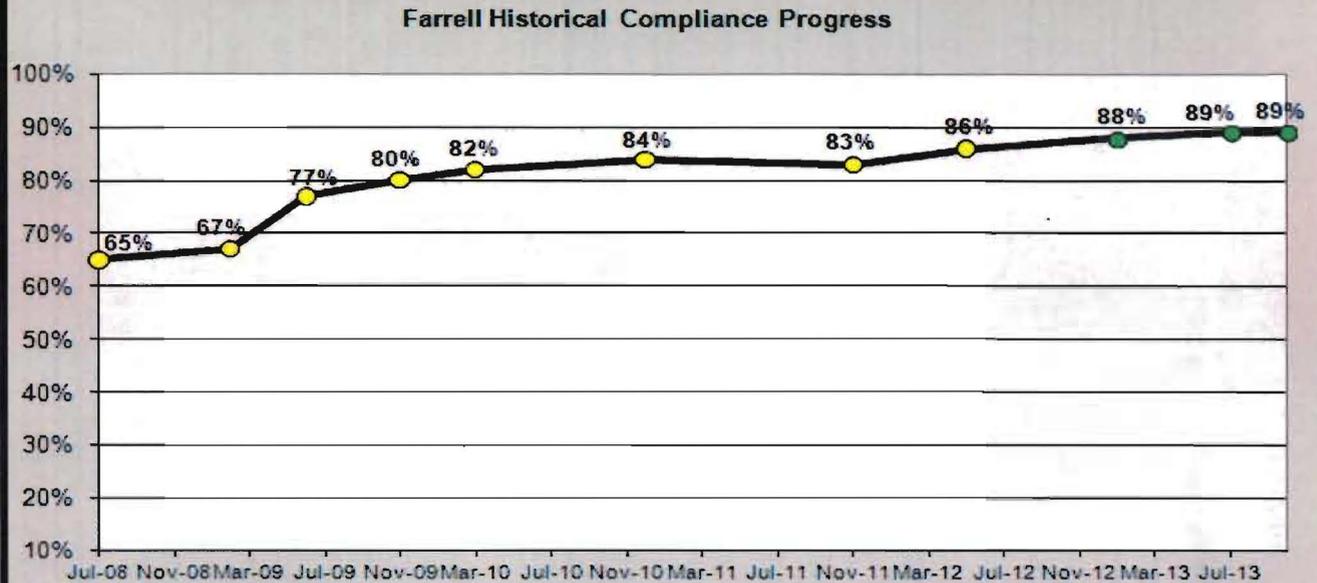
As of October 1, 2013

### Current Farrell Cumulative Assessment

GRAPH:	Farrell Cumulative Compliance Percentage		
TIME-FRAME:			
# ITEMS IN SC:	3425	Substantial Compliance	89%
# ITEMS IN PC:	120	Partial Compliance	3%
# ITEMS IN BC:	25	Beginning Compliance	1%
# ITEMS IN NC:	272	Non-compliance	7%
# OF ITEMS RATED:	3842		



