



## Joint BCC/Parole Report for the Bureau of Community Corrections Facilities

**To:** Shirley Moore Smeal,  
Executive Deputy Secretary, DOC

Cynthia L. Daub,  
Executive Director, Office of Reentry and  
Quality Assurance, PBPP

**From:** Jack Sommers  
BCC Director

Dennis J. Lauer  
Director, Standards and Accreditation

1<sup>st</sup> QTR

2<sup>nd</sup> QTR

3<sup>rd</sup> QTR

4<sup>th</sup> QTR

YEAR: 2014

<b>Facility Visited:</b>	CEC Scranton (65 bed/Male) 307 Adams Avenue Scranton, PA 18503
<b>Date Visited:</b>	Monday, June 9, 2014
<b>Accompanied By:</b>	Director Sommers, Deputy Shepler, Director Lauer, Acting CFC Rupert, CT3 Pepaj
<b>Programs Offered:</b>	CCF-CPC

### Discussion and Tour with the Center Director

CEC Scranton is a business building in downtown Scranton. It has 4 floors and a basement. Floor one – entrance/monitors station; floor two - 20 bunks, dayroom, kitchen, laundry, second monitors station, smoke deck and parole office; floor three – 20 bunks, dayroom, laundry, medication office for all floors and admin offices; floor four – 25 bunks, dayroom, laundry, toxic/caustics, and 1 counselor office. A non CEC landlord owns the building. The tour was accompanied by Center Director Jerry Cesare and Case Manager Supervisor Sherry Arthur.

### Review of Administration

#### Approved Staff Complement – Current

- Total Number of Positions Filled: N/A
- Total Number & Type of Vacancies: N/A

#### Administration

- PBPP Relationship: Overall Commendable – reports relationship is very good. Two agents are assigned to the center, and are very good with emergencies and issues that arise with the offenders. A specialized SO Agent is also assigned to the center based on need. Reports Joint Sanctioning process is working well. The Parole Agents are usually there two times per week. Reports Parole

**8.3.1, Community Corrections Security Procedures Manual**

**Section 19 – BCC Managerial Visits/Inspections**

Issued: 1/1/2011  
Effective: 1/1/2011

**Attachment 19-A**

<b>Facility Visited:</b> CEC Scranton	<b>Date Visited:</b> 6/9/2014
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Agents are consistent with urine samples when needed and does communicate well with the results. Reports no significant issues with Parole's 24/7 Hotline. Reports a few times they didn't receive an employee when calling. Informed CEC Scranton of updated procedures to call 24/7 hotline for all business hour and non-business hour absconders. The Scranton District Parole Office reports having an excellent relationship with the center with no problems noted.

- Labor Management Relationship: N/A
- Community Relationship: Good - has a good community Advisory Board that meets 2x's per year. Some members include Parole District Director Jones, Luzerne City College Reps, former owner of building, Police Chief, Scranton Public Library Supervisor, Action employee, Friends of the Poor employee, Sister Anne, Dynamic's employee, A Better Today employee, DATS representative, and the new landlord.
- Offender Morale/Grievances: None- morale of the Center seemed positive.

Population Breakdown	SIP	SIP N/R	Parole	On Furlough	TOTAL
<b>Current Numbers</b>	7	0	53	1	60

9 SO's

### Review of Security

- Review of Significant EORs/Events: None.
- Contraband: (Confiscated and Destroyed timely and appropriately; view the contraband locker): No contraband to review. Many K2 finds. Reports some issues with offenders smuggling in cellphones with cameras.
- Procedures for Processing Ingress/Egress: Search procedures are following established guidelines.
- Cameras (Operational/Sufficient):  
32 cameras with a 30-day recording loop.
- Logbook Documentation: (Daily/Visitor/Managerial)  
Compliant – utilizes DOC standardized log books and also documents notes in Secure Manage.
- Offender Sign Out Procedures:  
Compliant – Has a "Secure Manage" computer accountability system. CCCM's and Case Managers call work sites randomly and physically check the work and church sites randomly. Staff documents destination checks in Secure Manage and in a paper log.
- Security Equipment: (Inventoried/Logged/Secured/Proper Use).  
The center has radios which are used to communicate between floors. One (1) cut-down tool is also available.

<b>Facility Visited:</b> CEC Scranton	<b>Date Visited:</b> 6/9/2014
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- Kitchen Equipment: (Inventoried/Logged/Secured/Proper Use)  
CEC recently hired its own Food Service Staff. One supervisor and 2 food service workers cook the meals for the offenders. No knives are on site due to most of the food being purchased pre-sliced. Refrigerator/Freezer temperatures are documented 2x's per day.
- Procedures/Documentation: (Alarm/Escape/Count/Search).  
Compliant – formal counts are being recorded 7 times per day.
- Procedures/Documentation: (Breathalyzer/Urinalysis).  
Urine tests and breathalyzers were compliant with BCC policies. Documentation occurs in Secure Manage and in the paper log. The Shift Supervisor double checks the log near the end of the month to ensure urine test compliance.

### Review of Programs

- Total Number of Groups Conducted Weekly: (Previous Month)  
No standard groups are currently being conducted due to lack of need. No counselors are trained in TFAC, BI and VP. Anger Management, Accountability/Responsibility, and Budgeting groups are being facilitated on site. Programs are also available at AOD-DATS, ABT – A Better Today, and the Scranton Counseling Center (MH). Counselor Supervisor seems very good with reentry issues.
- Idleness/Leisure Time Issues:  
**90% of the offenders were employed which is excellent.** No offenders are attending school. The following temp agencies are being utilized: Action, Job Connection, and Dynamic. Most offenders are employed at MIA Foods, Process Technology in Waverly, BC Bun Bakery, and Sarno and Sons. See attached for on-going job rates.  
  
Group/Curriculum: (Available/Schedule Posted) N/A
- Community Service Reports:  
CWP hour's average approximately 500 per month. Each offender is required to do 10 hours per month. Most of the hours are for Friends of the Poor, Scranton Rescue Mission, and St Francis Soup Kitchen.
- General Comments/Observations:
  1. Passed ACA in October 2012 (100%, 100%).
  2. One pay phone is located on the second floor to be in compliance with PREA guidelines.

### Review of Facility

- Staff ID Cards/Monitor Work Shirts:(Visibly displayed by staff)  
Staff IDs were present and being worn. Monitors wear navy blue shirts.
- Offender ID Cards: (Visibly displayed by offenders)  
Photo IDs were available but not being worn consistently. Offenders hand in their IDs before leaving facility.

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- **Fire Safety Checks:** (Fire Drill Log/Emergency Lighting/Fire Extinguishers)  
Compliant – fire evacuation plans were posted. Fire extinguishers were checked and in compliance. Last fire drill was June 8, 2014.
- **First Aid Kit:** (Available/Inventoried)  
AED was available on site. First Aid kits were available, tied, with inventories present. A 3<sup>rd</sup> shift supervisor checks the kits monthly.
- **Property Content:** (Orderly and Within Guidelines)  
Property was neat, orderly, and within guidelines. Plastic storage bins are in use.
- **Bathrooms and Showers:**  
The facilities were found to be neat and clean.
- **Medical Services:** (Security of Medication/Syringes/Area; Access to Care)  
Medication lines are held 5 times per day. Medication is distributed from the third floor medication room and is audited two times per month. All medication is double locked. A Sharps container was present. No nursing coverage is on site. Medical coverage is available at Mercy Regional Hospital, Moses Taylor Hospital, GCMC, and the Ready Care Clinic. MH counseling is available at the Scranton Counseling Center.
- **PREA Posters:** (Posted by Offender Telephones in English & Spanish)  
PREA posters were present in both English and Spanish.
- **House Keeping Plan Posted:**  
Housekeeping plans were posted and are being followed.
- **Overall Cleanliness:**  
Overall cleanliness of the center was satisfactory. The Center is in the process of receiving a fresh coat of paint.
- **General Comments/Observations:** 1. **Toxic/caustics inventories were not in compliance. Inventories were incorrect and the actual names of the elements do not match the inventory sheets. CFC will resolve and monitor.**  
2. CEC Scranton has a part time maintenance person. No offenders currently assist this employee.

**Policy Violations Pending Further Review/Action**

- **Reference policy and violation:**

**Summary and Comments**

Had a detailed discussion the negative effects K2 has on our centers, the aggressiveness of most offenders while on K2, avoiding staff complacency issues, and keeping staff and the community safe. Spoke with staff on the importance of reentry and reducing recidivism. Had a detailed discussion on monitoring offenders for

<b>Facility Visited:</b>	CEC Scranton	<b>Date Visited:</b>	6/9/2014
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MH symptoms and taking every threat of self-harm seriously. Also discussed adding mental health discussions to all future staff meetings and to schedule mental health and suicide training for staff at least on an annual basis. Overall, visit went well.

cc: Deputy Shepler  
Deputy Resto  
Major Pinard  
RD Jacobson  
Acting CFC Rupert  
File

## BCC Performance Metric Scorecard

<b>Location Code – Facility Name</b>	221 – Capitol Pavilion CCF
<b>Type of Program</b>	Group Home

<b>Report YEAR</b>	<b>Report MONTH</b>	<b>Employment Rate (%)</b>
2013	JUL	65%
2013	AUG	56%
2013	SEP	60%
2013	OCT	82%
2013	NOV	68%
2013	DEC	57%
2014	JAN	50%
2014	FEB	49%
2014	MAR	53%
2014	APR	57%
2014	MAY	NA
2014	JUN	NA

Employment rate is calculated by total population (A) minus those unable to work due to disability (or in PV or AOD treatment (B) those \*employed (D) divided by Sum of those eligible to work (C) equals percentage employed.

$$A-B=C$$

$$D/C=E$$

\*Employed = Working 20+ hours/week

<b>Submitted By (Name/Title)</b>	Shawna Evans, Director
<b>Signature/Date</b>	<i>Shawna Evans</i> 06/06/14

**Bureau of Community Corrections  
Annual Inspection  
Inspection Coversheet**

<b><u>Facility:</u></b>	<b><u>Regional Director s Signature:</u></b>
<b><u>Address:</u></b>	<b><u>Deputy Director s Signature:</u></b>
<b><u>Contact Person:</u></b>	<b><u>Facility Director s Acknowledgement:</u></b>
<b><u>Date of Inspection:</u></b>	
<b><u>Inspection Team:</u></b>	
Copy: Bureau Director File	