### Historical Progress



### Audited Areas - Status Updates

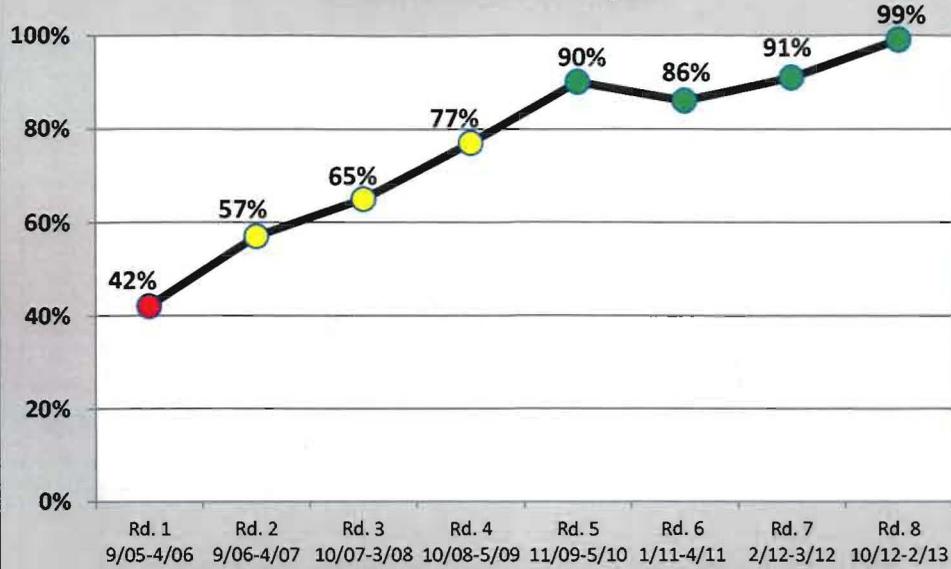
<b>IBTM</b>	The MH Expert is conducting his first round of Integrated Behavior Treatment Model (IBTM) audits. To date, only OHCYCF has been audited and dates have been identified to audit the other facilities. IBTM is not a remedial plan but is audited as a stand alone area by the MH Expert.
<b>MH</b>	The last Mental Health (MH) audit from the MH Experts occurred in the Spring 2010. Since then, a new set of MH Experts have replaced the original MH Experts. The new MH Experts have worked with DJJ to draft a new MH audit tool and dates to resume the MH audits have been identified starting in March, 2014.
<b>S&amp;W</b>	The Safety & Welfare (S&W) Expert has recently completed his sixth round of audits. With the exception to some areas that he is recommending be turned over to the MH Expert and OSM for continued monitoring, the S&W Expert is recommending that monitoring of the S&W Remedial Plan be turned over to OACC/DJJ.
<b>SBTP</b>	The Sexual Behavior Treatment Program (SBTP) Remedial Plan was the fifth audited area, fourth Remedial Plan to be dismissed by the Judge from the Farrell lawsuit on 10/7/13.
<b>WDP</b>	The Wards with Disabilities Program (WDP) Remedial Plan was the fourth audited area, third Remedial Plan to be dismissed by the Judge from the Farrell lawsuit on 8/6/13.
<b>Ed.</b>	The Education Services (Ed.) Remedial Plan was the third audited area, second Remedial Plan, to be dismissed, with exceptions, by the Judge from the Farrell lawsuit on 7/18/13. The exceptions pertain to attendance-related audit items at VYCF which will now be monitored by the OSM.
<b>HCS</b>	The Health Care Services (HCS) Remedial Plan was the second audited area, first Remedial Plan, to be dismissed by the Judge from the Farrell lawsuit on 2/15/13.
<b>DDS</b>	Dental Services (DDS) is not a remedial plan but instead is a subset of the Health Care Services Remedial Plan. However, it was audited by its own independent expert and was a stand-alone audited area. Dental Services was the first audited area to be dismissed by the Judge from the Farrell lawsuit on 10/18/12.