# Bureau of Community Corrections Annual Inspection

<b>Date:</b>	Facility:							
<b>Area:</b>	<b>C</b>	<b>In Compliance</b>	<b>NC</b>	<b>Not in Compliance</b>	<b>N/A</b>	<b>Not Applicable</b>	<b>N/O</b>	<b>Not Observed</b>
<b>GENERAL ADMINISTRATION</b>	<b>C</b>		<b>NC</b>		<b>N/A</b>		<b>N/O</b>	
The Center has an organizational chart. ( <b>ACRS D</b> )								
Staff has access to procedures for maintaining and operating the Center. ( <b>ACRS B</b> )								
Monthly staff meetings occur between the Center Director and key staff. ( <b>ACRS D 5</b> )								
A personnel manual is accessible to employees (4.1.1, Section 42, Attachment 42-A, DC-17A) ( <b>ACRS E</b> )								
All personnel and offenders wear ID cards in accordance with DOC Policy.								
Logbooks are kept current, complete and accurate according to DOC policy.								
<b>FISCAL MANAGEMENT</b>	<b>C</b>		<b>NC</b>		<b>N/A</b>		<b>N/O</b>	
The facility is in compliance with the CCIS Wage Account System. The wage account system is reconciled weekly and balanced monthly with the bank statement in accordance with DOC policy								
There is an independent financial audit of the facility at least once every 3 years. ( <b>ACRS D</b> )								
<b>PERSONNEL</b>	<b>C</b>		<b>NC</b>		<b>N/A</b>		<b>N/O</b>	
A criminal record check is conducted on all new employees and volunteers. ( <b>ACRS B</b> )								
All new full-time employees receive 40 hours of orientation before undertaking their assignments. ( <b>ACRS B</b> )								
All new caseworkers (counselors) receive 40 hours of training annually, in addition to their 40 hours of orientation during their first year of employment. ( <b>ACRS B</b> )								
All professional staff complies with state or federal licensure, certification, or registration. Current credentials are on file. ( <b>ACRS B Mandatory</b> )								
<b>OPERATION SANITATION</b>	<b>C</b>		<b>NC</b>		<b>N/A</b>		<b>N/O</b>	
The facility complies with the sanitation and health codes of the jurisdiction having authority. ( <b>ACRS A Mandatory</b> )								
Waste is disposed of properly. ( <b>ACRS A</b> )								
Vermin and pests are controlled. ( <b>ACRS A 5</b> )								
The facility is clean and in good repair, and a housekeeping and maintenance plan is posted and in effect. ( <b>ACRS A</b> )								
The facility's potable water source and supply are sanitary and approved by an independent, qualified agency. ( <b>ACRS A Mandatory</b> )								
Sanitation and safety inspections are conducted weekly. The landlord is notified by work order of any deficiencies. ( <b>ACRS A</b> )								
Offenders are issued suitable, clean bedding and linen. There is a provision for a linen exchange, including towels, at least weekly. When a resident arrives, the mattress is disinfected prior to use and a log is maintained that the mattress has been disinfected. ( <b>ACRS B</b> )								
Non-combustible receptacles are available in smoking areas.								
The area is free of peeling paint.								
<b>PHYSICAL PLANT</b>	<b>C</b>		<b>NC</b>		<b>N/A</b>		<b>N/O</b>	
The facility conforms to all applicable building codes and applicable federal laws and zoning ordinances. ( <b>ACRS A</b> ) ( <b>ACRS A 5</b> )								
The facility does not exceed the rated bed capacity. ( <b>ACRS A</b> )								

# Bureau of Community Corrections Annual Inspection

P SICAL PLANT (cont d)	C	NC	N/A	N/O
Residents have access to toilets 24 hours a day w/o staff assistance, a washbasin with hot and cold running water, a bed and adequate storage space, natural light from a source within 20 ft. of the sleeping room, and temperatures that are appropriate to the summer and winter comfort zones. ( <b>ACRS B</b> )				
There is at least one operable toilet for every ten resident; one for every eight residents in female facilities.				
There is one operable washbasin with hot and cold running water for every six residents.				
Offenders have access to operable showers with temperature-controlled hot and cold running water, at a minimum ratio of one shower for every eight offenders, unless national or state building or health codes specify a different ratio. Water for showers is controlled to range from 100 to 120 degrees Fahrenheit. ( <b>ACRS A</b> )				
Adequate space if provided for counseling, visiting, recreation, and to accommodate group activities. ( <b>ACRS 5A 5 ACRS 5A ACRS 5A</b> )				
Space is provided to store and issue bedding and other items. ( <b>ACRS B 5</b> )				
Space is provided for janitorial supplies in one or more locations that are accessible to living and activity areas. ( <b>ACRS A</b> )				
SAFET AND EMER ENC PROCED RES	C	NC	N/A	N/O
There is a written emergency plan which includes floor plans, use of exit signs, and location of publicly posted plans. ( <b>ACRS C Mandatory</b> )				
There is a written evacuation plan to be used in the event of fire which is certified by an independent qualified agency or individual. ( <b>ACRS C Mandatory</b> )				
Evacuation drills are conducted quarterly on each shift. ( <b>ACRS C Mandatory</b> )				
Fire protection equipment is available throughout the facility and approved by the fire authority having jurisdiction. ( <b>ACRS C Mandatory</b> )				
A qualified person conducts fire inspection at least quarterly. Equipment is tested as specified by the manufacturer or fire authority. ( <b>ACRS C Mandatory</b> )				
Secure an annual inspection of the facility by the authority having jurisdiction. ( <b>ACRS C Mandatory</b> )				
Posted floor plans indicate locations of fire extinguishers, alarm pull stations, and first aid equipment. Portable fire extinguishers are properly located, clear, unobstructed, fully charged, and operable. Fire extinguisher tags are up to date.				
Emergency plans are disseminated to the appropriate local authorities. ( <b>ACRS C 5 Mandatory</b> )				
The facility has a fire protection alarm system and an automatic detection system and components are tested quarterly. ( <b>ACRS C Mandatory</b> )				
A fire prevention plan provides for fire protection services. ( <b>ACRS C Mandatory</b> )				
Weekly and monthly inspections are being conducted.				
The emergency lights are in proper working order.				
WET FLOOR signs are posted if floors are wet.				
First Aid Kits are present, inventoried, and sealed. ( <b>ACRS C 5</b> )				
MSDS sheets are accessible in facility.				

# Bureau of Community Corrections Annual Inspection

<b>E TOOL CONTROL</b>	<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>N/O</b>
A control plan for key, tools, and utensils addresses access, use, and storage. ( <b>ACRS D</b> )				
Tools and utensils are used in accordance with a prescribed system. ( <b>ACRS D</b> )				
Tools, Key and Utensil control follow current DOC policy and procedure.				
Staff has keys secured on their person. Keys are used in accordance with a prescribed system. ( <b>ACRS D</b> )				
Restricted areas are secured.				
<b>TOXICS CAUSTICS FLAMMABLES</b>	<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>N/O</b>
The use of toxics, caustics, and flammables are secured and usage is controlled. ( <b>ACRS C Mandatory</b> )				
Flammables are kept in a separate locked fireproof cabinet.				
Inventories are maintained in accordance with DOC policy. (Check at least 3 items)				
Handle and store all flammable materials safely. There are covered trash cans for flammable rags and cans are emptied daily. ( <b>ACRS C Mandatory</b> )				
Furnishings comply with fire safety performance requirements (mattresses, cushions, of other items of foam plastic or foam rubber) ( <b>ACRS C 5 Mandatory</b> )				
<b>HEALTH CARE</b>	<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>N/O</b>
The facility has a written agreement with a designated health authority (physician, hospital, health administrator, etc.). ( <b>ACRS C Mandatory</b> )				
Twenty-four hour emergency medical, dental, and mental health care is provided. ( <b>ACRS C Mandatory</b> )				
There is a written suicide prevention and intervention program and all staff with offender supervision are trained in the implementation of the program. ( <b>ACRS C Mandatory</b> )				
<b>SUPERVISION AND DISCIPLINE</b>	<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>N/O</b>
Information about routine operations and unusual incidents are recorded in a permanent log. ( <b>ACRS A</b> )				
The facility has a system of accountability for offenders assigned to work, educational release, furloughs, and other ATA. Physical counts are conducted on each shift and recorded in the daily log. ( <b>ACRS A</b> )				
The contraband policy is provided to staff and offenders. ( <b>ACRS C</b> )				
Offender rules and disciplinary regulation describe violations, sanctions, and penalties. ( <b>ACRS C</b> )				
Each resident is provided a facility handbook. ( <b>ACRS A</b> )				
A grievance procedure that includes one level of appeal is available to all offenders. ( <b>ACRS B</b> )				
<b>FOOD SERVICE</b>	<b>C</b>	<b>NC</b>	<b>N/A</b>	<b>N/O</b>
Adequate space is provided for food preparation and service and for an eating area and seating for all who dine at the same time. ( <b>ACRS A 5</b> )				

# Bureau of Community Corrections Annual Inspection

**Audit Score:**

5 non mandatory standards: \_\_\_\_\_ of 5 in compliance.

Non Mandatory Score: \_\_\_\_\_%

Mandatory standards: \_\_\_\_\_ of \_\_\_\_\_ in compliance.

Mandatory Score: \_\_\_\_\_%

**Inspection Summary Narrative:** (document any deficiencies on the Community Corrections Plan of Action Progress Report on shared drive)

FACILITY NAME:			
INSPECTED BY:			
FACILITY DIRECTOR/DESIGNEE REVIEWED WITH:			
INSPECTION DATE:			
#	STANDARD	COMP	COMMENTS (REQUIRED FOR "NO" OR "WAIVER" ONLY)
1	The selected Contractor(s) must report any arrest of a person providing services under any contract resulting from this solicitation. Any arrest must be reported within one(1) hour of occurrence. (Review Personnel Report)		
2	The selected Contractor(s) shall notify the DOC upon the discovery that a friend, family member or someone with whom a personal relationship exists is being supervised under the authority of the DOC or PBPP. (Ensure compliance with policy 1.1.14, including staff policy sign-off)		
3	The selected Contractor(s) shall report every offender abuse allegation to a designated contract facility coordinator (CFC) with in one (1) hour of occurrence. (Review EOR/Investigations)		
4	The selected Contractor(s) must promulgate and enforce a Substance Abuse Policy. (Review Policy)		
5	The selected Contractor(s) shall ensure that all persons performing services pursuant to any contract executed as a result of this solicitation complete the applicable DOC Basic Training within one year of execution of the contact and within six(6) months for persons who begin providing services thereafter. (Review Progress)		
6	The selected Contractor(s) shall contact the designated DOC contact person within one(1) hour of negative media or public interaction and within twenty-four hours of positive interaction. (Review EORs)		
7	Selected Contractor(s) will be required to file various reports set forth in DOC policy, any amendments thereto and ad-hoc reports as required by the DOC and/or PBPP. (Review MAP)		
8	The selected Contractor(s) shall provide a copy of its facility's emergency plans to both agencies regarding natural and man-made disasters to include short term and long term evacuation. (Ensure copy emailed to the CFC/Major and placed in MAP folder)		
9	At no time shall the Contractor(s) allow any weapons to be carried by their staff or offenders. (Ensure "blue" DOC signs posted at entrance)		
10	Every facility shall be equipped with a functioning public address system to be used for general and emergency announcements. (Physical Check/Review)		
11	The selected Contractor(s) shall have security staff conduct searches of all the employees, offenders, visitors and their property as they enter their facility. (Observe)		