# Farrell Historical Compliance by Audit Area

As of: 10/14/2013

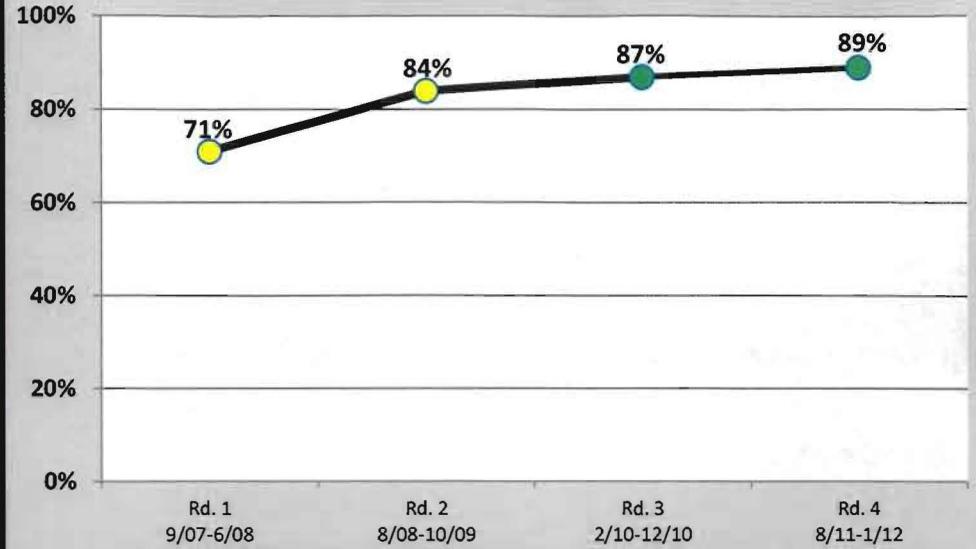
## Education Services

Substantial Compliance Progress



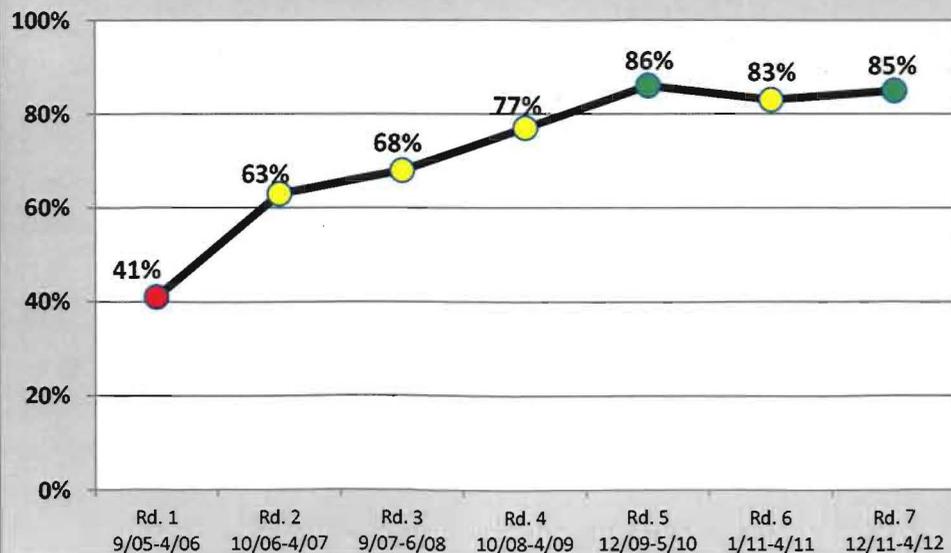
## Health Care Services

Substantial Compliance Progress



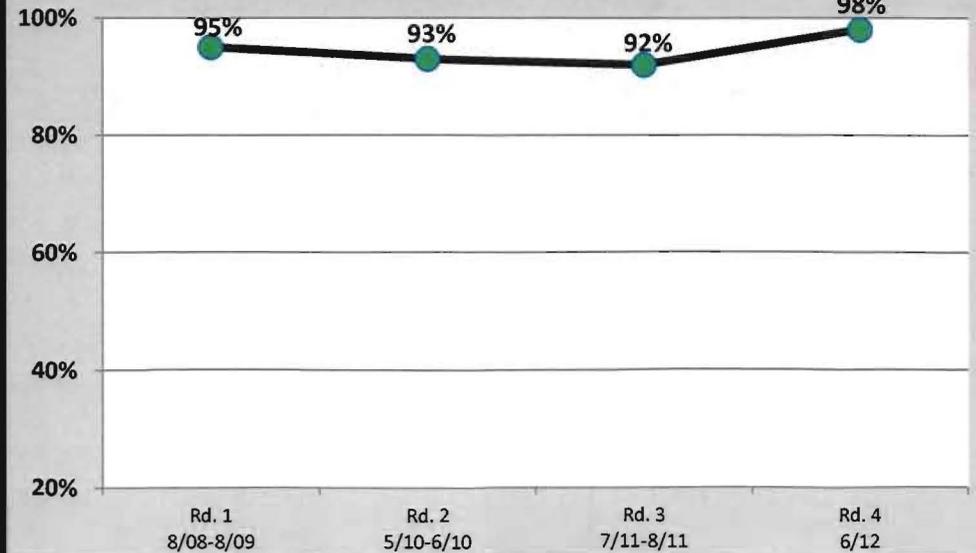
## Wards with Disabilities Program

Substantial Compliance Progress



## Dental Services

Substantial Compliance Progress

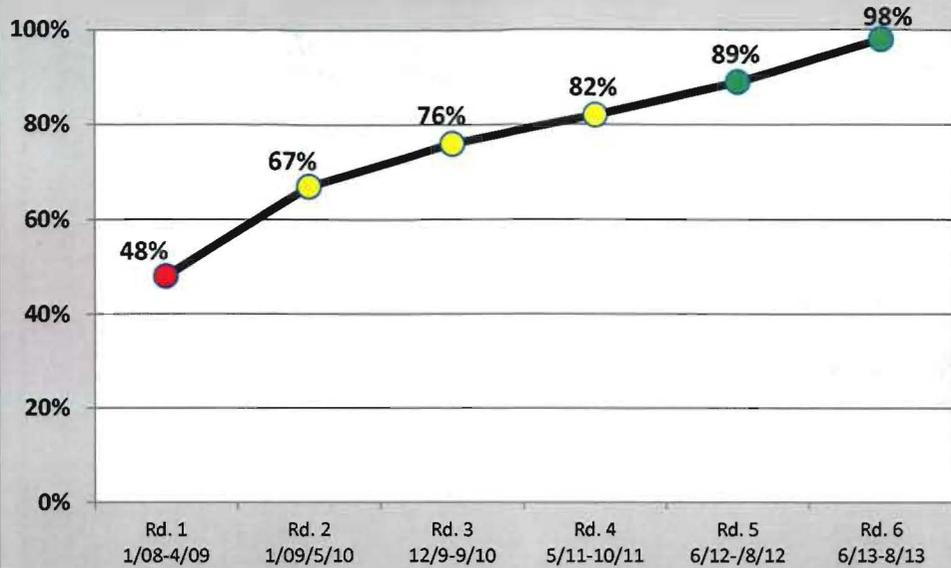


# Farrell Historical Compliance by Audit Area

As of: 10/14/2013

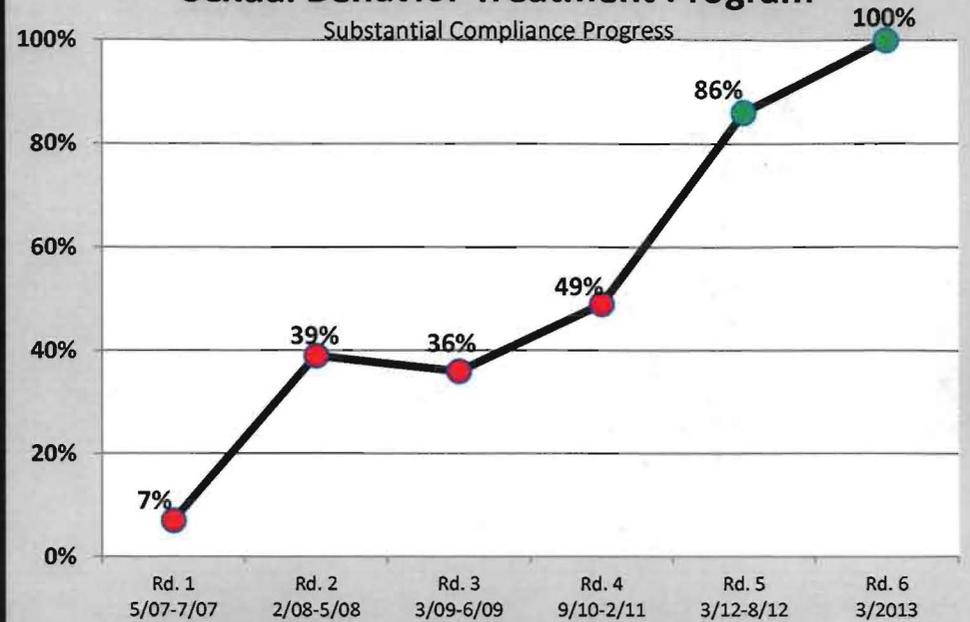
## Safety & Welfare

Substantial Compliance Progress



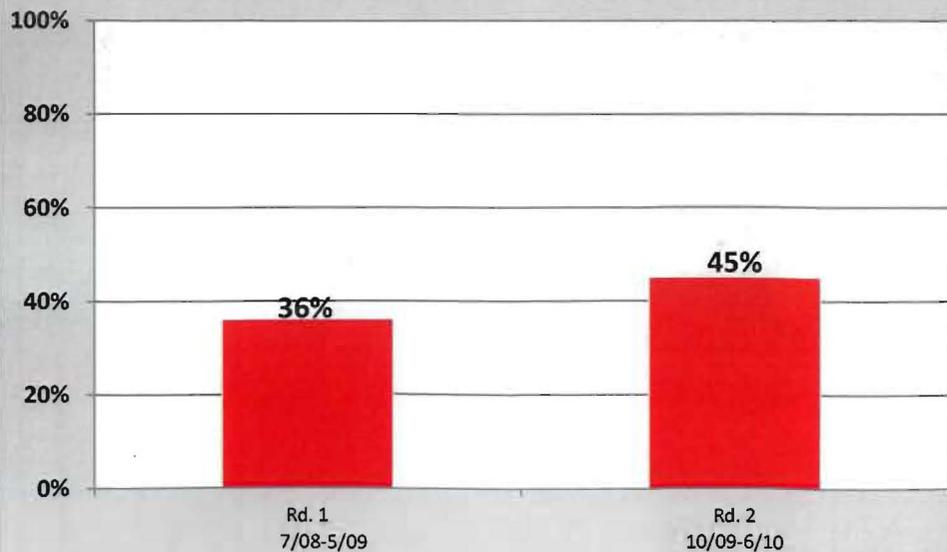
## Sexual Behavior Treatment Program

Substantial Compliance Progress



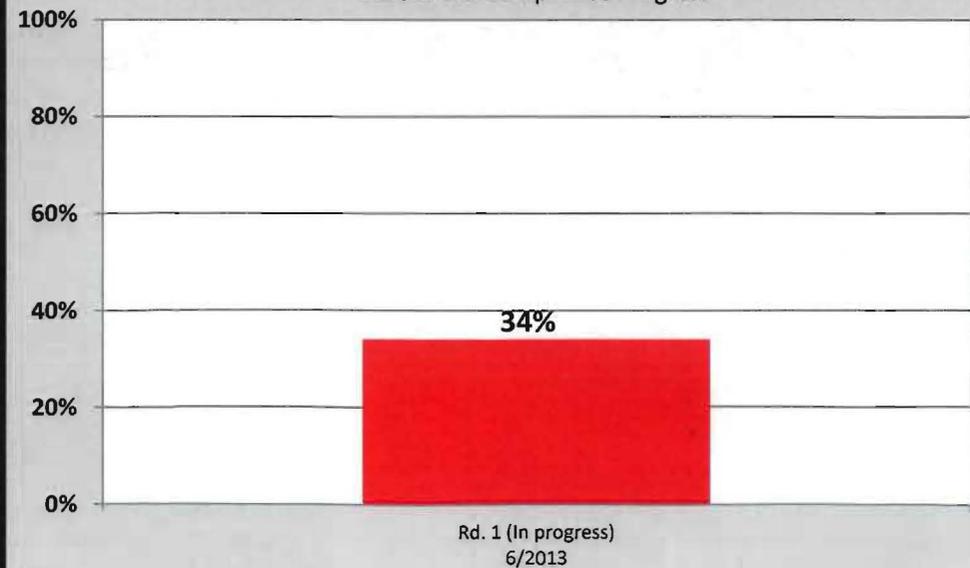
## Mental Health

Substantial Compliance Progress



## Integrated Behavior Treatment Model

Substantial Compliance Progress



## DJJ - Farrell Cumulative Compliance by Audited Area and by Audit Site

As of: 10/14/2013

Farrell Cumulative Compliance									
Audit Area	Compliance %	Audit Rd.	Court Status	Comments					
Integrated Behavior Treatment Model	34%	1	Active	Only OHCYCF has been audited thus far for the first round of the Expert IBTM audits.					
Mental Health	45%	2	Active	Last MH Expert audit took place on May 13-14, 2010 at SYCRCC.					
Safety & Welfare	98%	6	Active	Expert is recommending monitoring be turned over to OACC/DJJ w/exceptions.					
Sexual Behavior Treatment Program	100%	6	Dismissed - 10/7/13	None					
Wards with Disabilities Program	85%	7	Dismissed - 8/6/13	None					
Education Services	99%	8	Dismissed - 7/18/13	Dismissed w/exception of VYCF attendance-related items to be monitored by OSM.					
Health Care Services	89%	4	Dismissed - 2/15/13	None					
Dental Services	98%	4	Dismissed - 10/18/12	None					
<b>Farrell Cumulative Compliance</b>	<b>89%</b>	Currently, 3425 audit items rated as being in "Substantial Compliance" (SC) out of a total of 3842 rated items.							
O.H. Close Youth Correctional Facility (OHCYCF)					N.A. Chaderjian Youth Correctional Facility (NACYCF)				
Audit Area	Compliance %	Audit Rd.	Last Expert Audit	Next Expert Audit	Audit Area	Compliance %	Audit Rd.	Last Expert Audit	Next Expert Audit
Integrated Behavior Treatment Model	34%	1	6/7/13	TBD	Integrated Behavior Treatment Model	Round 1 audit scheduled for November 12-13, 2013			
Mental Health	80%	2	6/3/10	N/A	Mental Health	67%	2	3/19/10	3/17-18/14
Safety & Welfare	100%	6	6/11/13	TBD	Safety & Welfare	98%	6	6/13/13	TBD
Sexual Behavior Treatment Program	100%	6	3/20/13	Dismissed	Sexual Behavior Treatment Program	100%	6	3/20/13	Dismissed
Wards with Disabilities Program	88%	7	2/16/12	Dismissed	Wards with Disabilities Program	84%	7	12/15/11	Dismissed
Education Services	100%	8	2/11/13	Dismissed	Education Services	99%	8	2/11/13	Dismissed
Health Care Services	91%	4	1/11/12	Dismissed	Health Care Services	89%	4	1/11/12	Dismissed
Dental Services	96%	2	6/23/10	Dismissed	Dental Services	94%	3	8/4/11	Dismissed
<b>OHCYCF Cumulative Compliance</b>	<b>91%</b>	1104 items rated SC out of 1216			<b>NACYCF Cumulative Compliance</b>	<b>90%</b>	1114 items rated SC out of 1233		