FACILITY NAME:			
INSPECTED BY:			
FACILITY DIRECTOR/DESIGNEE REVIEWED WITH:			
INSPECTION DATE:			
#	STANDARD	COMP	COMMENTS (REQUIRED FOR "NO" OR "WAIVER" ONLY)
12	Every facility shall be equipped with a functioning two-way communication system to be used for staff-to staff contact. (Physical Check/Review)		
13	The selected Contractor(s) shall assure that all exterior doors to its facility are secured to prevent unauthorized ingress and egress. (Physical Check/Review)		
14	Main ingress may occur at only one (1) point and must be controlled by staff. (Physical Check/Review)		
15	The main entrance point must be equipped with a walk-through metal detector operated by security staff. (Physical Check/Review)		
16	All facility windows must be secured to prevent and or detect unauthorized ingress/egress. A window must be equipped with a functioning alarm. If it cannot be equipped with an alarm due to ventilation issues, it must be secured in a manner so as to prevent an average size person from being able to exit through it. (Physical Check/Review)		
17	Each facility must be equipped with a functioning surveillance system having the capability to monitor and record all points of ingress/egress from the facility. (Physical Check/Review)		
18	Properly identified DOC PBPP or law enforcement employees conducting official business must be processed into the facility without delay. (Review Procedures/Observe if Opportunity)		
19	The selected Contractor(s) shall implement testing for alcohol and other drugs according to the standards set forth by the DOC. This shall include the random testing of all DOC and PBPP offenders by urinalysis, Breathalyzer and other drug testing devices. (Review)		
20	The selected Contractor(s) must maintain a functioning electronic accountability and daily supervision system which shall include a sign-out procedure to ensure that the Contractor(s) has knowledge of an offender's whereabouts at all times. (Review)		
21	The selected Contractor(s) must report any offender escape or other unauthorized absence from the facility or a treatment program, including a curfew violation, immediately to the designated DOC and PBPP contact. (Review EORs)		

<b>FACILITY NAME:</b>			
<b>INSPECTED BY:</b>			
<b>FACILITY DIRECTOR/DESIGNEE REVIEWED WITH:</b>			
<b>INSPECTION DATE:</b>			
<b>#</b>	<b>STANDARD</b>	<b>COMP</b>	<b>COMMENTS (REQUIRED FOR "NO" OR "WAIVER" ONLY)</b>
22	Misconducts, abnormal or violent behavior, or illegal activity must be reported to the designated DOC or PBPP contact immediately. (Review Cases)		
23	Any contraband found shall be maintained in a secured cabinet with limited staff access prior to proper disposition. (Physical Check/Review)		
24	The selected Contractor(s) shall have unrestricted and confidential access to the PREA Sexual Abuse Reporting Line and shall be operational. (Physical Check of Line)		
<b>CFC Signature/Date:</b>			
<b>Regional Director Signature/Date:</b>			
<b>Major Signature/Date:</b>			

- A CFC/LT Completes Security Compliance Check
- B CFC/LT Completes>Prints>Signs>Forwards to Regional Director
- C Regional Director Signs>Scans>Emails to Major
- D Major Prints Signs>Scans>Emails to BCC Administration/RD/CFC/LT/File
- E CFC Emails Final Copy to CCF Director and Files Email on MAP

**This report will assist the Bureau in determining contract compliance. Every standard in this report is identified as a MANDATORY SECURITY STANDARD.**

**Program Evaluation Summary Report**

7.9.14

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Below is a summary of findings and observations of the following facility/services:

Provider Name: \_\_\_\_\_

Provider Address: \_\_\_\_\_

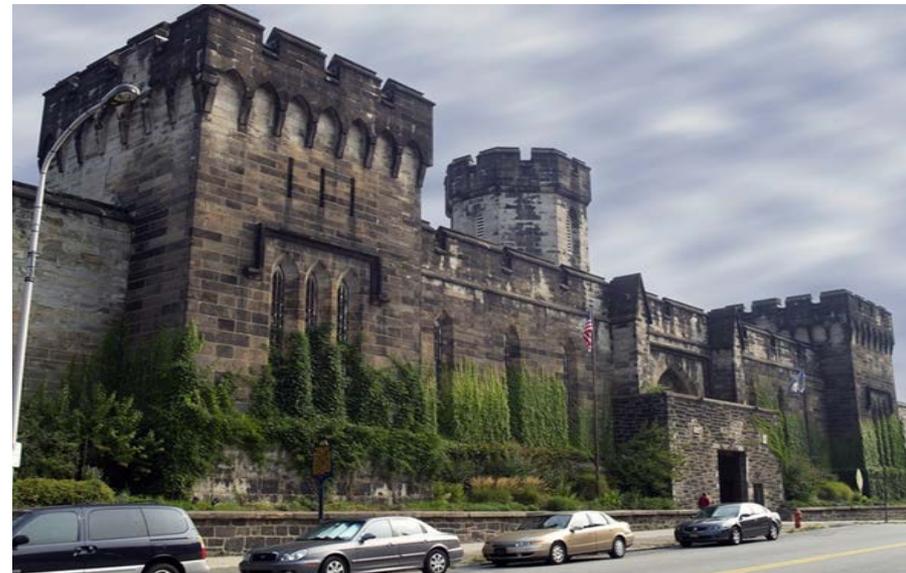
Program Manager/CFC: \_\_\_\_\_ Date of Review \_\_\_\_\_

Section	Summary of Review	% Score
<b>A</b>	<b>Previous Review Summary (check one):</b> 1. No issues reported    2. Issues have been resolved    3. Issues were not corrected <b>NOTES:</b>	
<b>B.</b>	<b>File Review Summary (5 files were reviewed)</b>	
<b>C.</b>	<b>Program Delivery/Observation/Faciliator's Characteristics Summary</b>	
<b>D.</b>	<b>Additional Items of Interest</b>	
<b>E.</b>	<b>Evaluation Summary</b>	
<b>COMBINED FINAL SCORE</b>		0.00%

# PENNSYLVANIA DEPARTMENT of CORRECTIONS

# Pennsylvania DOC – An Overview

- ▶ Mission Statement –The Pennsylvania Department of Corrections operates as one team, embraces diversity, and commits to enhancing public safety. We are proud of our reputation as leaders in the corrections field. Our mission is to reduce criminal behavior by providing individualized treatment and education to offenders, resulting in successful community reintegration through accountability and positive change.
- ▶ Pennsylvania has a distinguished reputation in penology. The Commonwealth was the birth place of the penitentiary concept, also known as the Pennsylvania System. Eastern State Penitentiary opened in 1829, on a cherry orchard outside of Philadelphia, and it was considered at the time to be “the world’s greatest penitentiary.” Known to historians as “the first true penitentiary,” Eastern State operated until 1970.



# PENNSYLVANIA'S CORRECTIONAL SYSTEM TODAY

Today, under Secretary John E. Wetzel, the department -- with a budget of \$2.1 billion -- oversees 25 state correctional institutions, one motivational boot camp, 13 community corrections centers, 40 contract facilities, a training academy, approximately 15,000 employees and more than 51,000 inmates in which 12,000 to 14,000 released annually.

A major initiative of this administration is that of Justice Reinvestment. In 2012, Gov. Tom Corbett convened a working group of criminal justice experts and bi-partisan legislators to review the state's criminal justice system and use data to determine changes and improvements to the system.

The overall goal of Justice Reinvestment is to change the system for the better and to reinvest any monetary savings back into the community to provide community based services to prevent crime.



Inmate Population  
(as of December 31)

Year–Population

1980–8,243

1985–14,260

1990–22,325

1995–32,410

2000–36,810

2005–42,446

2010–51,321

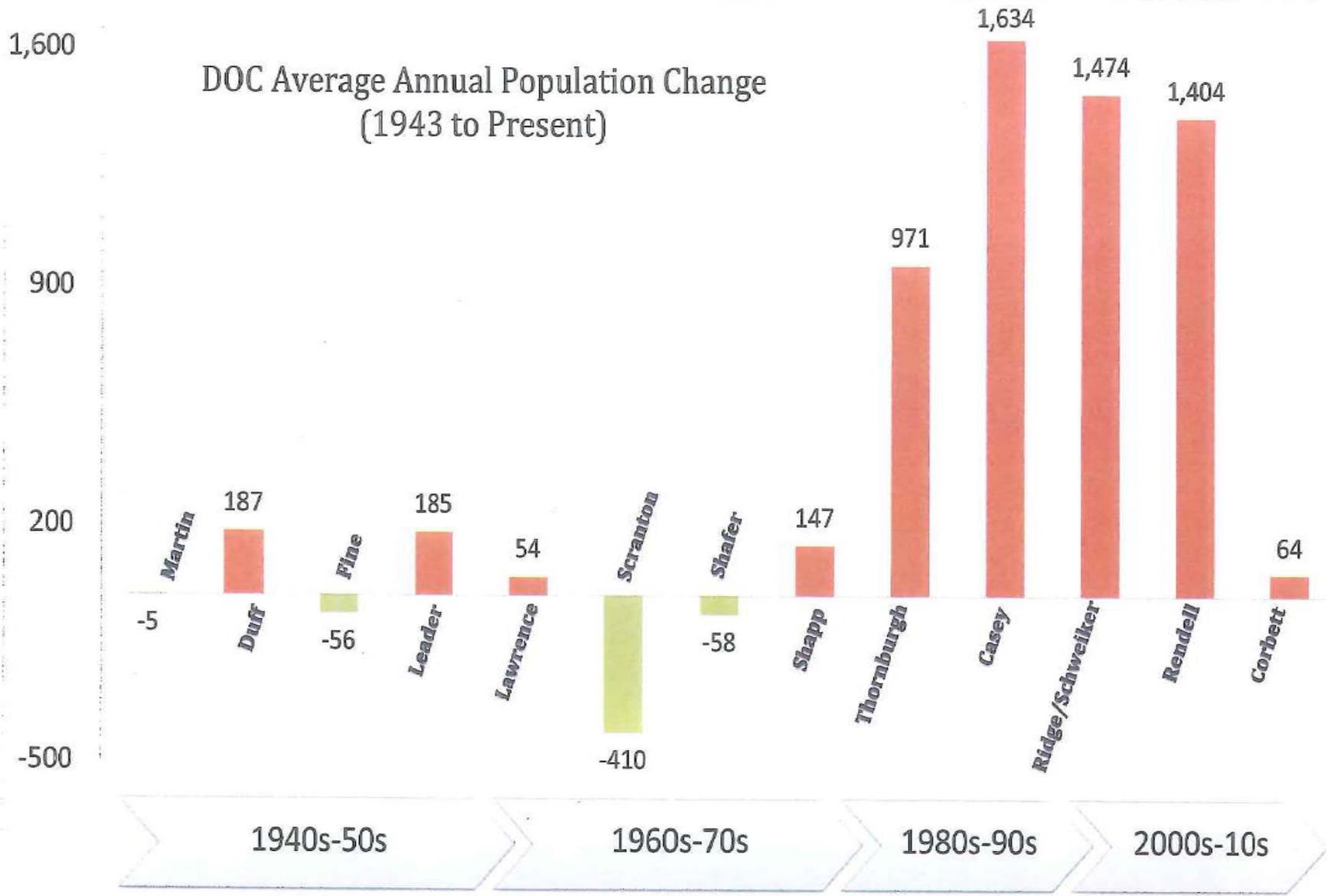
2011–51,638

2012–51,184

2013–51,512

June 2014–51,118

# DOC Average Annual Population Change (1943 to Present)



# Executive Deputy Secretary Shirley Moore Smeal

- ▶ The Executive Deputy Secretary directs and manages all department field operations through supervision of three regional deputy secretaries who provide a clear line of responsibility, authority, and direction to institutions.
- ▶ **Bureau of Community Corrections–**
- ▶ Responsible for residential facilities located in various Pennsylvania communities.
- ▶ These facilities, also known as half-way houses, provide a transitional process by allowing residents monitored contacts with jobs and educational opportunities.
- ▶ The facilities house offenders who have been granted parole by the Pennsylvania Board of Probation and Parole, parole violators returned to the system for technical violations and offenders in State Intermediate Punishment.
- ▶ The Department also contracts with private vendors to provide specialized treatment and supervision services.