Ventura Youth Correctional Facility (VYCF)					DJJ Headquarters (DJJ HQ)				
Audit Area	Compliance %	Audit Rd.	Last Expert Audit	Next Expert Audit	Audit Area	Compliance %	Audit Rd.	Last Expert Audit	Next Expert Audit
Integrated Behavior Treatment Model	Round 1 audit scheduled for January 21-22, 2014								
Mental Health	67%	2	1/14/10	4/15-16/14	Mental Health	28%	2	4/16/10	3/19/14
Safety & Welfare	90%	6	8/1/13	TBD	Safety & Welfare	100%	6	8/12/13	TBD
Sexual Behavior Treatment Program	Not audited at VYCF				Sexual Behavior Treatment Program	97%	6	3/20/13	Dismissed
Wards with Disabilities Program	85%	7	3/15/12	Dismissed	Wards with Disabilities Program	84%	7	4/4/12	Dismissed
Education Services	97%	8	2/13/13	Dismissed	Education Services	Not audited at DJJ HQ			
Health Care Services	87%	4	8/17/11	Dismissed	Health Care Services	75%	4	12/8/11	Dismissed
Dental Services	98%	4	7/13/12	Dismissed	Dental Services	Not audited at DJJ HQ			
<b>VYCF Cumulative Compliance</b>	<b>89%</b>	974 items rated SC out of 1096			<b>DJJ HQ Cumulative Compliance</b>	<b>78%</b>	233 items rated SC out of 297		