# BCC's Capacity

- ▶ 13 State Centers, 40 Contract Centers, 10 County Work Release Centers and 16 Contracted TPV County Prisons
  - ▶ Total Capacity – 6,919 available beds
  - ▶ Current Population – 5,024
- 

# BCC's Budget 2013–2014

## (\$107,215,000)

- ▶ 76 million dollars– Contracted CCF Beds
  - ▶ 25 million dollars– State Centers
  - ▶ 6 million dollars– IFB Services
  - ▶ 16.4 million dollars – County Jail TPV beds (expected 21 million dollars)
- 

# BCC's Budget 2014-2015 (\$110,419,000)

- ▶ 30 million State Centers
  - ▶ 76 million Contracted Centers
  - ▶ 4 million dollars IFB re-entry services
  - ▶ CCJ Budget for TPV's- 19.4 million including medical reimbursement invoices.
- 

# The Justice Reinvestment Initiative (JRI) and Act 122

Navigation icons: back, forward, search, and other controls.

# Timeline

- SB 100 introduced in January 2011 (preceded by SB 1161 of 2009)
- Governor commissions JRI group in January 2012
- JRI workgroup presentation/meeting #1 – January 2012
- JRI workgroup presentation/meeting #2 – March 2012
- JRI workgroup presentation/meeting #3 – April 2012
- Final JRI presentation & policy recommendations – May 2012
- June 2012 – most JRI recommendations are amended into SB 100; SB 100 passes in the house and is back to Senate for concurrence
- SB 100 signed into law on July 5, 2014 and becomes Act 122

# Components of SB 100/ACT 122

\*\*Repeals the pre-release program in its entirety except for the State Intermediate Punishment (SIP) program offenders which comprises of 8% of BCC's population.

## Technical Parole Violators (TPVs)

- All TPVs must be sent to CCCs/CCFs, unless:
  - *Violation was sexual in nature*
  - *Violation involved assaultive behavior*
  - *Violation involves a weapon*
  - *Violator has absconded and can't be safely housed in CCC/F*
  - *Violator poses an identifiable risk to public safety*
- *TPVs sent to CCC/F's will have a capped stay of 6 months*

# Components of SB 100/Act 122

## Technical Parole Violators (TPVs)

- TPVs remaining in prison will have the following caps on their length of incarceration imposed:
  - *First recommitment = 6 months*
  - *Second recommitment = 9 months*
  - *Third and subsequent recommitment = 1 year*
- Time limit for TPVs in prison not applicable for TPVs who commit a disciplinary infraction involving assaultive behavior, sexual assault, a weapon, or controlled substances; spent more than 90 days in a segregated housing unit; refused programming or work assignment

# Components of SB 100/Act 122

## Community Corrections

- Requires TPVs to be segregated from the rest of CCC/F population
- Allows TPVs to go to contracted county jails
- Empowers CCC employees to use reasonable force, search and seize property, and detain violator
- Empowers Chairman of Parole Board to designate which centers parolees are to be housed at, determine whether parole should be housed in secured or unsecured portion of the center, and jointly with DOC Secretary determine appropriate treatment programming and audit the performance
- Individuals in CCC/F's shall be deemed to be in official detention (relating to escape)



COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF CORRECTIONS

October 18, 2012

Dear Warden:

As you are likely aware, the Pennsylvania Department of Corrections (DOC) is in the process of undertaking major changes. As part of our review, we are looking at our utilization of county jail beds. In 2009, we began leasing space with counties due to overcrowding. Fortunately, we have both expanded our capacity and experienced a population stabilization. This combination has resulted in a significantly reduced need for leased beds in counties. Accordingly, over the coming months, we will be returning DOC inmates from county jails.

However, in lieu of the inmates currently housed in counties, we have created a provision in SB100 (Act 122) which specifically authorizes the DOC to place technical parole violators in county jails.

For those counties who are interested in continuing to house state inmates, the DOC will provide training in the required programming for parole violators AT NO COST to the counties with the understanding that we will not house parole violators at any county facility where staff is not trained.

Please contact Tom Greishaw, Executive Assistant to Executive Deputy Secretary Moore Smeal (717-728-4108 or [tgreishaw@pa.gov](mailto:tgreishaw@pa.gov)), regarding your interest as well as any corresponding training needs.

Sincerely,

John E. Wetzel  
Secretary of Corrections

JEW/dls

c: Executive Deputy Secretary  
Deputy Secretaries  
Director Kephart  
Director Kishbaugh  
Director Ronay  
Director Torma  
Executive Assistant Greishaw  
File

# Components of SB 100/Act 122

## Safe Community Reentry

- Requires DOC and PBPP to establish a Safe Community Reentry Program
- Requires DOC to assess offenders entering SCI to determine services, programs, and skills needed
- Requires development of an individual reentry plan for offenders
- Permits DOC and PBPP to contract with community organizations and other non-profit or for-profit organizations for enhancing reentry services

# TRADITIONAL State CCC and Contracted CCF BEDS

2013		2014	
July	3010	January	3733
August	3300	February	3819
September	3376	March	4011
October	3283	April	4015
November	3366	May	4023
December	3668	June	4027
*6 month average is 3333 in 2013.		*Electronic Referrals (2-24-14)	

# Recidivism in Pennsylvania: Incentivizing Performance

# THE BIG PICTURE:

ONE in TWO HUNDRED adult Pennsylvanians is currently incarcerated in a Pennsylvania State Correctional Institution.



90% of state prisoners will eventually be released.

In the past 10 YEARS, **RECIDIVISM** rates have remained largely *unchanged*.

10 PERCENT

10% of all police arrests in PA involve **RELEASED INMATES**.

# REASONS FOR REARREST:

PROPERTY  
22%

VIOLENCE  
17%

DRUGS  
29%

PUBLIC ORDER/OTHER  
32%

# PROFILE OF RETURNING INMATES:

6 in 10



released inmates are rearrested or reincarcerated within 3 years of release—most within the first year.

60%



of all reincarcerations within 3 YEARS are for technical parole violations.

## URBAN AND RURAL:



REARREST RATES are higher in URBAN AREAS.



REINCARCERATION RATES are higher in RURAL AREAS.

## YOUNG AND OLD:

2x

Released inmates under 21 are 2x more likely to recidivate within 3 years than released inmates over the age of 50.

# RETURNING TO PRISON (2008 Data):

43%

of inmates released were reincarcerated within 3 years.



50%

of inmates were **REARRESTED** within 3 YEARS.



75%

of ex-inmates who return to prison will do so within 19 MONTHS.



## VIOLENT OFFENDERS:

Per capita arrest rates for **VIOLENT CRIMES** are 14x higher among released inmates compared to the general public.

# Overview

## Report In A Nutshell:

- ▶ Recidivism rates of PA DOC inmates are incredibly high when measured comprehensively
- ▶ Recidivism rates have remained flat and virtually unchanged over at least the past decade, when measured comprehensively

# Recidivism Report

How Was Recidivism Measured:

1. Rearrest
2. Reincarceration
3. Overall Recidivism (first incident of either rearrest or reincarceration)

# Recidivism Report

**TABLE 12: 3-YEAR RECIDIVISM RATES BY COMMITMENT CRIME TYPE FOR 2008 RELEASES**

Offense Category	3-Year Rearrests		3-Year Reincarcerations		3-Year Overall Recidivism	
	Number	Rate	Number	Rate	Number	Rate
<b>Part I</b>						
Murder/ Manslaughter	144	33.0%	145	33.3%	227	52.1%
Forcible Rape	78	25.8%	71	23.5%	149	49.3%
Robbery	881	52.8%	806	48.4%	1050	63.0%
Aggravated Assault	567	48.8%	516	44.4%	700	60.2%
Burglary	504	52.6%	457	47.7%	695	72.5%
Theft/Larceny	526	53.7%	449	45.9%	639	65.3%
Arson	17	21.3%	21	26.3%	37	46.3%
<b>Total: Part I</b>	<b>2,717</b>	<b>48.6%</b>	<b>2,465</b>	<b>44.1%</b>	<b>3,497</b>	<b>62.6%</b>
<b>Part II</b>						
Other Assault	103	51.8%	59	29.6%	123	61.8%
Fraud	20	47.6%	15	35.7%	38	90.5%
Stolen Property	148	63.0%	116	49.4%	187	79.6%
Forgery	100	49.5%	85	42.1%	131	64.9%
Statutory Rape	5	41.7%	3	25.0%	6	50.0%
Other Sexual Offenses	120	31.8%	99	26.3%	227	60.2%
Drug Offenses	2,143	50.6%	1,695	40.0%	2,427	57.3%
Weapons	279	60.0%	206	44.3%	333	71.6%
DUI	184	27.6%	169	25.4%	256	38.4%
Prison Breach	126	62.4%	103	51.0%	144	71.3%
Kidnapping	16	39.0%	16	39.0%	30	73.2%
Part II Other	670	48.4%	625	45.2%	783	56.6%
<b>Total: Part II</b>	<b>3,914</b>	<b>48.5%</b>	<b>3,191</b>	<b>39.6%</b>	<b>4,685</b>	<b>58.1%</b>
<b>Grand Total</b>	<b>6,631</b>	<b>48.6%</b>	<b>5,656</b>	<b>41.4%</b>	<b>8,182</b>	<b>59.9%</b>

# Recidivism Report

**TABLE 19: 2010 PENNSYLVANIA CRIME TYPES BY RELEASED OFFENDERS**

	Violent	Property	Drugs	TOTAL
Arrests of Released Inmates in 2010	2,506	4,661	5,087	12,254
Total Arrests in 2010 <sup>9</sup>	20,275	48,739	51,443	120,457
<b>% of Arrests Attributable to Released Inmates</b>	<b>12.4%</b>	<b>9.6%</b>	<b>9.9%</b>	<b>10.2%</b>

**TABLE 20: 2010 PENNSYLVANIA CRIME TYPES BY RELEASED OFFENDERS AS A RATIO OF GENERAL POPULATION<sup>11</sup>**

	Violent	Property	Drugs	TOTAL
Arrest Rate for Released Inmates in 2010	2,905	5,403	5,896	14,203
Arrest Rate for General Population in 2010	205	492	519	1,216
<b>Ratio (Released Inmate/General Public)</b>	<b>14-to-1</b>	<b>11-to-1</b>	<b>11-to-1</b>	<b>12-to-1</b>

# Recidivism Report

**TABLE 21: COST SAVINGS BY REDUCTION IN 1-YEAR REINCARCERATION RATE**

<b>1-Year Reincarceration Rate</b>	<b>Annual Bed Days</b>	<b>Annual Cost Savings (in millions)</b>
Reduced by 1 Percentage Points	48,768	\$0.8
Reduced by 5 Percentage Points	234,930	\$15.0
Reduced by 10 Percentage Points	475,035	\$44.7

# Community Corrections

**TABLE 26: MODELED OVERALL RECIDIVISM RATES BY PAROLE RELEASE TYPE**

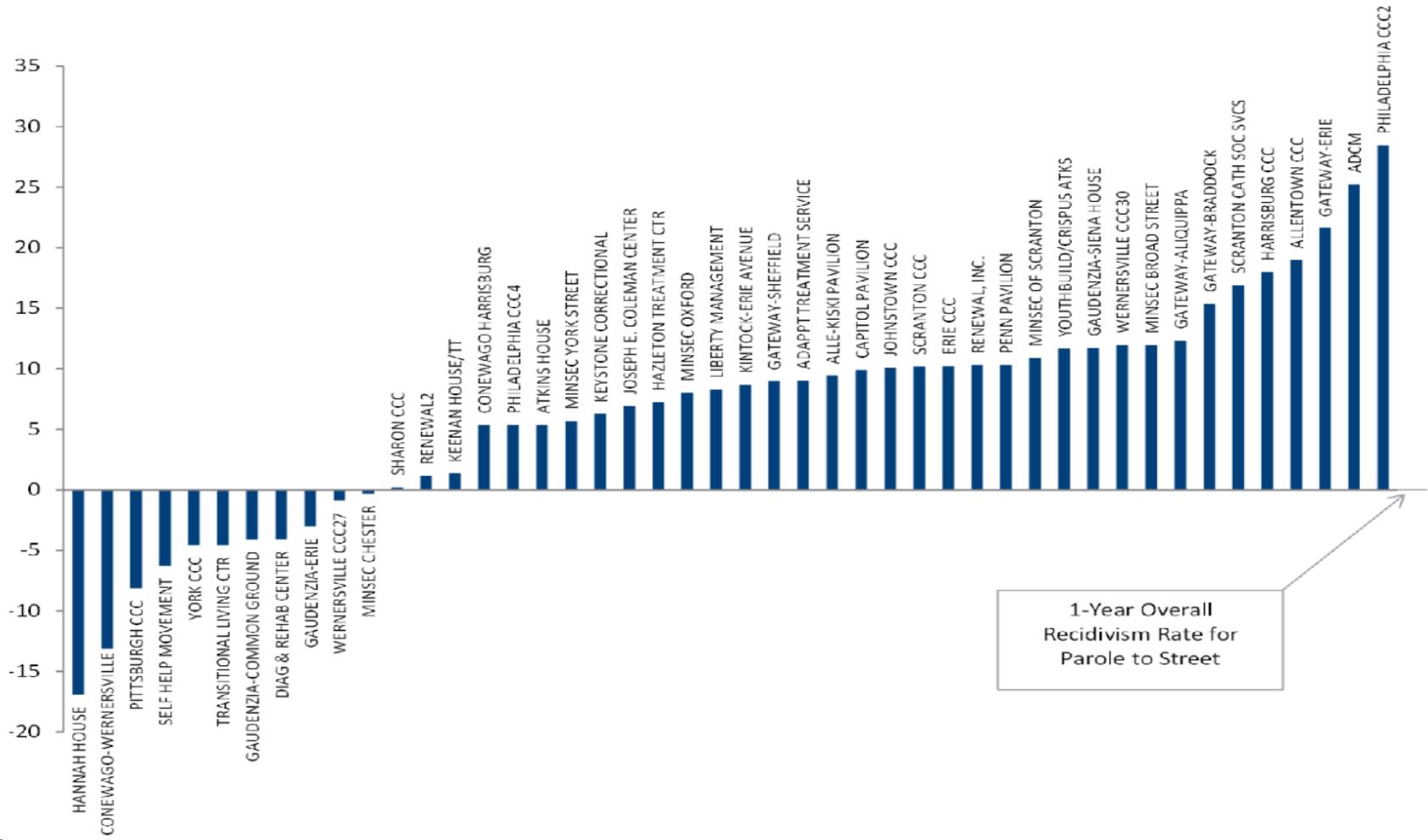
Release Year	6-Month Overall Recidivism		1-Year Overall Recidivism		3-Year Overall Recidivism	
	Parole to Street	Parole to Center	Parole to Street	Parole to Center	Parole to Street	Parole to Center
2005-06 Releases	17.0%	20.2%	34.1%	39.5%	63.2%	67.9%
2008-09 Releases	16.4%	19.7%	33.1%	38.8%	61.2%	65.7%
2010-11 Releases	17.6%	22.6%	32.3%	38.1%	N/A	N/A

**TABLE 27: MODELED OVERALL RECIDIVISM RATES BY SIX MONTH SURVIVAL TIME**

Parole Type	Overall Recidivism Rates	
	1-Year	3-Year
Parole To Center	19.0%	53.0%
< 1 Month	17.0%	60.0%
1 to <3 Months	19.0%	54.0%
3 to <6 Months	15.0%*	50.0%
Parole To Street	18.0%	52.0%

NOTE: Parole To Center 3 to <6 Months 1-Year Overall Recidivism rate is significantly different from Parole To Street at  $p < .05$

# Community Corrections



# Measuring Performance

- “Performance Contracting” for contract Community Corrections Centers
- Under new contracts, Centers will be required to maintain a baseline recidivism rate
  - Centers that reduce their recidivism rate below the baseline will be paid extra \$\$ per offender
  - Centers that increase their recidivism rate above the baseline will be in jeopardy of losing their contract

# Measuring Performance

## Considerations:

- Program Completion Rates considered in tandem with recidivism rates. (The DOC did not want the Centers dropping their graduation rates, only graduating the best of the best, and thus appearing to reduce recidivism).
- Consideration of risk level composition of Center (based on LSI-R); three risk composition groups
  - Low Risk Centers– defined as having more than 50% of its releases score low risk on LSI-R
  - Medium Risk Centers
  - High Risk Centers– defined as having more than 50% of its releases scored high risk on LSI-R
- None of the Contractors fell into the low risk category.
- High Risk Centers actually came out lower than the baseline rate for the Medium Risk Centers.
- High Risk Centers got a choice of whether they want to be held to the High Risk baseline or the medium risk baseline since the rate is higher and thus easier for them to reduce recidivism.

# Measuring Performance

- The recidivism baseline was developed for CCF releases between January and June 2013.
- Only used recidivism after successful discharge. The 1<sup>st</sup> marking period for evaluating recidivism is from Jan 2014 to March 2014.
- The second marking period for CCF releases is between January and September 2014 with the 6 month tracking period being October 2014 to March 2015.

## Baseline Rates:

- **High Risk Centers:** 3-month recidivism rate must be between 6% and 17.6%. Below 6% receives the incentive. 6-month recidivism rate must be 12.4% and 32%. Below 12.4% receives the incentive.
- **Medium Risk Centers:** 3-month recidivism rate must be between 6.6% and 19.6%. Below 6.6% receives the incentive. 6-month recidivism rate must be between 15.4% and 34.4%. Below 15.4% receives the incentive.
- **Graduation Rates:** the graduation rate should be between 57% and 78.2% to be standard.

# Measuring Performance

Can We Influence Recidivism??

YES WE CAN!

**The overall recidivism rate went down by 2.1 percentage points for the baseline.**

But How??

1. Evidence-Based Practices
2. Evidence-Generating Innovations

# Measuring Performance

## Some Evidence-Based Practices:

- Breaking up anti-social associates (relocation); should offenders always go home?
- Reinforcing informal social controls and positive relationship ties
- Cognitive-Behavioral Therapy (CBT)
- Motivational Interviewing
- Risk-Needs assessment; separation by risk; individualized services and treatment plan by needs
- Life skills and problem-solving
- Supervision/Deterrence: clear rules/expectations, certain/swift sanctions

# Link To Report

“Pennsylvania Department of Corrections:  
Recidivism Report 2013”:

<http://www.cor.state.pa.us>

**INVITATION FOR BID**

**FOR**

**HOUSING AND TREATMENT SERVICES**

**ISSUING OFFICE**



**COMMONWEALTH OF PENNSYLVANIA  
DEPARTMENT OF GENERAL SERVICES  
BUREAU OF PROCUREMENT**

**555 Walnut Street  
Forum Place, 6<sup>th</sup> Floor  
Harrisburg, PA 17101**

**IFB NUMBER**

**6100024114**

**DATE OF ISSUANCE**

**January 4, 2013**

# PART IV

## WORK STATEMENT

- ▶ **PURPOSE:** The Department of General Services (DGS) is issuing this Invitation for Bids (IFB) in order to establish a multiple award Contract for the Department of Corrections (DOC) to procure housing and various treatment services to persons under the supervision of the DOC Bureau of Community Corrections (BCC) and Pennsylvania Board of Probation and Parole (PBPP).

# HISTORICAL VOLUME AND ESTIMATED NEED

- ▶ Lot 1 – GH (General Housing)

Region 1: 1,700 beds (approximately 85 female)

Region 2: 1,400 beds (approximately 70 female)

Region 3: 1,000 beds (approximately 50 female)

- ▶ Lot 2 – AOD – 150 beds in each Region

- ▶ Lot 3 – MH – 75 beds in each Region

- ▶ Lot 4 – DD – 50 beds in each Region

- ▶ Lot 5 – Veterans – 35 beds in each Region

- ▶ Lot 6 – PVC – 500 beds in each Region

# GEOGRAPHIC COVERAGE

- ▶ **GEOGRAPHIC COVERAGE**: Housing and services are being sought in all 67 counties within the three (3) regions of Pennsylvania listed below. For each Lot bid, the Bidder must indicate within the Cost Sheet, Appendix M, the counties in which they are able to provide services. Historically, the greatest need is in the following counties:
- ▶ Region 1 (Eastern): Bucks, Chester, Delaware, Montgomery, and Philadelphia
- ▶ Region 2 (Central): Berks, Centre, Cumberland, Dauphin, Franklin, Lackawanna, Lancaster, Lebanon, Lehigh, Luzerne, Lycoming, Northampton, Schuylkill, and York
- ▶ Region 3 (Western): Allegheny, Beaver, Blair, Cambria, Clearfield, Crawford, Erie, Fayette, Mercer, Venango, and Westmoreland

# Key Contract Points

- ▶ All Contractor(s) must comply with the standards imposed by the current American Correctional Association's (ACA) Standards for Adult Community Residential Services (ACRS) throughout the term of the contract.
- ▶ The selected Contractor(s) shall report every offender abuse allegation to a designated contract facility coordinator (CFC) within one (1) hour of occurrence. This includes any incident of abuse or allegation of sexual contact between offenders or persons providing services to offenders as specified through the Prison Rape Elimination Act (PREA). Additional information on PREA can be obtained at <http://www.ojp.usdoj.gov/programs/prisonrapeelimination.htm>.