# DJJ - OACC Audit History

As of: 10/14/2013

O.H. Close Youth Correctional Facility								N.A. Chaderjian Youth Correctional Facility							
Audit Area	Next OACC Audit	2010	2011	2012	2013	2014	2015	Audit Area	Next OACC Audit	2010	2011	2012	2013	2014	2015
IBTM	TBD	Not Audited	Not Audited	Not Audited	Not Audited	TBD	TBD	IBTM	TBD	Not Audited	Not Audited	Not Audited	TBD	TBD	TBD
MH		Not Audited	Not Audited	Not Audited	Area no longer audited at OHCYCF			MH	TBD	Not Audited	Not Audited	Not Audited	TBD	TBD	TBD
SBTP	TBD	Not Audited	Not Audited	Not Audited	89%	TBD	TBD	SBTP	TBD	Not Audited	Not Audited	Not Audited	82%	TBD	TBD
					2/14/13								2/8/13		
S&W	TBD	Not Audited	87%	86%	95%	TBD	TBD	S&W	TBD	Not Audited	75%	91%	90%	TBD	TBD
			5/27/11	4/13/12	4/5/13						6/29/11	5/25/12	5/9/13		
DDS	Sept. 30 - Oct 4	Not Audited	Not Audited	98%	Sept. 30 - Oct 4	TBD	TBD	DDS	Nov. 4-8	Not Audited	Not Audited	98%	Nov. 4-8,	TBD	TBD
				5/24/12							5/23/12				
HCS	TBD	Not Audited	98% (P)	95%	98%	TBD	TBD	HCS	TBD	Not Audited	85%	95%	96%	TBD	TBD
			11/21/11	8/21/12	7/12/13						6/17/11	8/15/12	7/10/13		
WDP	TBD	Not Audited	94%	93%	96%	TBD	TBD	WDP	TBD	85%	89%	Not Audited	95%	TBD	TBD
			2/18/11	*12/9/11	2/8/13					12/10/10	10/14/11		1/11/13		
Ed.	TBD	77%	92%	95%	TBD	TBD	TBD	Ed.	TBD	84%	89%	97%	TBD	TBD	TBD
		*1/12/11	12/2/11	11/2/12						12/10/10	12/9/11	10/19/12			
Ventura Youth Correctional Facility								DJJ Headquarters							
Audit Area	Next OACC Audit	2010	2011	2012	2013	2014	2015	Audit Area	Next OACC Audit	2010	2011	2012	2013	2014	2015
IBTM	TBD	Not Audited	Not Audited	Not Audited	TBD	TBD	TBD	IBTM	TBD	Not Audited	Not Audited	Not Audited	TBD	TBD	TBD
MH	TBD	Not Audited	Not Audited	Not Audited	TBD	TBD	TBD	MH	TBD	Not Audited	Not Audited	Not Audited	TBD	TBD	TBD
SBTP	Area not audited at VYCF							SBTP	TBD	Not Audited	Not Audited	Not Audited	97%	TBD	TBD
													2/1/13		
S&W	TBD	Not Audited	69%	75%	90%	TBD	TBD	S&W	TBD	Not Audited	86%	89%	98%	TBD	TBD
			3/23/11	3/22/12	5/24/13						8/12/11	8/3/12	6/27/13		
DDS	Dec. 2-6	Not Audited	Not Audited	97%	Dec. 2-6	TBD	TBD	DDS	Area not audited at DJJ Headquarters						
				5/4/12											
HCS	TBD	Not Audited	96% (P)	90%	95%	TBD	TBD	HCS	TBD	Not Audited	Not Audited	90%	Audited 8/28/13	TBD	TBD
			10/2011	5/4/12	5/17/13							10/3/12			
WDP	TBD	Not Audited	92%	99%	99%	TBD	TBD	WDP	TBD	Not Audited	92%	94%	97%	TBD	TBD
			1/7/11	1/13/12	3/29/13						3/15/11	2/9/12	4/23/13		
Ed.	Nov. 18-22	Not Audited	81%	92%	Nov. 18-22	TBD	TBD	Ed.	Area not audited at DJJ Headquarters						
			2/7/11	1/27/12											

(P) = Partial audit

# Farrell Expert/OACC Audit Schedule

As of: 10/14/2013

## 2013 - Farrell Expert / OACC Audit Schedule

Audited Area	Type	Auditor	Date	Audit Site	Comments
Dental Services	OACC	Malvina Lassiter	November 4-8, 2013	NACYCF	Last OACC audit of Dental Services at NACYCF took place in May, 2012 and had a SC compliance percentage of 98%.
IBTM	Expert	Dr. Bruce Gage	November 12-13, 2013	NACYCF	First round IBTM audit for NACYCF. It is expected that the OSM will assist with the audit. OACC may be present to observe.
Education Services	OACC	Malvina Lassiter or Tracy Master	November 18-22, 2013	VYCF	OACC auditor still to be determined.
Dental Services	OACC	Malvina Lassiter	December 2-6, 2013	VYCF	Last OACC audit of Dental Services at VYCF took place in May, 2012 and had a SC compliance percentage of 97%.

## 2014 - Farrell Expert / OACC Audit Schedule

Audited Area	Type	Auditor	Date	Audit Site	Comments
IBTM	Expert	Dr. Bruce Gage	January 21-22, 2014	VYCF	First round IBTM audit for VYCF. It is expected that the OSM will assist with the audit.
Mental Health	Expert	Dr. Bruce Gage	March 17-18, 2014	NACYCF	Last MH audit for NACYCF took place in March, 2010. Since then, new MH Expert(s) have been assigned and a new audit tool has been developed. OSM may be present to assist and OACC may be present to observe.
Mental Health	Expert	Dr. Bruce Gage	March 19, 2014	DJJ HQ	Last MH audit for DJJ HQ took place in April, 2010. Since then, new MH Expert(s) have been assigned and a new audit tool has been developed. OSM may be present to assist and OACC may be present to observe.
Mental Health	Expert	Dr. Bruce Gage	April 15-16, 2014	VYCF	Last MH audit for VYCF occurred in January, 2010. Since then, new MH Expert(s) have been assigned and a new audit tool has been developed. OSM may be present to assist.

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