# Key Contract Points Continued

- ▶ The selected Contractor(s) shall ensure that all persons performing services pursuant to any contract executed as a result of this solicitation complete the applicable DOC Basic Training within one year of execution of the contract and within six (6) months for persons who begin providing services thereafter. This training will be provided by the DOC at no cost to the Contractor(s). Basic training requirements are subject to change in accordance with DOC policy. Additionally, all clinical staff shall complete the Cognitive Behavioral Interventions training provided by the Department of Corrections.
- ▶ The selected Contractor(s) must comply with all applicable policies and procedures of the DOC and the PBPP. This includes subsequent revisions, as well as any rules, regulations, and manuals provided by either the DOC or PBPP. DOC policies can be found at [http://www.portal.state.pa.us/portal/server.pt/community/doc\\_policies/20643](http://www.portal.state.pa.us/portal/server.pt/community/doc_policies/20643).

# Key Contract Points Continued

- ▶ Ongoing Inspections: Contractor(s) are subject to inspections by the DOC and PBPP throughout the term of the contract. DOC and PBPP will inspect facilities in accordance with their respective policies using the standards promulgated and approved by the DOC, PBPP, and ACA. Inspections may be conducted with or without notice to the Contractor(s). The DOC and PBPP may search facilities at any time.
- ▶ Any money collected by the selected Contractor(s) from offenders for meals, room and board shall be deducted from the rate billed to the DOC. Rent is collected at the rate of 20% of net wage income. Money deducted from offenders for court costs, fines and restitution will not be deducted from the rate billed to the DOC.

# Key Contract Points Continued

- ▶ The selected Contractor(s) must have all licenses and comply with all laws, regulations, policies and procedures applicable to the services to be provided throughout the term of any contract resulting from this solicitation. Any deficiencies cited by any governmental regulatory agency, including but not limited to, DDAP, OSHA, OMHSAS and the Department of Labor and Industry must be reported to the DOC and PBPP within forty-eight (48) hours of notification thereof. A corrective action plan addressing any such deficiency must be provided to the DOC and PBPP within seventy-two (72) hours of notification of the deficiency and updated as material changes occur. The results of any follow up inspection or other agency action concerning any such deficiency must be forwarded to the DOC and PBPP within seventy-two (72) hours of receipt by the selected Contractor(s).

# Security – Access Control

- ▶ The selected Contractor(s)' staff is responsible to protect the community, one another, DOC and PBPP officials, employees and offenders from harm.
- ▶ The selected Contractor(s) shall assure that all exterior doors to its facility are secured to prevent unauthorized ingress and egress. All exterior doors must be alarmed or directly observed to prevent/detect unauthorized ingress or egress.
- ▶ Main ingress may occur at only one (1) point and must be controlled by staff.
- ▶ The main entrance point must be equipped with a walk-through metal detector operated by security staff. The selected Contractor(s) must ensure that every person entering the facility processes through the metal detector. A facility housing twenty (20) offenders or less may request a waiver of this mandate from the DOC's Director of the Bureau of Community Corrections.
- ▶ All facility windows must be secured to prevent and/or detect unauthorized ingress or egress. A window must be equipped with a functioning alarm. If it cannot be equipped with an alarm due to ventilation issues, it must be secured in a manner so as to prevent an average size person from being able to exit through it.
- ▶ Each facility must be equipped with a functioning surveillance system having the capability to monitor and record all points of ingress and egress from the facility.
- ▶ Properly identified DOC, PBPP or law enforcement employees conducting official business must be processed into the facility without delay.

## ▶ Security – Drug Interdiction

The selected Contractor(s) shall implement testing for alcohol and other drugs according to the standards set forth by the DOC. This shall include the random testing of all DOC and PBPP offenders by urinalysis, breathalyzer, and other drug testing devices. Urine samples must be collected as set forth in the applicable DOC policy or as otherwise directed by the DOC. Urine specimens must be submitted to the testing laboratory selected by the DOC. The DOC will provide all supplies and will be responsible for all non-personnel costs associated with alcohol and other drug testing. The selected Contractor(s) must maintain accurate records of specimens collected and furnish them to the designated DOC and/or PBPP contact as requested.

# LOT 1: Group Home

## **Lot 1: Group Home (GH)**

The selected Contractor(s) will be required to provide a community-based facility to house and provide programming to male and/or female offenders being released into communities throughout the Commonwealth of Pennsylvania.

This may include offenders who have been paroled, placed in specialized programming (Violence Prevention), and Levels 3 and 4 of the State Intermediate Punishment (SIP) Program

# Lot 2: Inpatient Alcohol and Other Drugs (AOD)

## Lot 2: Inpatient Alcohol and Other Drugs (AOD)

- In addition to Group Home Services, the selected Contractor(s) must provide a community-based facility, licensed by the Department of Drug and Alcohol Programs (DDAP), to house and provide inpatient AOD Treatment Services to male and/or female offenders being released into communities throughout the Commonwealth of Pennsylvania.
- This may include offenders participating in the community-based inpatient treatment portion of the State Intermediate Punishment Program (SIP Level 2) and parolees that qualify for in-patient AOD services. Services must include a comprehensive assessment and appropriately integrated treatment plan addressing education, and guidance regarding issues related to the offenders' psychiatric diagnoses, medication compliance, emotional problems and other life skills related difficulties.

# Lot 3: Mental Health (MH)

## Lot 3: Mental Health (MH)

- In addition to Group Home Services, the selected Contractor(s) must provide a community-based facility to house and provide Mental Health (MH) Services to male and/or female offenders being released into communities throughout the Commonwealth of Pennsylvania.
- These offenders will be identified as Stability C and D Codes as defined by the DOC. Services must include a comprehensive assessment and appropriately integrated treatment plan addressing education, and guidance regarding issues related to the offender's psychiatric diagnoses, medication compliance, emotional problems, and other life skills related difficulties.

# Lot 4: Dual Diagnosis

## ▶ Lot 4: Dual Diagnosis (DD)

- In addition to Group Home services, the selected Contractor(s) will be required to provide both inpatient Alcohol and Other Drugs (AOD) (Lot 2) and Mental Health (MH) (Lot 3) services to those offenders who are diagnosed with both disorders (“Dually Diagnosed Offenders”) in a facility that has been appropriately licensed to provide such services.
- Services must include a comprehensive assessment and appropriately integrated treatment plan addressing education and guidance regarding issues related to the offender’s psychiatric diagnoses, medication compliance, emotional problems, and other life skills related difficulties. .

# Lot 5: Veterans Services

## Lot 5: Veterans Services

– In addition to Group Home Services, the selected Contractor(s) will be required to provide a community-based facility to provide housing and veteran-specific programming to offenders who may be eligible for services provided by the United States Veterans Administration (VA).

# Lot 6: Parole Violator Center

## Lot 6: Parole Violator Center

- In addition to Group Home services, the selected Contractor(s) will be required to provide a secure community-based facility to house and provide programming to male and/or female parole violators.

# IV-4 SERVICE LEVEL AGREEMENTS

- ▶ The Commonwealth has developed a set of minimum Service Level Agreements (SLA), defined below, which the selected Contractor(s) are expected to meet or exceed in order to be in good standing on the contract. The SLAs will be monitored by the DOC and PBPP.

# Category A

- ▶ The selected Contractor(s) will be allowed a sixty (60) day grace period to “ramp up” services, without scoring on the performance metrics in the table below. After the sixty (60) day grace period, tracking of each of the below performance metrics should begin, and the first report shall be due to the DOC contract officer one (1) month after the grace period ends.
- ▶ The selected Contractor(s) should develop a scorecard which includes the below performance metrics and can be reviewed quarterly or on an as needed basis. If the selected Contractor(s) does not consistently meet the agreed upon Performance Targets, the following actions will be taken.
- ▶ A discussion will take place between the selected Contractor(s) and the DOC contract officer. The selected Contractor(s) will be given a warning, and required to develop a corrective action plan to improve on the problem area(s) within the next quarter.
- ▶ If a second quarterly review occurs with minimal or no improvement within the problem areas, the selected Contractor(s) will be assessed liquidated damages in the amount of 3% of the total quarterly invoices for the latest reported quarter.
- ▶ If a third below-threshold score occurs within the next quarter, the selected Contractor(s)' unsatisfactory performance will be entered into the Commonwealth's Contractor Responsibility Program (CRP) system and the contract or any part of the contract may be terminated by the Commonwealth.

Performance Metric	Performance Target	Frequency of Review
Program Completion	85%	Quarterly
Program Audits	95%	Quarterly
Security Audits (Mandatory)	100%	Quarterly
Security Audits (Non-Mandatory)	95%	Quarterly
Operational Audits (Mandatory)	100%	Quarterly
Operation Audits (Non-Mandatory)	95%	Quarterly
Employment Rates (WR)	75%	Quarterly
BCC Policy/ Procedures	100%	Quarterly
Unexcused Absence from a Non-Secure Facility	3%	Quarterly
Unexcused Absence from a Secure facility	0%	Quarterly

## **IV-5. RECIDIVISM MONITORING.**

Recidivism is a critical measure of the effectiveness of the Community Corrections Program in promoting successful reentry and improving public safety. The DOC will monitor and review the recidivism rates for facilities operated by the selected Contractor(s) in accordance with Appendix L. At a minimum, facilities operated by selected Contractor(s) must maintain a baseline rate of recidivism as outlined in Appendix L. Facilities reducing recidivism rates below the baseline recidivism range may be eligible to receive a price increase as more fully described in Appendix L.

## **IV-6. PRICE INCREASE**

During the term of the contract, selected Contractor(s) may be eligible for one or both types of price increases set forth below:

- A. Price Increase for Compliance with Service Level Agreements (SLA): If the selected Contractor(s) meets the performance targets during the contract year, the DOC will increase the per diem rate by one (1%) per cent for the subsequent contract year beginning July 1.
- B. Price Increase for Recidivism Rate Reduction: Selected Contractor(s) reducing facility recidivism rates may be eligible for a price increase as specified in Appendix L.

# APPENDIX L

## Recidivism Monitoring

At a minimum, facilities operated by selected contractors must maintain a baseline rate of recidivism. Facilities reducing recidivism rates below the baseline recidivism range will be eligible to receive a price increase during the subsequent one year period of the contract. Facilities demonstrating recidivism rates above the baseline recidivism range will receive a warning for the first period above the acceptable recidivism range. For a second consecutive period above the acceptable recidivism range, the DOC may elect to declare the contractor in default of the contract and terminate the contract for cause.

Baseline recidivism rates will be calculated using historical recidivism data from DOC's Community Corrections Centers (CCC) and Community Contract Facilities (CCF). Recidivism will be defined as any type of re-arrest or return to custody for a parole violation within the follow-up period after successful discharge from a center. Follow-up periods are outlined in the table below. Different baseline recidivism rates will be established for facilities with different criminal risk compositions of their population. One baseline rate will be established for facilities with more than 50% of their population assessed as "low risk", a second baseline rate will be established for facilities with more than 50% of their population assessed as "high risk", and a third baseline rate will be established for all other facilities. Risk will be defined by currently established score cut-offs on the Level of Service Inventory-Revised (LSI-R) risk assessment tool, as utilized by PBPP.

Low Risk = 0-17

Medium Risk = 18-26

High Risk = 27-54

The risk composition of each facility will be re-evaluated within each one (1) year period of the contract. In addition to establishing three different baseline recidivism rates based on risk composition of the facility, each baseline rate will contain an acceptable range (or margin of error), as defined by one standard deviation above and below the average baseline rate for that risk group.

Contractors reducing the facility recidivism rate below baseline during the first tracking period, as outlined by the tables below, will receive a 0.5% price increase in their per diem rate for the subsequent one year period of the contract. Contractors reducing the facility recidivism rate below baseline during any follow-up period except for the first or last tracking period, as outlined by the tables below, will receive a 1.0% price increase in their per diem rate for the subsequent one year period of the contract. No price increase will be offered during the final tracking period of the contract, due to this being the ending period of the contract.

## For the initial three year term of the Contract:

Center Releases Period	Recidivism Tracking Period	Recidivism Rate Calculation Date	Contract Period for Percent Increase
Jul 2013 – Dec. 2013	Jan 2014 – Mar 2014	April 2014 (3 Months)	Jul 2014 – Jun 2015
Jan 2014 – Sep 2014	Oct 2014 – Mar 2015	April 2015 (6 Months)	Jul 2015 – Jun 2016
Oct 2014 – Sep 2015	Oct 2015 – Mar 2016	April 2016 (6 Months)	No per cent increase; last reporting period

Center Releases Period	Recidivism Tracking Period	Recidivism Rate Calculation Date	Contract Period for Percent Increase
Oct 2014 – Sep 2015	Oct 2015 – Mar 2016	April 2016 (6 Months)	Jul 2016 – Jun 2017
Oct 2015 – Sep 2016	Oct 2016 – Mar 2017	April 2017 (6 Months)	Jul 2017 – Jun 2018
Oct 2016 – Sep 2017	Oct 2017 – Mar 2018	April 2018 (6 Months)	No per cent increase; last reporting period

Code	Facility	Program Completion 85% (combined with recidivism findings)	Program Audits 95%	Security Audits (Mandatory) 100% and Security Audits (Non-Mandatory) 95%	Operation Audits Mandatory and (Non-Mandatory) 95%	Employment Rates (WR) 75%	BCC Policy and Procedures 100%	Unexcused Absence from a Non-Secure Facility 3%	Unexcused Absence from a Secure Facility 0%	Compliance with Service Level Agreements 1% Y/N	Recidivism Rate Reduction Y/N	Overall Per Diem Increase (0,1, or 2%)
106	West Chester	69%	yes	4/17/14 Pass	96%	n/a	yes	6%	n/a	no	no	0
109	CEC Broad	57%	n/a	5/15/14 Fail	100%	37%	no	25%	n/a	no	no	0
116	DRC	57%	Yes	5/14/14 Pass	98%	48%	no	18%	n/a	no	no	0
117	CEC Chester	68%	n/a	5/20/14 Pass	100%	50%	yes	13%	n/a	no	no	0
119	LMS North	66%	n/a	4/25/14 Fail	94%	30%	no	15%	n/a	no	no	0
124	Self Help	63%	Yes	5/12/14Pass	100%	42%	no	17%	n/a	no	no	0
126	Gau First	53%	n/a	5/15/14 Pass	100%	2.20%	yes	14%	n/a	no	yes	1
129	CEC Oxford	85%	n/a	4/29/14 Fail	96%	9%	yes	15%	n/a	no	yes	1
133	Philly House	45%	n/a	5/7/14 Fail	86%	23%	no	33%	n/a	no	no	0
131	Coleman Hall	74%	yes	5/22/14 Fail	100%	43%	yes	14%	1%	no	no	0
135	CEC Luzerne	63%	yes	5/7/14 Fail	100%	n/a	no	6%	n/a	no	no	0
136	Kintock Erie	77%	Yes	5/12/14 Pass	100%	38%	yes	11%	5%	no	no	0
141	LMS Phoenix	71%	n/a	4/25/14 Fail	94%	5%	yes	3%	n/a	no	yes	1
142	Washington House	83%	n/a	5/19/14 Fail	74%	33%	no	5%	n/a	no	yes	1
189	Keenan House	56%	Yes	5/15/14 Fail	100%	n/a	yes	23%	n/a	no	no	0
190	CEC Scranton	57%	n/a	5/8/14 Fail	90%	90%	yes	18%	n/a	no	no	0
191	Catholic Social Service	78%	n/a	5/8/14 Pass	100%	72%	yes	17%	n/a	no	no	0
206	Atkins House	n/a	n/a	4/14/14 Pass	n/a	n/a	n/a	n/a	n/a	n/a	closed (yes)	0
209	TLC	77%	n/a	4/18/14 Pass	100%	52%	no	7%	n/a	no	no	0
217	Common Ground	60%	n/a	4/10/14 Pass	97.70%	n/a	no	13%	n/a	no	yes	1
218	ADAPPT	51%		4/23/14 Fail	91%	15%	no	19%	n/a	no	no	0
221	Capital Pavilion	71%	n/a	4/8/14 Pass	100%	57%	yes	10%	n/a	no	no	0
222	Conewago Place	73%	yes	4/11/14 Pass	100%	n/a	yes	8%	n/a	no	no	0
223	Youthbuild/CA	64%	n/a	4/9/14 Pass	96%	60%	no	27%	n/a	no	no	0

Code	Facility	Program Completion 85% (combined with recidivism findings)	Program Audits 95%	Security Audits (Mandatory) 100% and Security Audits (Non-Mandatory) 95%	Operation Audits (Non-Mandatory) 95%	Employment Rates (WR) 75%	BCC Policy and Procedures 100%	Unexcused Absence from a Non-Secure Facility 3%	Unexcused Absence from a Secure Facility 0%	Compliance with Service Level Agreements 1% Y/N	Recidivism Rate Reduction Y/N	Overall Per Diem Increase (0,1, or 2%)
225	Siena House	50%	no	5/14/14 Fail	98%	48%	no	21%	n/a	no	no	0
227	Concept 90	31%	no	4/22/14 Fail	96%	n/a	no	17%	n/a	no	no	0
230	New Destiny	100%	n/a	4/23/14 Pass	100%	0	yes	0%	n/a	no	yes	1
234	Keystone	73%	n/a	4/10/14 Pass	100%	70%	yes	9%	n/a	no	no	0
235	Promise Place	67%	n/a	4/11/14 Fail	97.60%	67%	no	10%	n/a	no	yes	1
236	Conewago Pottsville	54%	no	4/22/14 Fail	97.60%	n/a	no	10%	n/a	no	no	0
237	Conewago Snyder	63%	no	4/15/14 Pass	100%	n/a	yes	12%	n/a	no	no	0
238	New View	67%	no	5/5/14 Fail	100%	43%	yes	5%	n/a	no	no	0
309	Gau Erie	53%	yes	5/29/14 Fail	100%	84%	no	13%	n/a	no	yes	1
313	Penn Pavilion	60%	yes	5/16/14 Pass	100%	74%	yes	8%	n/a	no	no	0
315	Alle Kiski	52%	n/a	5/14/14 Fail	100%	84%	yes	25%	n/a	no	no	0
317	Renewal	62%	yes	6/3/14 Pass	100%	R1-65% R2-75%	no	17%	2%	no	no	0
321	Gateway Braddock	50%	n/a	5/15/14 Pass	100%	61%	yes	27%	n/a	no	no	0
322	Gateway Erie	63%	no	5/29/14 Pass	98%	47%	no	17%	2%	no	no	0
324	Gateway Sheffield	77%	yes	5/16/14 Pass	98%	43%	yes	15%	4%	no	yes	1
328	Community House	n/a	n/a	5/15/14 Fail	No residents	n/a	n/a	n/a	n/a	n/a	contract discontinued	0
329	Conewago Indiana	84%	yes	5/13/14 Pass	100%	n/a	yes	2%	n/a	yes	no/warning	1
331	Tomorrow's Hope	83%	n/a	5/12/14 Pass	100%	60%	no	4%	n/a	no	no	0

# Offender Re-Entry Services

# IFB Services

## ▶ 8 LOTS

- Drug & Alcohol Treatment
  - Cognitive Based Intervention
  - Family Reunification
  - Mentoring
  - Day Reporting
  - Offender Workforce Development
  - Housing Assistance
  - Sex Offender Treatment
- 
- Mental Health Treatment (July 2014)
  - Domestic Violence (Fall 2014)

**91 Locations, 174 Services**

# Overview / Purpose

- ▶ To offer DOC and PBPP staff a supplemental approach for providing various re-entry services for offenders in the community.
- ▶ To provide offenders a new route to accessing vital services to increase re-entry success in the community.
- ▶ To decrease offender recidivism resulting in state incarcerations.
- ▶ To be accessed when other available funding sources (e.g., private insurance, medical assistance, etc.) have been exhausted.

# Referral Process

## ▶ SCI Referrals:

- SCI or Institutional parole agents are to identify potential re-entry needs based on paroling action and assessment information that could be addressed by the available BCC Re-Entry Services.
- SCI or Institutional parole agents complete the BCC Re-Entry Services Referral Form. IPA will fax the Referral Form to PBPP Supervision Staff upon release.
- PBPP Supervision Staff are to review the referral and direct it to the BCC Referral Unit when deemed appropriate.

# Referral Process

- ▶ PBPP Parole Supervision Staff / BCC Staff
  - Applicable to offenders already in the community.
  - Similar to halfway back and Act 122 residential placements.
  - Immediate need:
    - Contact BCC Referral Unit (717.728.4060 x 2), M-F 800-1700
    - The BCC Re-Entry Services Referral Form will be completed by BCC staff via information provided by BCC/PBPP staff over the phone.
  - Not immediate need:
    - Email completed BCC Re-Entry Services Referral Form to CR, BCC Services Referral that is located in the global address list in Outlook.
    - Response will be received by close of the following business day.
  - BCC Staff will forward a copy of the approved referral form with identified service provider(s) and report-by date to the referral source and service provider(s).

# Referral Process

- Service providers will notify all interested parties by close of business on the deadline date when the offender reports or fails to report.
- Continued communication regarding changes in the referral request (e.g., cancelation) should be completed via responding to the initial referral approval email.
- More than one service can be requested on one form.
- An offender can be referred for a service more than once and will be reviewed for approval on a case by case basis.

## BCC Re-Entry Services Referral Form

SECTION A – Offender Information						
Offender Name	Last	First	DOC #	PBPP #		
Offender Address			County of Residence			
PBPP District			Referral Request Date			
MAX Date (Longest)			Agent of Record			
Referral Source	<input type="checkbox"/> BCC <input type="checkbox"/> PBPP	Name	Last	First	Phone	
Special Probation?	<input type="checkbox"/> Yes <input type="checkbox"/> No		Special Probation MAX Date			
SECTION B - Assessment and Needs						
LSI-R	<input type="checkbox"/> Low (0-17) <input type="checkbox"/> Medium (18-26) <input type="checkbox"/> High (27+)					
Receiving Mental Health Treatment?	<input type="checkbox"/> Yes <input type="checkbox"/> No Comments:					
Disabilities or Medical Treatment?	<input type="checkbox"/> Yes <input type="checkbox"/> No Comments:					
Receiving AOD Treatment?	<input type="checkbox"/> Yes <input type="checkbox"/> No Comments:					
Currently Employed?	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, Work Schedule: _____ If no, receiving or approved for SSI/SSD? <input type="checkbox"/> Yes <input type="checkbox"/> No					
SECTION C – Outpatient Re-Entry Services Requested (Select one or more)						
<input type="checkbox"/> Sex Offender	Comments:					
<input type="checkbox"/> AOD Treatment	Comments:					
<input type="checkbox"/> Day Reporting	Comments:					
<input type="checkbox"/> Cognitive Behavioral	Comments:					
<input type="checkbox"/> Workforce Development	Comments:					
<input type="checkbox"/> Mentoring	Comments:					
<input type="checkbox"/> Family Reunification	Comments:					
<input type="checkbox"/> Housing Assistance	Comments:					
Offender to Contact Referred to Service Agency by (Date):						
SECTION D – BCC USE ONLY						
Referred to						
Approved By				Date		

# Available Services

# Sex Offender Treatment

- ▶ Outpatient sex offender treatment
  - Comprehensive sex offender assessment including the use of standardized sex offender risk assessment tools.
  - Formulation of an Individualized Treatment Plan.
  - Individual and group counseling (Cognitive restructuring, behavior modification, psycho–sexual education, victim impact awareness, relapse prevention, etc.)
- ▶ One sexual history polygraph (within first 6 months).
- ▶ Case conferences with DOC and PBPP treatment team members not less than once per month.
- ▶ Access to physician evaluation and adjunct medication prescription services, when applicable.

# Sex Offender Treatment

- ▶ Referral to specialized ancillary services for offenders with other special needs (e.g., substance abuse, mental illness, mental retardation).
- ▶ Funding for treatment beyond 6 months must be pre-approved by DOC and PBPP.
- ▶ **Eligibility**: mandated for sex offender evaluation or treatment on the Paroling Action (green sheet) OR has been stipulated for placement through PBPP Violation Sanctioning Grid (VSG)

# HTP Placements

- ▶ Policy 8.1.1 Section 4-01
- ▶ HTP referrals can be received for placement 36 months prior to FDME (Progress CCC placement) with no reentry placement sooner than 24 months (generic CCC placement).
- ▶ Sex offenders that meet the following criteria in ALL three areas maybe referred and placed with no FDME restriction.
  - Low RST score
  - Low LSI-R score
  - Low Static 99 score
  - Based on the paroling action the offender may be referred for out patient sex offender treatment and/or evaluation through the services contract.

# HTP Placements

- ▶ Sex offenders with prior convictions of 10 years or more who did not exhibit any additional occurrences of sexual behavior while on parole may be considered for community corrections placement with no FDME restriction. The three areas listed above may have an impact on whether the offender will be approved for placement.

# Day Reporting Services

- ▶ Orientation, initial assessment, and development and implementation of an individualized treatment plan detailing required weekly/daily programs to assist offenders with re-entry.
  - Case management and attendance verification
  - Structured cognitive-based substance abuse programming
  - Drug test, including urinalysis
  - Cognitive Behavioral Therapy
  - Offender Workforce Development
  - Pro-Social Activities
- ▶ Funding will be provided for up to 6 months of services for those who are unemployed, under-employed, or need refresher assistance with above services.
- ▶ **Eligibility:** recommended upon released from an SCI OR as sanctioned for placement through the PBPP VSG as an alternative to residential placement.

# Housing Assistance Program

- ▶ Assists the offender and dependents (when necessary) in attaining independent living by securing safe and affordable housing that complies with DOC and PBPP policy.
- ▶ Monthly contact with offenders once they are in housing to assist with re-entry and independent living.
- ▶ Engages local Public Housing Authorities to assist with securing public housing and explores other avenues for securing approved, independent housing.
- ▶ Funding for security deposits and rent directly to the landlord in order to secure housing.
- ▶ Funding for up to 6 months of housing subsidies in order to cover a portion of offender's rent and utilities.

# Housing Assistance Program

- ▶ Rent not to exceed HUD–established Fair Market Rents and 30–40% of total household income
- ▶ Offenders are responsible for 30% of rent. (\*might be waived by DOC)
- ▶ Assist offenders with obtaining necessary items to furnish housing through community resources.
- ▶ **Eligibility**: Offender without secure housing or an approved home plan.
- ▶ **Not eligible**: Offender being released from an SCI with a Paroling Action for placement in a residential program; may be referred at later point, subject to BCC/PBBP review.

# Mentoring

- ▶ Provides a pro-social support designed to model positive behavior and to provide guidance through experiential knowledge.
- ▶ Assists with enhancing the offender's sense of self-worth by supporting the offender through various re-entry challenges.
- ▶ Works with offender to identify a positive role-model in the offender's life to serve as a mentor, or identifies a potential volunteer if no such person is identified.
- ▶ Offers peer support groups and monthly pro-social events.
- ▶ Funding may not exceed 6 months in duration without pre-approval by the DOC and PBPP. Services may begin at the SCI.
- ▶ **Eligibility:** A moderate to high need indicated on at least two of the four LSI-R subscales of Leisure/Recreation, Companions, Attitudes, and Emotional/Personal; OR as stipulated for placement through the PBPP VSG

# Transitional Offender Workforce Development Services

- ▶ Individualized, structured support and services, ranging from 6 to 12 months in duration, to assist each offender according to their individual employment/vocational needs.
- ▶ Services may include:
  - Assessment to determine needs, strengths, skills and interests of offender
  - Case planning and management
  - Job search, placement and retention assistance
  - Employer outreach
  - Education and vocational training opportunities
  - Utilization of Labor Market Information available through various resources
- ▶ Services may begin at the State Correctional Institution prior to release and continue through community placement of the offender as needed.
- ▶ **Eligibility:** Based upon LSI-R assessment, an offender must have a moderate-high need indicated in the Education/Employment sub-scale OR has been stipulated for placement through the PBPP Violation Sanctioning Grid.

# Family Reunification

- ▶ Family reunification services in a non-clinical setting that help to address the impact of an offender's incarceration on the family.
- ▶ Programming will address areas including, but not limited to, effective communication strategies, identification of reasonable expectations, establishing pro-social goals and breaking the cycle of incarceration through:
  - Assessment
  - Parent Education Classes
  - Parent Support Groups
  - Spousal/Significant Other Support Group
  - Coordination with the office of Children and Youth
  - Referral to other services as needed
- ▶ Family Reunification Services may begin at the SCI and continue through community placement of offender.
- ▶ Funding may not exceed 9 months in duration without the approval of the DOC and PBPP.
- **Eligibility:** The offender must have a moderate-high need indicated on the Family/Medical sub-scale of the LSI OR has been stipulated for placement through the PBPP Violation Sanctioning Grid.

# Outpatient Alcohol & Other Drug (AOD) Services

- ▶ 6 to 12 months of services to offenders with a recent history of problematic alcohol or drug use where outpatient treatment is appropriate.
- ▶ Programming will focus upon a Comprehensive Assessment and Group and Individual Counseling that is based upon Cognitive Behavioral and/or Motivational Enhancement Therapies.
- ▶ Services provided will include:
  - Assessment/identification of offenders needs and risk factors
  - Development of Treatment Plan and Goals
  - Individual and group sessions with varying levels of intensity
    - The number of individual and group sessions will be based upon the offender's assessed treatment needs: Intensive Counseling –four (4) groups/sessions per week; Intermediate Counseling– two (2) to three (3) per week; Regular Counseling– one (1) session per week
  - Gender specific programming
  - Referral to county and community resources
  - Aftercare Planning and Relapse Prevention
- ▶ **Eligibility:** Offender must be mandated for a Drug and Alcohol Evaluation or treatment on the Paroling Action, OR has been stipulated for placement through the PBPP VSG, OR has incurred a drug and alcohol based misconduct while placed in a community corrections facility.

# Cognitive Behavioral Interventions

- ▶ Group cognitive-behavioral therapy provided to high and moderate risk offenders that address anti-social attitude, values, beliefs, thinking and/or other criminal cognitions.
- ▶ Services provided will include:
  - Open-ended cognitive behavioral programming to those who have previously participated in CBI
  - Cognitive behavioral-based programming to provide additional reinforcement of pro-social skills taught, practiced and learned while previously in CBI or community programming such as National Institute of Corrections' Thinking for a Change or National Curricula and Training Institute's Cognitive Based Life Skills or other curricula as approved by the DOC and PBPP
- ▶ Funding will not exceed 12 months in duration without the approval of the DOC and PBPP.
- ▶ **Eligibility:** Based upon the LSI-R assessment, the offender should be assessed with a score of 27 or greater; or a score of 18-26 with a high/very high need indicated in at least two of the required sub-scales; OR has been stipulated for placement through the PBPP Violation Sanctioning Grid; OR mandated through Paroling Action.

# Outpatient Mental Health Services

- ▶ The purpose of this lot is to provide up to 12 months mental health case management and outpatient mental health treatment services to Serious Mentally Ill (SMI) offenders transitioning to the community. These services will include providing offenders with a current mental health diagnosis and assuring continuum of care services are occurring at an appropriate level.
- ▶ Based upon the offender's mental health symptoms and their required needs in the community, services are to be individuals and must focus on:
  - Assessment
  - Case Management
  - Individual Counseling
  - Group Therapy
  - Medication Compliance/Medication Adjustment
  - Mental Health Education
  - Permanent and or temporary employment disability due to mental health related issues.

# Outpatient Mental Health Services

- ▶ **Eligibility:**

offender must be referred as an imposed condition of Parole on the Paroling Action (green sheet) or has been stipulated for placement through the PBPP Violation Sanctioning Grid. An offender experiencing Mental Health symptoms can also be referred by Community Corrections or Parole staff.

# Domestic Violence

- ▶ Contractor(s) will provide up to six (6) months of Batterer's Intervention Services (BIS) based upon an approved curriculum deemed appropriate by the Coalition Against Domestic Violence (PCADV). The services will address men who batter women and challenge the batterer's beliefs and behaviors.
- ▶ **Eligibility**: The offender must be referred as an imposed condition of parole under the Domestic Violence Protocol on the paroling action (green sheet) or has been stipulated for placement through the PBPP violation Sanctioning Grid.

# BCC Re-Entry Services Referrals

## BCC Re-Entry Services Referrals Approved

Start 12/01/2013

End 8/01/2014

	Region 1	Region 2	Region 3	Total
<b>Referral Total</b>	1839	1761	2170	5770
Service Requested				
AOD Treatment	424	495	316	1235
Cognitive Behavioral	30	62	72	164
Day Reporting	128	284	64	476
Family Reunification	16	23	107	146
Housing Assistance	351	216	649	1216
Mental Health	5	43	77	125
Mentoring	25	45	146	216
Sex Offender	304	179	257	740
Workforce Development	556	414	482	1452

# IFB Re-Entry Services Trainings

- ▶ Memo Distributed to the field by EDS Moore Smeal and Parole Executive Directors Daub and McIntyre (10/29/13)
- ▶ Contractor Training held at Farm Show Complex (11/21-22,2013)
- ▶ Two hour Webinar held for 251 sites (11/26/13)
- ▶ Parole District Office Trainings held (January 6th- 27th, 2014)
- ▶ Reminder memo sent to the THU's and each SCI's Reentry Office (1/10/14)
- ▶ Reminder memo sent to all of BCC with mandatory discussions to be held at staff meetings (1/14/14)
- ▶ Superintendents Meeting (1/17/14)
- ▶ SCI trainings beginning in February 2014 and concluded on June 2014.